AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Liman, Lewis J.	U. S. District Court, Southern District of New York	05/15/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
United States Courthouse 500 Pearl Street New York, NY 10007		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Liman Foundation
2.	Trustee	Supreme Court Historical Society
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2003-2019	Cleary Gottlieb Steen & Hamilton LLP - retirement plan with former law firm; firm-managed asset selection
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Columbia University teaching income	$10,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Administrative Office of the United States Courts	September 9, 2022	Washington D.C.	2022 Federal Circuit Judicial Conference	Transportation
2.	Foley & Lardner LLP	October 14-15, 2022	Chicago, IL	Foley & Lardner's 18th Annual IP Conference	Hotel
3.	Administrative Office of the United States Courts	June 21-23, 2022	Bolton Landing, NY	Second Circuit Judicial Conference	Hotel & Transportation
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Liman, Lewis J.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Retirement Account 1 Fidelity Investments (H) (Y)									
2. - Fidelity Investments, Investment Contracts Fund (Y)									
3. - Vanguard Target 2025 (Y)									
4. Investment Account 1 Charles Schwab managed by KF Advisors (H)									
5. - Ishares Russell 2000 IWM	C	Dividend	M	T					
6. - JH Intl Growth Fund GOGIX		None			Buy (add'l)	02/04/22	M		
7.					Sold	12/07/22	O		
8. - Touchstone Sands Emerging Markets Growth TSEGX		None			Buy (add'l)	02/04/22	M		
9.					Sold	12/07/22	N		
10. - Aristotle Value Equity Fund ARSQX	D	Dividend	O	T					
11. - Grandeur Peak Intl GISYX	C	Dividend	N	T	Buy (add'l)	02/04/22	M		
12. - PIMCO Short Term PTSHX	D	Dividend			Sold	12/07/22	O		
13. - River Canyon Total Return Bond Inst RCTIX	D	Dividend			Sold	04/05/22	O		
14. - Vanguard Short Term Bond Fund VFSUX	E	Dividend			Sold	12/07/22	P1		
15. - Schwab cash accounts	A	Interest	N	T					
16. - Rover Group Inc Class A common stock ROVR (X)		None			Sold	02/18/22	J		
17. - Nextdoor Holdings Inc Class A common stock KIND (X)		None			Sold (part)	06/23/22	K	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18.					Sold	09/06/22	K		
19. - Inspirato Inc Class A common stock ISPO (X)		None			Sold	08/16/22	K		
20. - Schwab Value Advantage mutual fund SWVXX	C	Dividend	O	T	Buy	11/09/22	O		
21. - Lord Abbett Short Duration Income mutual fund LLDYX	B	Dividend	N	T	Buy	12/07/22	N		
22. - Vangaurd Interm-Term Investment Grade Admiral mutual fund VFIDX	C	Dividend	P1	T	Buy	12/07/22	P1		
23. - Driehaus Emerging Markets Growth Insl mutual fund DIEMX	C	Dividend	N	T	Buy	12/07/22	N		
24. - GQG Partners Global Quality Equity Instl mutual fund GQRIX	E	Dividend	O	T	Buy	12/07/22	O		
25. - Victory Market Neutral Income mutual fund CBHIX	E	Dividend	N	T	Buy	12/07/22	N		
26. Investment Account 2 Schwab Charitable managed by KF Advisors (H)									
27. - Schwab Donor Advised - Janus Balanced Pool JABAX		None	J	T					
28. - Schwab Donor Advised - Manning & Napier Conservative Pool EXDAX		None	K	T					
29. Armistice LP	E	Dividend	O	U					
30. DAG Ventures V-QP, L.P.	F	Dividend	K	U	Sold (part)	01/01/22	M		
31. Hawk Ridge Partners II LP	E	Dividend	P1	U					
32. Sculptor (OZ) Domestic Partners II LP	B	Dividend	L	U					
33. PIMCO BRAVO Fund III Onshore Feeder, L.P.	E	Dividend	M	U					
34. RCP Apex II Syndication Partners LP	G	Dividend	J	U	Sold (part)	01/01/22	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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35. Riverstone Credit Partners, L.P.	A	Dividend	J	U	Sold (part)	01/01/22	J		
36. Solaris Ara Fund LP	E	Dividend	P1	U	Sold (part)	01/01/22	N		
37. Summit Strategies COI Fund I, L.P.	D	Dividend	N	U					
38. CGSH Partners Investments, LLC	G	Dividend	N	U					
39. Retirement Account 2 Cash Balance Plan (H)									
40. - Fidelity Government Cash Reserves FDRXX		None	K	T					
41. - PIMCO Total Return Instl PTTRX		None	L	T					
42. - Vanguard International Value Port Inv Cl VTRIX		None	J	T					
43. - Vanguard International Growth Admiral VWILX		None	J	T					
44. - Vanguard Hi Yield Corporate Admiral VWEAX		None	K	T					
45. - Fidelity Extended Market Index FSMAX		None	J	T					
46. - Fidelity 500 Index FXAIX		None	K	T					
47. - Fidelity Growth Company FDGRX		None	K	T					
48. - Fidelity Total Intl Index FTIHX		None	J	T					
49. - DFA Global Real Estate DFGEX		None	J	T					
50. - JPMorgan Small Cap Growth JGSVX		None	J	T					
51. - Vanguard Equity Income Admiral VEIRX		None	K	T					

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52. - Victory Sycamore Sm Co VSOIX		None	J	T					
53. - Fidelity U.S. Bond Index FXNAX		None	L	T					
54. - Fidelity Inflat-Prot Bd FIPDX		None	K	T					
55. - Fidelity Int'l Bond Index FBIIX		None	K	T					
56. - Fidelity Short Term Bond Index FNSOX		None	K	T					
57. JPMorgan Chase cash accounts	A	Interest	M	T					
58. Investment Account 3 Charles Schwab managed by KF Advisors (H)									
59. - Ark Innovation ETF ARKK		None	K	T					
60. - Blackrock Strategy Income BSIIX	C	Dividend	L	T					
61. - PIMCO Flexible Credit PFLEX	D	Dividend	L	T					
62. - Artisan International Value Fund APDKX	B	Dividend	L	T					
63. - Edgewood Growth Institutional Fund EGFIX	D	Dividend	L	T					
64. - J Hancock Disciplined Value Cl 1 JVLIX	C	Dividend	L	T					
65. - Touchstone Sands Emerging Markets Growth TSEGX		None	K	T					
66. - Vanguard 500 Index Fund VFIAX	B	Dividend	L	T					
67. - Vulcan Value Partners Small Cap Inst VVISX	D	Dividend			Sold (part)	12/07/22	K		
68.					Sold	12/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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69. - J Hancock Intl Growth Fund GOGIX	D	Dividend	K	T					
70. - Vanguard Short Term Bond Fund VFSUX	B	Dividend	L	T					
71. - Charles Schwab cash account	A	Interest	L	T					
72. - Champlain Small Company Inst mutual fund CIPNX	A	Dividend	K	T	Buy	12/07/22	K		
73. Investment Account 4 Charles Schwab managed by KF Advisors (H)									
74. - Ark Innovation ETF ARKK		None	K	T					
75. - Blackrock Strategy Income BSIIX	C	Dividend	L	T					
76. - PIMCO Flexible Credit PFLEX	D	Dividend	L	T					
77. - Artisan International Value Fund APDKX	B	Dividend	L	T					
78. - Edgewood Growth Institutional Fund EGFIX	D	Dividend	L	T					
79. - J Hancock Disciplined Value Cl 1 JVLIX	C	Dividend	L	T					
80. - Touchstone Sands Emerging Markets Growth TSEGX		None	K	T					
81. - Vanguard 500 Index Fd VFIAX	B	Dividend	L	T					
82. - Vulcan Value Partners Small Cap Inst VVISX		None			Sold	12/01/22	K		
83. - J Hancock Intl Growth Fund GOGIX	D	Dividend	K	T					
84. - Vanguard Short Term Bond Fund VFSUX	B	Dividend	L	T					
85. - Charles Schwab cash account	A	Interest	K	T					

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86. - Champlain Small Company Inst mutual fund CIPNX	A	Dividend	K	T	Buy	12/01/22	K		
87. Investment Account 5 Neuberger Berman (H) (Y)									
88. - Dreyfus Treasury Sec Cash Mgmt Admin DARXX (Y)									
89. - Air Products & Chem APD (Y)									
90. - Alphabet Inc Cap Stk Cl A GOOGL (Y)									
91. - Amazon.com Inc AMZN (Y)									
92. - Apple Inc AAPL (Y)									
93. - Coca Cola Co KO (Y)									
94. - Comcast Corp New Cl A CMCSA (Y)									
95. - Eaton Corp OLC Com ETN (Y)									
96. - Facebook Inc FB (Y)									
97. - Home Depot Inc Com HD (Y)									
98. - IHS Markit Ltd Com INFO (Y)									
99. - Johnson & Johnson JNJ (Y)									
100. - Microsoft Corp MSFT (Y)									
101. - Pfizer Inc PFE (Y)									
102. - Regeneron Pharmaceuticals REGN (Y)									

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103. - Union Pacific Corp. UNP (Y)									
104. - Universal Display Corp OLED (Y)									
105. - Visa Inc Com Cl A V (Y)									
106. - Zoetis Inc Com UDS0.01 Cl A ZTS (Y)									
107. - Adaptive Biotechnologies Corp ADPT (Y)									
108. - Cintas Corp CTAS (Y)									
109. - COSTCO Wholesale COST (Y)									
110. - Draftkings DKNG (Y)									
111. - Nike Inc NKE (Y)									
112. - Qualcomm QCOM (Y)									
113. - T-Mobile TMUS (Y)									
114. - Tesla TSLA (Y)									
115. - YETI Hldgs YETI (Y)									
116. - Hologic Inc common stock HOLX (Y)									
117. - Keurig Dr Pepper Inc common stock KDP (Y)									
118. - Starbucks Corp common stock SBUX (Y)									
119. - BHP Group PLC BBL (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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120. - Peloton Interactive Inc CL A common stock PTON (Y)									
121. Retirement Account 3 TIAA (H)									
122. - TIAA Traditional		None	L	T					
123. - CREF Stock R2		None	M	T					
124. - TIAA Real Estate		None	K	T					
125. - CREF Inf-Linked Bond R2		None	J	T					
126. Retirement Account 4 Charles Schwab IRA (H) (Y)									
127. - Bank of America Corp. common stock BAC (Y)									
128. - Ishares MSCI ACWI ETF ACWI (Y)									
129. - SPDR Dow Jones Industrial Avrg ETF DIA (Y)									
130. - Charles Schwab cash account (Y)									
131. - Ishares MSCI Emerging Markets ETF EEM (Y)									
132. - VanEck Vectors Junior Gold Miners ETF GDXJ (Y)									
133. Investment Account 6 Morgan Stanley (H)									
134. - Nuveen Co Bal Alloc PTF I CCFEX		None	L	T					
135. Investment Account 7 Morgan Stanley (H)									
136. - Nuveen Co Bal Alloc PTF I CCFEX		None	N	T	Sold (part)	09/30/22	K	D	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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137. Retirement Account 5 John Hancock managed by Wilmer Hale (H) (Y)									
138. - Vanguard Target Ret 2025 Inst (Y)									
139. Investment Account 8 Charles Schwab managed by KF Advisors (H)									
140. - PIMCO Inflation Response Multi Asset Fund PIRMX	F	Dividend	J	T	Sold (part)	12/07/22	O		
141. - Charles Schwab cash account	A	Interest	K	T					
142. - PIMCO Short Term mutual fund PTSHX	D	Dividend			Sold	12/07/22	N		
143. - River Canyon Total Return Bond Inst RCTIX	C	Dividend			Sold	04/05/22	N		
144. - Vanguard Short Term Bond Fund VFSUX	D	Dividend			Sold	12/07/22	N		
145. - Lord Abbett Short Duration Fund LLDYX	B	Dividend	O	T	Buy	12/07/22	O		
146. - Victory Market Neutral Income I Fund CBHIX	F	Dividend	O	T	Buy	12/07/22	O		
147. Fortress TSX Broadway LP		None	N	U					
148. Davidson Kempner Distressed Opportunities, LP	G	Dividend	P1	U					
149. Hudson Bay Fund LP	E	Dividend	P1	U					
150. Oak Hill Capital Partners V (Onshore Feeder), L.P.	A	Dividend	K	U					
151. Retirement Account 6 Merrill (Bank of America Co) (H) (Y)									
152. - Merrill cash account (Y)									
153. Corner Ventures DAG Fund I-C LP	A	Interest	M	U	Buy (add'l)	01/01/22	K		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
154. Fifth Wall Ventures III LP	A	Interest	N	U	Buy (add'l)	01/01/22	M		
155. Riverstone Credit Partners - Direct LP	A	Interest	M	U	Buy (add'l)	01/01/22	J		
156.					Sold (part)	12/31/22	L		
157. Investment Account 9 Charles Schwab Managed by KF Advisors (H)									
158. - Cintas Corp common stock CTAS	A	Dividend	K	T					
159. - Costco Wholesale Co common stock COST	A	Dividend	K	T					
160. - Danaher Corp common stock DHR	A	Dividend	K	T					
161. - Definance Nex Gen EFT FIVG	A	Dividend	K	T					
162. - Eaton Corp PLC common stock ETN	A	Dividend	K	T					
163. - ETFMG Prime Cyber ETF HACK	A	Dividend			Sold	06/13/22	K		
164. - Home Depot Inc common stock HD	A	Dividend	K	T					
165. - Innovator IBD 50 ETF FFTY		None			Sold	01/24/22	K		
166. - Intellia Therapeutics Inc common stock NTLA		None	J	T					
167. - Invesco QQQ Trust ETF QQQ	A	Dividend	L	T					
168. - Ishares Core S&P ETF IJR	A	Dividend	K	T					
169. - Ishares Expanded TCH STX ETF IGV	A	Dividend	K	T					
170. - Ishares Russell 2000 ETF IWM	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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171. - Nike Inc common stock NKE	A	Dividend	K	T					
172. - Regeneron Pharms Inc common stock REGN		None	L	T					
173. - Select Sector Health ETF XLV	A	Dividend	L	T					
174. - Starbucks Corp common stock SBUX	A	Dividend			Sold	04/25/22	K		
175. - Union Pacific Corp common stock UNP	A	Dividend	K	T					
176. - Vanguard Financials ETF VFH	B	Dividend	K	T					
177. - Vanguard S&P 500 ETF VOO	B	Dividend	L	T					
178. - Zoetis Inc common stock ZTS	A	Dividend	J	T					
179. - Charles Schwab cash account	A	Interest	L	T					
180. - Ishares Biotechnology ETF IBB	A	Dividend	K	T	Buy	04/21/22	K		
181. - Ishares Core S&P Mid Cap ETF IJH	A	Dividend	K	T	Buy	05/26/22	K		
182. Citizens Wealth cash accounts	A	Interest	N	T					
183. Investment Account 10 TIAA managed by TIAA (H)									
184. - TIAA cash account	A	Interest	K	T					
185. - Air Products and Chemicals Inc common stock APD	A	Dividend	K	T					
186. - Alphabet Inc common stock GOOGL		None	K	T					
187. - Amazon.com Inc common stock AMZN		None			Sold	03/08/22	L	F	

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188. - Apple Inc common stock AAPL	A	Dividend			Sold	03/08/22	L	F	
189. - Comcast Corp common stock CMCSA	A	Dividend	J	T					
190. - Costco Wholesale Corp common stock COST	A	Dividend	K	T					
191. - Eaton Corp PLC common stock ETN	A	Dividend	K	T					
192. - Home Depot Inc common stock HD	A	Dividend	K	T					
193. - Ishares Russell 2000 Growth ETF IWO		None			Sold	01/20/22	J		
194. - Ishares Russell 2000 Value ETF IWN	A	Dividend	J	T					
195. - Ishares Russell Mid-Cap Growth ETF IWP	A	Dividend			Sold	01/20/22	K		
196. - Ishares Russell Mid-Cap Value ETF IWS	A	Dividend			Buy (add'l)	03/08/22	K		
197.					Sold	09/14/22	L		
198. - Johnson & Johnson common stock JNJ	A	Dividend	K	T					
199. - Meta Platforms Inc common stock FB		None			Sold	03/08/22	J	D	
200. - Microsoft Corp common stock MSFT	A	Dividend	L	T					
201. - Nike Inc common stock NKE	A	Dividend	K	T					
202. - Qualcomm Inc common stock QCOM	A	Dividend	K	T					
203. - Regeneron Pharmaceuticals Inc common stock REGN		None	K	T					
204. - Starbucks Corp common stock SBUX		None			Sold	01/20/22	K		

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205. - T-Mobile US Inc common stock TMUS		None	K	T	Sold (part)	01/20/22	K	C	
206. - Union Pacific Corp common stock UNP	A	Dividend	K	T					
207. - Visa Inc common stock V	A	Dividend	K	T					
208. - YETI Holdings Inc common stock YETI		None			Sold	01/20/22	K	D	
209. - Zoetis Inc common stock ZTS	A	Dividend	K	T					
210. - Harding Loevner Inst Emerging Markets HLMEX		None			Buy (add'l)	01/21/22	J		
211.					Sold	04/11/22	J		
212. - Harding Loevner Intl Equity HLMIX		None			Buy (add'l)	01/20/22	J		
213.					Buy (add'l)	01/21/22	J		
214.					Sold	03/08/22	K		
215. - IHS Markit Ltd common stock INFO (merged with line 260)	A	Dividend			Merged (with line 260)	02/28/22	K		
216. - Ishares Core MSCI EAFE ETF IEFA	A	Dividend	L	T	Buy (add'l)	01/20/22	J		
217.					Buy (add'l)	01/21/22	J		
218.					Sold	03/08/22	K		
219.					Buy	09/14/22	L		
220. - Ishares Core MSCI Emerging Markets ETF IEMG	A	Dividend			Buy (add'l)	01/20/22	J		
221.					Buy (add'l)	01/21/22	J		

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222.					Buy (add'l)	03/08/22	J		
223.					Buy (add'l)	04/11/22	J		
224.					Sold	09/14/22	J		
225. - Ishares Short-Term Nat Muni Bond ETF SUB	A	Dividend	K	T	Buy (add'l)	12/23/22	K		
226. - Nuveen Intermed Dur Muni Bond Fund NUVBX	A	Dividend			Buy (add'l)	01/20/22	J		
227.					Buy (add'l)	01/24/22	J		
228.					Buy (add'l)	04/11/22	J		
229.					Sold	04/18/22	L		
230. - Vanguard Small Cap ETF VB	A	Dividend			Buy	01/20/22	J		
231.					Buy (add'l)	01/21/22	J		
232.					Sold	09/14/22	J		
233. - Vanguard Mid Cap Growth ETF VOT	A	Dividend			Buy	01/20/22	K		
234.					Buy (add'l)	03/08/22	K		
235.					Sold (part)	04/18/22	K		
236.					Sold	09/14/22	K		
237. - Federated Hermes Mdt Small Cap Growth Fund QLSGX		None			Buy	01/20/22	J		
238.					Sold	09/14/22	J		

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
239. - AQR Managed Futures Strategy Fund AQMRX	B	Dividend	J	T	Buy	02/22/22	J		
240.					Buy (add'l)	03/08/22	J		
241.					Sold (part)	12/23/22	J	A	
242. - Ishares Russell Top 200 Growth ETF IWY	A	Dividend			Buy	03/08/22	L		
243.					Sold	09/14/22	L		
244. - Vanguard FTSE Developed Markets ETF VEA	B	Dividend			Buy	03/08/22	M		
245.					Sold	09/14/22	L		
246. - Vanguard Value ETF VTV	A	Dividend	K	T	Buy	04/18/22	K		
247. - Nuveen New York Muni Bond Fund NTNYX	A	Dividend			Buy	04/18/22	K		
248.					Sold	12/23/22	K		
249. - Allspring Muni Bond Fund WMBRX	A	Dividend			Buy	04/18/22	K		
250.					Sold	12/23/22	K		
251. - Vanguard Mid Cap ETF VO	A	Dividend	K	T	Buy	09/14/22	K		
252. - Vanguard FTSE Emerging Markets ETF VWO	A	Dividend	K	T	Buy	09/14/22	K		
253. - Ishares Core S&P 500 ETF IVV	A	Dividend	L	T	Buy	09/14/22	L		
254. - Ishares Russell 2000 ETF IWM	A	Dividend			Buy	09/14/22	K		
255.					Sold	12/23/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
256. - Ishares Russell Mid Cap ETF IWR	A	Dividend	K	T	Buy	09/14/22	K		
257. - Ishares Core S&P Small Cap ETF IJR		None	K	T	Buy	12/23/22	K		
258. - Vanguard New York Long Term Tax Exempt Fund VNYUX	A	Dividend	L	T	Buy	12/23/22	L		
259. - Delaware Small Cap Value Fund DVZRX		None	J	T	Buy	12/23/22	J		
260. - S&P Global Inc common stock SPGI (X)	A	Dividend	K	T					
261. Retirement Account 7 TIAA managed by TIAA (H)									
262. - TIAA cash account	A	Interest	J	T					
263. - Clearbridge Large Cap Growth Fund LSITX		None			Buy (add'l)	01/20/22	J		
264.					Sold (part)	02/22/22	J		
265.					Sold	05/26/22	J		
266. - Cohen & Steers Real Estate Fund CREFX	A	Dividend			Sold	05/26/22	J		
267. - FlexShares Quality Dividend Index Fund QDF	A	Dividend	J	T	Sold (part)	05/26/22	J		
268. - Ishares Russell 2000 Growth ETF IWO	A	Dividend			Sold	05/26/22	J		
269. - Ishares Russell 2000 Value ETF IWN	A	Dividend			Sold	05/26/22	J		
270. - Ishares Russell Mid-Cap Growth ETF IWP	A	Dividend			Buy (add'l)	01/10/22	J		
271.					Sold	05/26/22	J		
272. - Ishares Russell Mid-Cap Value ETF IWS	A	Dividend			Sold	05/26/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
273. - TIAA-CREF Large Cap Growth Index Fund TILIX		None			Buy (add'l)	02/22/22	J		
274.					Sold	05/26/22	J		
275. - TIAA-CREF Large Cap Value Index Fund TILVX	A	Dividend	J	T					
276. - Harding Loevner Institut Emerging Markets HLMEX		None			Sold	05/26/22	J		
277. - Harding Loevner Intl Equity HLMIX		None			Sold	05/26/22	J		
278. - Ishares Core MSCI EAFE ETF IEFA	A	Dividend			Sold	05/26/22	J		
279. - Ishares Core MSCI Emerging Markets ETF IEMG		None			Sold	05/26/22	J		
280. - Ishares MSCI EAFE Small Cap ETF SCZ	A	Dividend			Sold (part)	05/26/22	J		
281.					Sold	07/21/22	J		
282. - MFS Intl Discovery Fund MIDLX		None			Sold (part)	05/26/22	J		
283.					Sold	07/22/22	J		
284. - Baird Core Plus Bond Fund BCOIX	A	Dividend	J	T	Buy (add'l)	01/06/22	J		
285.					Sold (part)	08/29/22	J		
286. - DFA Inflation Protected Securities Portfolio DIPSX	A	Dividend	J	T	Sold (part)	05/26/22	J		
287.					Sold (part)	07/22/22	J		
288. - TIAA-CREF High Yield Fund TIHYX	A	Dividend	J	T					
289. - Vanguard Intermediate Term Bond Index VBILX	A	Dividend	J	T	Buy (add'l)	01/06/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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290.					Sold (part)	08/29/22	J		
291. - Vanguard Short Term Bond Index VBIRX	A	Dividend	J	T	Buy (add'l)	01/06/22	J		
292.					Sold (part)	08/29/22	J		
293. - Ishares Gold Trust IAU		None	J	T	Sold (part)	08/29/22	J		
294. - Jensen Quality Growth Fund JENYX	A	Dividend	J	T	Buy	02/22/22	J		
295.					Buy (add'l)	05/26/22	J		
296. - Ishares Russell Mid-Cap ETF IWR	A	Dividend	J	T	Buy	05/26/22	J		
297. - Nuveen ESG Emerging Markets Fund NUEM	A	Dividend	J	T	Buy	05/26/22	J		
298.					Buy (add'l)	08/29/22	J		
299. - Ishares MSCI Intnl Quality Factor ETF IQLT	A	Dividend	J	T	Buy	05/26/22	J		
300. - SPDR Portfolio Emerging Markets ETF SPEM	A	Dividend	J	T	Buy	05/26/22	J		
301.					Buy (add'l)	10/27/22	J		
302. - SPDR Portfolio Developed World Ex-US ETF SPDW	A	Dividend	K	T	Buy	05/26/22	K		
303.					Buy (add'l)	07/07/22	J		
304.					Buy (add'l)	07/21/22	J		
305.					Buy (add'l)	08/29/22	J		
306.					Buy (add'l)	10/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
307. - Vanguard Small Cap Value ETF VBR	A	Dividend	J	T	Buy	05/26/22	J		
308. - Ishares Russell 2000 ETF IWM	A	Dividend	J	T	Buy	05/26/22	J		
309. - Ishares Russell Top 200 Growth ETF IWY	A	Dividend	J	T	Buy	05/26/22	K		
310. - Vanguard Equity Income Fund VEIRX	A	Dividend	J	T	Buy	05/26/22	J		
311. - AQR Managed Futures Strategy Fund AQMRX	A	Dividend	J	T	Buy	05/26/22	J		
312. - Diamond Hill Long Short Fund DHLSX	A	Dividend	J	T	Buy	07/22/22	J		
313. Fifth Wall Early-Stage Ventures LP		None	M	U	Buy	01/01/22	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Retirement Account 1 Fidelity Investments: Lines 1-3 managed by Cleary Gottlieb Steen & Hamilton LLP were transferred in-kind into Thrift Savings Plan during 2021, which is excludable from reporting under Section 102(i)(1).
Investment Account 1 Charles Schwab managed by KF Advisors: Line 16, 17 and 19 were spinoffs from DAG Ventures V QP, LP (Line 30), resulting in a Column D sale with no prior purchase.
Retirement Account 2 Cash Balance Plan: Lines 40-56 Retirement plan did not provide income information nor purchase/sales information.
Investment Account 5 Neuberger Berman: Lines 88-120 were transferred in-kind into Investment Account 10, managed by TIAA during 2021; therefore, there is no corresponding reportable transaction in Column D.
Retirement Account 4 Charles Schwab IRA: Lines 127-132 were transferred in-kind into Retirement Account 7, managed by TIAA during 2021; therefore, there is no corresponding reportable transaction in Column D.
Retirement Account 5 John Hancock managed by Wilmer Hale: Line 138 was transferred in-kind into Thrift Savings Plan during 2021, which is excludable from reporting under Section 102(i)(1).
Retirement Account 6 Merrill (Bank of America Co): Line 152 was transferred in-kind into Thrift Savings Plan during 2021, which is excludable from reporting under Section 102(i)(1).
Investment Account 9 Charles Schwab Managed by KF Advisors: Line 169 Ishares Expanded TCH STX IGV was incorrectly labled IVG on previous filing.
Investment Account 10 TIAA managed by TIAA: Line 260 was a obtained via merger with IHS Markit (INFO), line 215.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lewis J. Liman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544