Annual, 2025

Financial Disclosure Report (AO Form 10)

Filer's Information
Liman, Lewis J.
U.S. District Court - New York Southern
District Judge

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. Â§ 13141 et. seq., 5 U.S.C. Â§ 7353, and Judicial Conference regulations.

/s/ Liman, Lewis J. [electronically signed on Jul 9, 2026 3:33pm by Liman, Lewis J. in JEFS]
/s/ Liman, Lewis J. [electronically signed on May 18, 2026 3:28pm by Liman, Lewis J. in JEFS]

I. Positions

I do not have any Positions to report
No entries in this section

II. Agreements

	EMPLOYER OR PARTY NAME	TERMS	AGREEMENT START MONTH	AGREEMENT START YEAR
1.	Cleary Gottlieb Steen	retirement plan with former law firm; firm-managed asset selection		2003

III A. Filer Non-Investment Income

I do not have any Filer Non-Investment Income to report
No entries in this section

III B. Spouse Non-Investment Income

I do not have any Spouse Non-Investment Income to report
No entries in this section

IV. Reimbursements

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Law Institute	May 18, 2025	May 21, 2025	Washington, DC	2025 Annual Meeting	Registration, Hotel, & Transportation

	SOURCE OF REIMBURSEMENT	FROM DATE	TO DATE	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
2.	CÃrculo de Advogados de Contencioso (CAC) & Luso-American Foundation (FLAD)	Jul 9, 2025	Jul 13, 2025	Estoril, Portugal	2025 Conference	Hotel & Transportation

V. Gifts

I do not have any Gifts to report
No entries in this section

VI. Liabilities

I do not have any Liabilities to report
No entries in this section

VII. Investments and Trusts

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
1.	**(H) Investment Account 1 Schwab Charitable (H)**				
1-A.	- Schwab Donor Advised - Janus Balanced Pool JABAX		None	$15,001 - $50,000	Cash/Market
1-B.	- Schwab Donor Advised - JP Morgan Investor Conservative (ONCFX)		None	$50,001 - $100,000	Cash/Market
1-C.	- Schwab Donor Advised - Alphabet Inc Common Stock (GOOGL) (X)		None		

Additional Explanation: Schwab Donor Advised Fund - Alphabet Inc Common Stock (GOOGL) was transferred in-kind from Investment Account 5 TIAA during 2025; therefore, there is no corresponding reportable buy transaction.

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Oct 28, 2025	$100,001 - $250,000

2.	**Armistice Capital Fund LP**	$2,501 - $5,000	Dividend,Gain	$50,001 - $100,000	Book Value

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 31, 2025	$100,001 - $250,000

3.	**Sculptor (OZ) Domestic Partners II LP**	$1,000 or less	Dividend	$15,001 - $50,000	Book Value
4.	**PIMCO BRAVO Fund III Onshore Feeder, L.P.**	$15,001 - $50,000	Dividend	$100,001 - $250,000	Book Value
5.	**Summit Strategies COI Fund I, L.P.**	$15,001 - $50,000	Dividend	$100,001 - $250,000	Book Value
6.	**CGSH Partners Investments, LLC**	$15,001 - $50,000	Dividend	$250,001 - $500,000	Book Value
7.	**(H) Retirement Account 3 Cash Balance Plan (H)**				

Additional Explanation: Assets reporting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings but instead are credited only in unit value.

7-A.	- Fidelity Government Cash Reserves FDRXX		None	$15,001 - $50,000	Cash/Market

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
7-B.	- PIMCO Total Return Instl PTTRX		None	$50,001 - $100,000	Cash/Market
7-C.	- Vanguard International Value Port Inv Cl VTRIX		None	$15,000 or less	Cash/Market
7-D.	- Vanguard International Growth Admiral VWILX		None	$15,000 or less	Cash/Market
7-E.	- Vanguard Hi Yield Corporate Admiral VWEAX		None	$15,001 - $50,000	Cash/Market
7-F.	- Fidelity Extended Market Index FSMAX		None	$15,000 or less	Cash/Market
7-G.	- Fidelity 500 Index FXAIX		None	$15,000 or less	Cash/Market
7-H.	- Fidelity Growth Company FDGRX		None	$15,000 or less	Cash/Market
7-I.	- Fidelity Total Intl Index FTIHX		None	$15,000 or less	Cash/Market
7-J.	- DFA Global Real Estate DFGEX		None	$15,000 or less	Cash/Market
7-K.	- JPMorgan Small Cap Growth JGSVX		None	$15,000 or less	Cash/Market
7-L.	- Vanguard Equity Income Admiral VEIRX		None	$15,001 - $50,000	Cash/Market
7-M.	- Victory Sycamore Sm Co VSOIX		None	$15,000 or less	Cash/Market
7-N.	- Fidelity U.S. Bond Index FXNAX		None	$50,001 - $100,000	Cash/Market
7-O.	- Fidelity Inflat-Prot Bd FIPDX		None	$15,001 - $50,000	Cash/Market
7-P.	- Fidelity Int'l Bond Index FBIIX		None	$15,001 - $50,000	Cash/Market
7-Q.	- Fidelity Short Term Bond Index FNSOX		None	$15,001 - $50,000	Cash/Market
8.	**JPMorgan Chase cash accounts**	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
9.	**(H) Retirement Account 2 TIAA (H)**				
9-A.	- TIAA Traditional	$2,501 - $5,000	Interest	$50,001 - $100,000	Cash/Market
9-B.	- CREF Stock R2	$15,001 - $50,000	Interest	$100,001 - $250,000	Cash/Market
9-C.	- TIAA Real Estate	$1,000 or less	Interest	$15,001 - $50,000	Cash/Market
9-D.	- CREF Inf-Linked Bond R2	$1,000 or less	Interest	$15,000 or less	Cash/Market
10.	**Fortress TSX Broadway LP**	$1,000 or less	Interest	$250,001 - $500,000	Book Value
11.	**Oak Hill Capital Partners(Onshore Feeder), L.P.**	$1,000 or less	Dividend	$100,001 - $250,000	Book Value
12.	**Corner Ventures DAG Fund I-C LP**	$1,000 or less	Interest,Gain	$100,001 - $250,000	Book Value

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 31, 2025	$15,000 or less

13.	**Fifth Wall Ventures III LP**	$1,000 or less	Interest	$250,001 - $500,000	Book Value

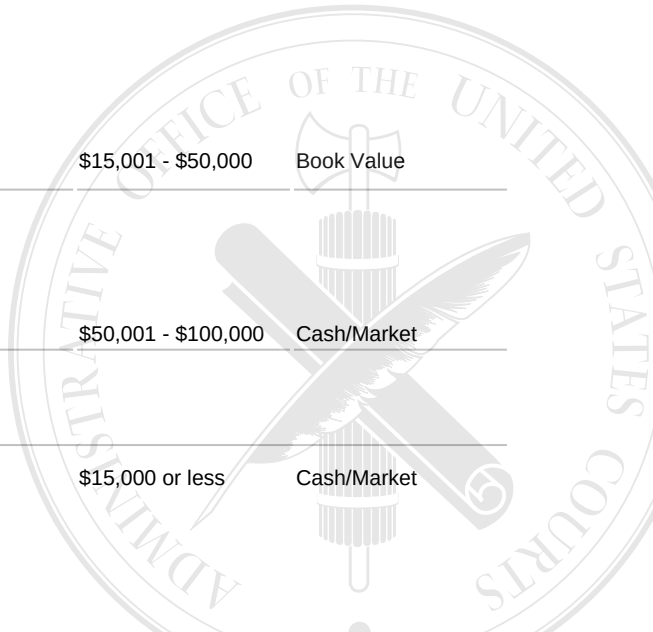
Additional Explanation: Fifth Wall Ventures III LP distributed out Service Titan Inc Common Stock TTAN in-kind into Investment Account 3 TIAA.

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 31, 2025	$15,000 or less
(2).	Buy (add'l)	Jan 1, 2025	$15,000 or less

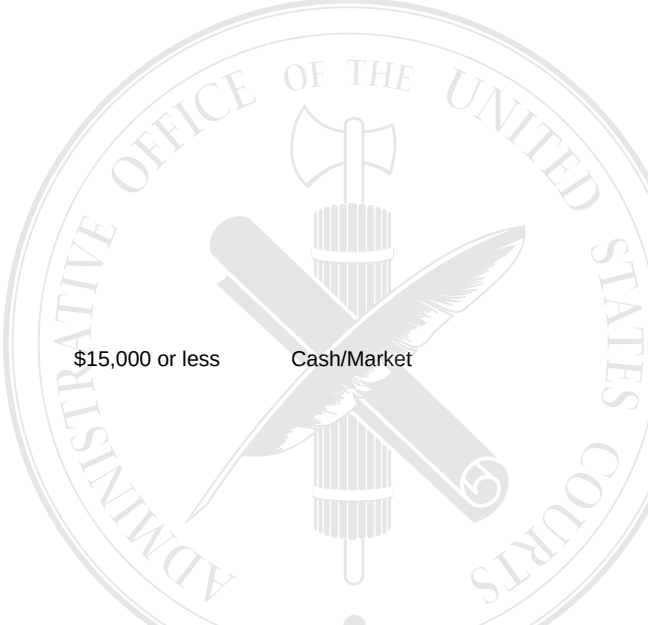
14.	**Riverstone Credit Partners - Direct LP**	$1,000 or less	Interest	$15,001 - $50,000	Book Value

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 31, 2025	$15,000 or less

15.	**Citizens Wealth cash accounts**	$1,000 or less	Interest	$50,001 - $100,000	Cash/Market
16.	**(H) Retirement Account 1 TIAA managed by TIAA (H)**				
16-A.	- Baron Emerging Markets Fund BEXIX	$1,000 or less	Dividend	$15,000 or less	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Jun 3, 2025 $15,000 or less				
16-B.	- Vanguard Mid-Cap Value ETF VOE	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Oct 10, 2025 $15,000 or less				
16-C.	- Vanguard Mid-Cap Growth ETF VOT	$1,000 or less	Dividend	$15,000 or less	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Buy Feb 21, 2025 $15,000 or less				
	(2). Sold (part) Jun 3, 2025 $15,000 or less				
16-D.	- Federated Hermes Mdt Small Cap Growth Fund QLSGX		None	$15,000 or less	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Jun 3, 2025 $15,000 or less				
16-E.	- TIAA cash account	$1,000 or less	Interest	$15,000 or less	Cash/Market
16-F.	- Baird Core Plus Bond Fund BCOIX	$1,000 or less	Dividend,Gain	$15,000 or less	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Aug 27, 2025 $15,000 or less				
	(2). Buy (add'l) Jun 3, 2025 $15,000 or less				
16-G.	- Vanguard Intermediate Term Bond Index VBILX	$1,000 or less	Dividend,Gain		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Jun 3, 2025 $15,000 or less				
16-H.	- Vanguard Short Term Bond Index VBIRX	$1,000 or less	Dividend		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Jun 3, 2025 $15,000 or less				
16-I.	- SPDR Portfolio Emerging Markets ETF SPEM	$1,000 or less	Gain		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Jun 3, 2025 $15,000 or less				
16-J.	- SPDR Portfolio Developed World Ex-US ETF SPDW	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Jan 27, 2025 $15,000 or less				
	(2). Buy (add'l) Jun 3, 2025 $15,000 or less				
	(3). Buy (add'l) Aug 27, 2025 $15,000 or less				
16-K.	- Ishares Russell Top 200 Growth ETF IWY	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold (part) Dec 2, 2025 $15,000 or less				
	(2). Buy (add'l) Jun 3, 2025 $15,000 or less				
	(3). Buy (add'l) Dec 18, 2025 $15,000 or less				
16-L.	- Allspring Special Mid Cal Value Fund WFPRX		None		
	Transactions **Transaction Type** **Transaction Date** **Transaction Value**				
	(1). Sold Jun 3, 2025 $15,000 or less				
16-M.	- iShares Russell 2000 Value ETF IWN	$1,000 or less	Dividend	$15,000 or less	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 27, 2025	$15,000 or less
(2).	Buy (add'l)	Aug 27, 2025	$15,000 or less
(3).	Sold (part)	Nov 13, 2025	$15,000 or less

16-N.	- iShares Russell Mid-Cap Growth ETF IWP		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Feb 21, 2025	$15,000 or less

16-O.	- iShares Russell Mid-Cap Value ETF IWS	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jun 3, 2025	$15,000 or less
(2).	Sold	Jun 20, 2025	$15,000 or less

16-P.	- iShares Russell Top 200 Value ETF IWX	$1,000 or less	Dividend,Gain	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Feb 27, 2025	$15,000 or less
(2).	Buy (add'l)	Jun 3, 2025	$15,000 or less
(3).	Sold (part)	Oct 15, 2025	$15,000 or less
(4).	Buy (add'l)	Nov 12, 2025	$15,000 or less

16-Q.	- Vanguard S&P 500 ETF VOO	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jun 3, 2025	$15,000 or less

16-R.	- DFA Large Cap International Portfolio DFALX	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jun 3, 2025	$15,000 or less

16-S.	- iShares Core MSCI Emerging Markets ETF IEMG	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jun 3, 2025	$15,000 or less

16-T.	- iShares MBS ETF MBB	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Aug 27, 2025	$15,000 or less

16-U.	- iShares Broad USD Investment Grade Corporate Bond ETF USIG	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Aug 27, 2025	$15,000 or less

16-V.	- State Street Industrial Select Sector SPDR ETF XLI	$1,000 or less	Dividend	$15,000 or less	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 15, 2025	$15,000 or less
(2).	Buy (add'l)	Nov 4, 2025	$15,000 or less
(3).	Buy (add'l)	Nov 28, 2025	$15,000 or less

16-W.	- Utilities Select Sector SPDR Fund XLU	$1,001 - $2,500	Dividend,Gain		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jun 20, 2025	$15,000 or less
(2).	Sold	Oct 10, 2025	$15,000 or less

16-X.	- Health Care Select Sector SPDR Fund XLV		None		



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Oct 15, 2025	$15,000 or less
(2).	Sold	Nov 12, 2025	$15,000 or less

16-Y.	- iShares Currency Hedged MSCI EAFE ETF HEFA		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 27, 2025	$15,000 or less
(2).	Sold	Jun 3, 2025	$15,000 or less

17.	**Fifth Wall Early-Stage Ventures LP**	$1,000 or less	Interest	$100,001 - $250,000	Book Value
18.	**(H) Investment Account 5 TIAA managed by TIAA (H)**				
18-A.	- Goldman Sachs Financial Square Treasury Solutions Fund FEDXX		None	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Dec 26, 2025	$500,001 - $1,000,000

18-B.	- Federated Hermes Mdt Small Cap Growth Fund QLSGX		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 16, 2025	$15,001 - $50,000

18-C.	- TIAA cash account	$1,001 - $2,500	Interest	$100,001 - $250,000	Cash/Market
18-D.	- Air Products and Chemicals Inc common stock APD	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-E.	- Alphabet Inc common stock GOOGL		None		

Additional Explanation: Alphabet Inc Common Stock (GOOGL) was transferred in-kind into Investment Account 1 Schwab Charitable during 2025; therefore, there is no corresponding reportable sale transaction.

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Donated		

18-F.	- Costco Wholesale Corp common stock COST	$1,001 - $2,500	Dividend	$50,001 - $100,000	Cash/Market
18-G.	- Eaton Corp PLC common stock ETN	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
18-H.	- Home Depot Inc common stock HD	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
18-I.	- Johnson & Johnson common stock JNJ	$5,001 - $15,000	Dividend,Gain		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 10, 2025	$15,001 - $50,000

18-J.	- Microsoft Corp common stock MSFT	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 7, 2025	$15,001 - $50,000

Additional Explanation: This transaction was not included in a prior periodic transaction report. Financial Disclosure Report AO Form 10T for this transaction was filed on May 18, 2026.

18-K.	- Regeneron Pharmaceuticals Inc common stock REGN	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market
18-L.	- T-Mobile US Inc common stock TMUS	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-M.	- Union Pacific Corp common stock UNP	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-N.	- Visa Inc common stock	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
18-O.	- iShares Core MSCI EAFE ETF IEFA	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 10, 2025	$100,001 - $250,000
(2).	Buy (add'l)	Apr 16, 2025	$100,001 - $250,000

18-P.	- Vanguard Value ETF VTV	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market

ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
18-Q.	- Vanguard Mid-Cap ETF VO	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
18-R.	- iShares Core S&P 500 ETF IVV	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
18-S.	- iShares Russell Mid-Cap ETF IJH	$1,000 or less	Dividend	$50,001 - $100,000	Cash/Market
18-T.	- Vanguard New York Long-Term Tax-Fund VNYUX	$2,501 - $5,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 15, 2025	$100,001 - $250,000

18-U.	- S&P Global Inc common stock SPGI	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-V.	- Vanguard Growth ETF VUG	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market
18-W.	- iShares Core MSCI Emerging Markets ETF IEMG	$5,001 - $15,000	Dividend,Gain		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Aug 5, 2025	$15,001 - $50,000

18-X.	- iShares National Muni Bond ETF MUB	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 15, 2025	$15,001 - $50,000

18-Y.	- State Street Energy Select Sector SPDR Fund ETF XLE	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-Z.	- iShares Rusell 2000 Value ETF IWN	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Apr 16, 2025	$15,001 - $50,000

18-AA.	- Vanguard Russell 1000 Growth ETF VONG	$1,000 or less	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jun 3, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Aug 5, 2025	$15,001 - $50,000

18-AB.	- Causeway Emerging Markets Fund CEMIX		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jan 10, 2025	$50,001 - $100,000

18-AC.	- MFS Institutional Trust - MFS International Equity Fund MIEIX	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
18-AD.	- Vanguard FTSE Emerging Markets ETF VWO	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 10, 2025	$50,001 - $100,000

18-AE.	- iShares Short Term National Bond ETF SUB	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
18-AF.	- iShares Currency Hedged MSCI EAFE ETF HEFA		None		

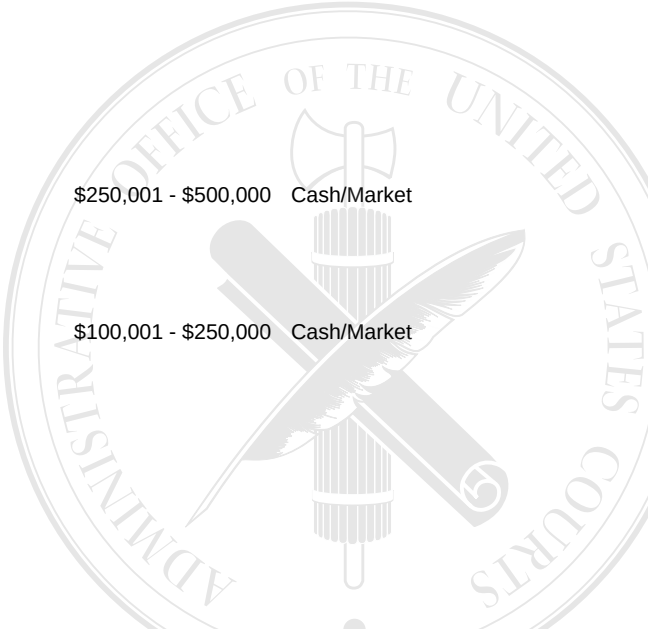
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Jan 10, 2025	$100,001 - $250,000
(2).	Sold	Apr 16, 2025	$100,001 - $250,000

18-AG.	- Nuveen New York Municipal Bond Fund NTNYX	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 15, 2025	$250,001 - $500,000

18-AH.	- iShares Russell 2000 ETF IWM	$1,001 - $2,500	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 16, 2025	$50,001 - $100,000
(2).	Sold (part)	Nov 7, 2025	$15,000 or less



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
18-AI.	- iShares Gold Trust IAU		None	$15,000 or less	Cash/Market
19.	**(H) Investment Account 4 TIAA managed by TIAA (H)**				
19-A.	- TIAA cash account	$2,501 - $5,000	Interest	$100,001 - $250,000	Cash/Market
19-B.	- Allspring Special Mid Cap Value Fund WFPRX	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$50,001 - $100,000

19-C.	- iShares Core S&P 500 ETF IVV	$5,001 - $15,000	Dividend	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 27, 2025	$50,001 - $100,000
(2).	Buy (add'l)	May 2, 2025	$15,001 - $50,000
(3).	Buy (add'l)	Oct 6, 2025	$15,001 - $50,000

19-D.	- iShares Russell 2000 Value ETF IWN	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$15,000 or less

19-E.	- iShares Russell Mid Cap Growth ETF IWP	$2,501 - $5,000	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 26, 2025	$15,000 or less

19-F.	- iShares Russell Mid Cap Value ETF IWS	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
19-G.	- Vanguard Russell 1000 Growth ETF VONG	$1,000 or less	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 27, 2025	$50,001 - $100,000
(2).	Buy (add'l)	Jun 3, 2025	$15,000 or less
(3).	Buy (add'l)	Jun 18, 2025	$15,000 or less
(4).	Buy (add'l)	Jul 8, 2025	$15,000 or less
(5).	Buy (add'l)	Aug 19, 2025	$15,000 or less
(6).	Buy (add'l)	Oct 6, 2025	$15,000 or less

19-H.	- Vanguard Value ETF VTV	$15,001 - $50,000	Dividend,Gain	$250,001 - $500,000	Cash/Market

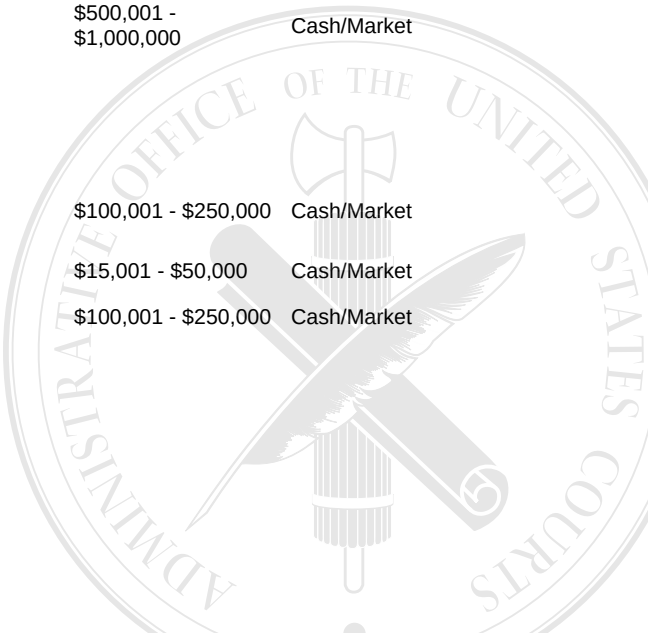
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 26, 2025	$50,001 - $100,000
(2).	Sold (part)	Jul 8, 2025	$15,001 - $50,000
(3).	Sold (part)	Oct 6, 2025	$15,001 - $50,000

19-I.	- iShares Core MSCI EAFE ETF IEFA	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 2, 2025	$15,001 - $50,000
(2).	Buy (add'l)	May 28, 2025	$15,000 or less

19-J.	- MFS Institutional Trust MFS International Equity Fund MIEIX	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market
19-K.	- Vanguard FTSE Emerging Markets ETF VWO	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
19-L.	- iShares Russell 2000 ETF IWM	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
19-M.	- Causeway Emerging Markets Fund CEMIX		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jan 27, 2025	$15,000 or less



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
19-N.	- Driehaus Emerging Markets Growth Fund DIEMX	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market
19-O.	- GQG Partners Global Quality Equity Fund	$15,001 - $50,000	Dividend,Gain	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 8, 2025	$15,000 or less
(2).	Sold (part)	Oct 6, 2025	$15,001 - $50,000

19-P.	- Lord Abbett Short Duration Income Fund LOLDX	$5,001 - $15,000	Dividend	$50,001 - $100,000	Cash/Market
19-Q.	- Allspring Municipal Bond Fund WMBRX	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$15,001 - $50,000

19-R.	- iShares Short Term National Muni Bond SUB		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jan 27, 2025	$15,000 or less

19-S.	- Vanguard New York Long Term Tax Fund VNYUX	$2,501 - $5,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$250,001 - $500,000

19-T.	- Alerian MLP ETF AMLP	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
19-U.	- AQR Managed Future Strategy Fund AQMRX		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jan 27, 2025	$15,000 or less

19-V.	- iShares Gold Trust IAU		None	$15,001 - $50,000	Cash/Market
19-W.	- Federated Hermes Mdt Small Cap Growth Fund QLSGX		None		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$15,000 or less

19-X.	- iShares New York AMT-Free Muni Bond ETF NYF	$5,001 - $15,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 26, 2025	$250,001 - $500,000
(2).	Sold	Apr 15, 2025	$250,001 - $500,000

19-Y.	- Nuveen New York Municipal Bond Fund NTNYX	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

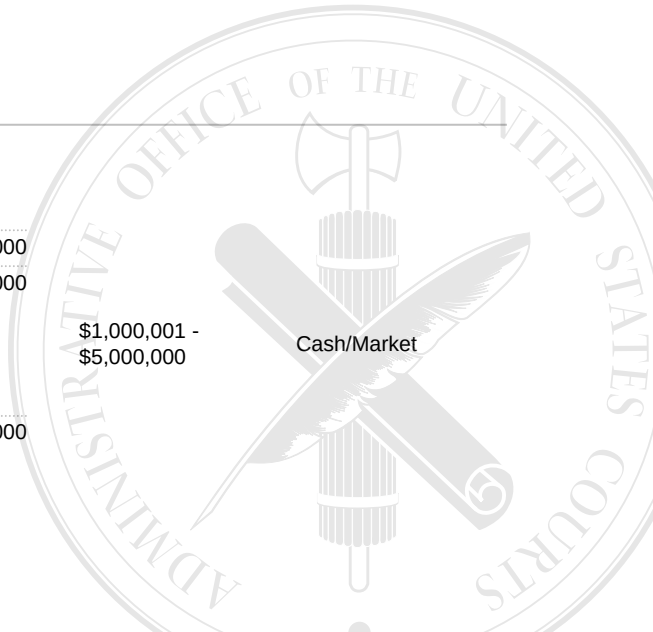
Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 15, 2025	$250,001 - $500,000

20.	**(H) Investment Account 3 TIAA managed by TIAA (H)**				
20-A.	- iShares New York AMT-Free Muni Bond ETF NYF	$5,001 - $15,000	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 26, 2025	$1,000,001 - $5,000,000
(2).	Sold	Apr 15, 2025	$1,000,001 - $5,000,000

20-B.	- Nuveen New York Municpal Bond Fund NTNYX	$50,001 - $100,000	Dividend	$1,000,001 - $5,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 15, 2025	$1,000,001 - $5,000,000



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
20-C.	- Regeneron Pharms Inc common stock REGN		None	$50,001 - $100,000	Cash/Market
20-D.	- Vanguard S&P 500 ETF VOO	$5,001 - $15,000	Dividend	$1,000,001 - $5,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Nov 7, 2025	$15,001 - $50,000

20-E.	- TIAA cash accounts	$5,001 - $15,000	Interest	$50,001 - $100,000	Cash/Market
20-F.	- Energy Select Sector XLE	$1,000 or less	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	May 28, 2025	$50,001 - $100,000

20-G.	- Goldman Sachs Financial Square Treasury Solutions Fund FEDXX	$15,001 - $50,000	Dividend	$250,001 - $500,000	Cash/Market
20-H.	- Allspring Special Mid Cap Value Fund WFPRX	$5,001 - $15,000	Dividend,Gain		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$100,001 - $250,000

20-I.	- iShares Russell 2000 Value ETF IWN	$2,501 - $5,000	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	May 28, 2025	$15,001 - $50,000
(2).	Sold (part)	Jun 25, 2025	$15,000 or less
(3).	Sold (part)	Jul 2, 2025	$15,000 or less
(4).	Sold (part)	Dec 2, 2025	$15,000 or less

20-J.	- iShares Russell Mid Cap Growth ETF IWP	$5,001 - $15,000	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Aug 19, 2025	$100,001 - $250,000
(2).	Sold (part)	Sep 5, 2025	$15,000 or less
(3).	Sold (part)	Sep 26, 2025	$50,001 - $100,000

20-K.	- iShares Russell Mid Cap Value ETF IWS	$5,001 - $15,000	Dividend,Gain	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Sep 26, 2025	$15,000 or less
(2).	Sold (part)	Dec 2, 2025	$15,001 - $50,000

20-L.	- Vanguard Russell 1000 Growth ETF VONG	$5,001 - $15,000	Dividend,Gain	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Sep 5, 2025	$50,001 - $100,000
(2).	Sold (part)	Dec 1, 2025	$50,001 - $100,000

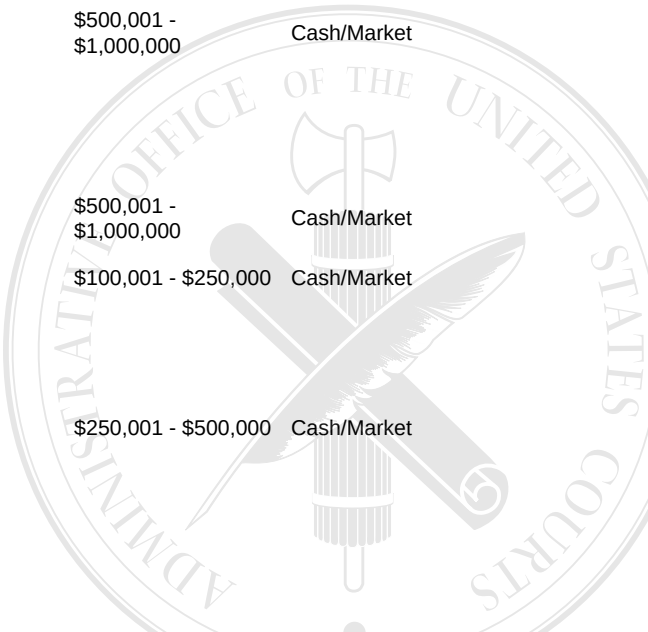
20-M.	- Vanguard Value ETF VTV	$5,001 - $15,000	Dividend	$500,001 - $1,000,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	May 28, 2025	$100,001 - $250,000
(2).	Sold (part)	Aug 19, 2025	$15,000 or less

20-N.	- iShares Core MSCI EAFE ETF IEFA	$15,001 - $50,000	Dividend	$500,001 - $1,000,000	Cash/Market
20-O.	- MFS Institutional Trust MFS International Equity Fund MIEIX	$5,001 - $15,000	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Dec 1, 2025	$15,001 - $50,000

20-P.	- Vanguard FTSE Emerging Markets ETF VWO	$15,001 - $50,000	Dividend,Gain	$250,001 - $500,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 3, 2025	$15,001 - $50,000
(2).	Sold (part)	Jul 2, 2025	$15,001 - $50,000
(3).	Sold (part)	Sep 5, 2025	$15,000 or less
(4).	Sold (part)	Sep 26, 2025	$15,000 or less
(5).	Sold (part)	Dec 1, 2025	$15,000 or less
(6).	Sold (part)	Dec 17, 2025	$15,000 or less

20-Q. - Alerian MLP ETF AMLP — $2,501 - $5,000 — Dividend — $50,001 - $100,000 — Cash/Market

20-R. - AB High Income Municipal Portfolio ABTZX — $2,501 - $5,000 — Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$100,001 - $250,000

20-S. - Allspring Ultra Short Term Municipal Income Fund WUSRX — $5,001 - $15,000 — Dividend — $100,001 - $250,000 — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 26, 2025	$100,001 - $250,000

20-T. - iShares Short Term National Muni Bond ETF SUB — $2,501 - $5,000 — Dividend,Gain

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 3, 2025	$50,001 - $100,000
(2).	Sold (part)	Feb 3, 2025	$50,001 - $100,000
(3).	Sold (part)	Jun 3, 2025	$15,000 or less
(4).	Sold (part)	Jun 24, 2025	$15,000 or less
(5).	Sold (part)	Jun 25, 2025	$15,000 or less
(6).	Sold	Jul 8, 2025	$15,000 or less

20-U. - Vanguard New York Long Term Tax Fund VNYUX — $50,001 - $100,000 — Dividend

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$1,000,001 - $5,000,000

20-V. - iShares Gold Trust IAU — $5,001 - $15,000 — Gain — $50,001 - $100,000 — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jan 3, 2025	$15,000 or less
(2).	Sold (part)	May 28, 2025	$15,001 - $50,000
(3).	Sold (part)	Jun 3, 2025	$15,000 or less
(4).	Sold (part)	Jul 8, 2025	$15,000 or less

20-W. - Federated Hermes Mdt Small Cap Growth Fund QLSGX — $5,001 - $15,000 — Dividend,Gain — $50,001 - $100,000 — Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	May 28, 2025	$50,001 - $100,000
(2).	Sold (part)	Jun 25, 2025	$15,000 or less
(3).	Sold (part)	Jul 2, 2025	$15,000 or less
(4).	Sold (part)	Sep 5, 2025	$15,000 or less

20-X. - ServiceTitan Inc Common Stock TTAN (X) — $15,001 - $50,000 — Gain

Additional Explanation: ServiceTitan Inc Common Stock TTAN was distributed in-kind from Fifth Wall Ventures; therefore, there is no corresponding reportable buy transaction.

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Jul 2, 2025	$15,001 - $50,000

Additional Explanation: This transaction was not included in a prior periodic transaction report. Financial Disclosure Report AO Form 10T for this transaction was filed on May 18, 2026.

21. **(H) Investment Account 2 TIAA managed by TIAA (H)**

	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD
21-A.	- iShares New York AMT-Free Muni Bond ETF NYF	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 26, 2025	$250,001 - $500,000
(2).	Sold	Apr 15, 2025	$250,001 - $500,000

21-B.	- iShares Russell 2000 ETF IWM	$1,001 - $2,500	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 26, 2025	$15,000 or less
(2).	Sold (part)	Nov 7, 2025	$15,000 or less

21-C.	- TIAA cash accounts	$2,501 - $5,000	Interest	$100,001 - $250,000	Cash/Market
21-D.	- Lord Abbett Short Duration Income Fund LLDYX	$5,001 - $15,000	Dividend	$100,001 - $250,000	Cash/Market
21-E.	- Driehaus Emerging Markets Growth Instl Fund DIEMX	$1,001 - $2,500	Dividend	$100,001 - $250,000	Cash/Market
21-F.	- GQG Partners Global Quality Equity Instl Fund GQRIX	$15,001 - $50,000	Dividend,Gain	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Jul 8, 2025	$15,001 - $50,000
(2).	Sold (part)	Oct 6, 2025	$15,000 or less

21-G.	- Allspring Special Mid Cap Value Fund WFPRX	$1,001 - $2,500	Gain		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$50,001 - $100,000

21-H.	- iShare Core S&P 500 ETF IVV	$2,501 - $5,000	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 26, 2025	$50,001 - $100,000
(2).	Buy (add'l)	Oct 6, 2025	$15,000 or less

21-I.	- iShares Russell Mid Cap Growth ETF IWP	$5,001 - $15,000	Dividend,Gain	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold (part)	Mar 26, 2025	$15,001 - $50,000

21-J.	- iShares Russell Mid Cap Value ETF IWS	$1,000 or less	Dividend	$15,001 - $50,000	Cash/Market
21-K.	- iShares Core MSCI EAFE ETF IEFA	$2,501 - $5,000	Dividend	$100,001 - $250,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 26, 2025	$15,000 or less
(2).	Buy (add'l)	May 28, 2025	$15,000 or less
(3).	Buy (add'l)	May 28, 2025	$15,000 or less
(4).	Buy (add'l)	Jun 30, 2025	$15,000 or less

21-L.	- MFS Institutional Trust MFS International Equity Fund MIEIX	$2,501 - $5,000	Dividend	$50,001 - $100,000	Cash/Market
21-M.	- Alerian MLP ETF AMLP	$2,501 - $5,000	Dividend	$15,001 - $50,000	Cash/Market
21-N.	- iShares Gold Trust IAU		None	$15,001 - $50,000	Cash/Market
21-O.	- Vanguard Russell 1000 Growth ETF VONG	$1,000 or less	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jun 3, 2025	$15,001 - $50,000
(2).	Buy (add'l)	Jun 18, 2025	$15,001 - $50,000
(3).	Buy (add'l)	Jul 8, 2025	$50,001 - $100,000
(4).	Buy (add'l)	Aug 19, 2025	$15,001 - $50,000
(5).	Buy (add'l)	Oct 6, 2025	$15,000 or less

21-P.	- Vanguard Value ETF VTV	$5,001 - $15,000	Dividend,Gain	$250,001 - $500,000	Cash/Market



	DESCRIPTION	INCOME AMOUNT	INCOME TYPE	GROSS VALUE	VALUE METHOD

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Mar 26, 2025	$15,001 - $50,000
(2).	Sold (part)	Jul 8, 2025	$15,001 - $50,000

21-Q.	- AB High Income Municipal Portfolio ABTZX	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$15,001 - $50,000

21-R.	- Vanguard New York Long Term Tax Fund VNYUX	$1,001 - $2,500	Dividend		

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Sold	Mar 26, 2025	$250,001 - $500,000

21-S.	- Nuveen New York Municipal Bond Fund NTNYX	$5,001 - $15,000	Dividend	$250,001 - $500,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Apr 15, 2025	$250,001 - $500,000

21-T.	- iShares MSCI EAFE Small-Cap ETF SCZ	$1,001 - $2,500	Dividend	$15,001 - $50,000	Cash/Market

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy	Mar 26, 2025	$15,001 - $50,000

22.	**Riverstone Credit Partners, L.P.**	$1,000 or less	Dividend	$15,000 or less	Book Value

Transactions	Transaction Type	Transaction Date	Transaction Value
(1).	Buy (add'l)	Jan 1, 2025	$15,000 or less

VIII. Additional Information or Explanations

	ADDITIONAL INFORMATION OR EXPLANATION
1.	Assets reporting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings but instead are credited only in unit value.