AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Limbaugh, Jr., Stephen N.	Eastern District of Missouri	05/15/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Rush Hudson Limbaugh, Sr. U.S. Courthouse 555 Independence ST., Suite 400 Cape Girardeau, MO 63703		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member, Board of Trustees	State Historical Society of Missouri
2.	Member, Board of Directors	McKendree Chapel Memorial Association
3.	Member, Board of Directors	Southeast Missouri Symphony Advisory Board
4.	Member, Board of Directors	Kellerman Foundation for Historic Preservation
5.	Member	Missouri Bicentennial Commission
6.	Member, Board of Directors	US Grant Trail Association
7.		
8.		
9.		
10.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		

2.

3.

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Missouri Judges ALJ Legislators & Elected Offiicials Retirement System, Pensions	$116,980.94
2.	2022	Judicial Retirees & Survivors	$214,863.39
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Wells Fargo Advisors, salary and bonuses and deferred compensation
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Limbaugh, Jr., Stephen N.	05/15/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Montgomery Bank [redacted]	Promissary Notes	M
2.			
3.			
4.			
5.			

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Limbaugh, Jr., Stephen N.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Wells Fargo IRA - [redacted] (H)									
2. -Bank Sweep Deposit	A	Interest	M	T					
3. -Beal Bank USA CD	A	Interest							
4.					Buy	10/07/22	M		
5.					Matured	11/16/22	M		
6. -Medallion Bank Utah CD	A	Interest							
7.					Buy	06/21/22	L		
8.					Matured	12/30/22	L		
9. -Homestreet Bank CD	B	Interest	K	T	Buy	11/17/22	K		
10. -Customers BK CD	C	Interest	M	T	Buy	11/17/22	M		
11. -East-West Bank CD	C	Interest	L	T	Buy	06/21/22	L		
12. -BSFIX - Baron Invt Funds Trust Small Cap Fund		None	J	T					
13.					Buy	05/22/22	J		
14.					Sold (part)	07/27/22	J		
15. -DODGX - Dodge & Cox Stk Fd	A	Dividend	J	T					
16.					Sold (part)	04/08/22	J		
17.					Sold (part)	07/27/22	J		

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Limbaugh, Jr., Stephen N.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. -TQMIX - AMG Timessquare Mid Cap Growth Fd Cl I	A	Dividend	J	T					
19.					Buy (add'l)	04/05/22	J		
20.					Sold (part)	07/27/22	J		
21. -ABNFX - American Funds The Bond Fund of America Class F2	A	Dividend	J	T					
22.					Buy (add'l)	07/27/22	J		
23.					Sold (part)	06/14/22	J		
24. -FTIXX-Goldman Sachs Tr Finl Square Treas Instrs Fd Instl CL	A	Int./Div.	J	T					
25.					Sold (part)	01/14/22	J		
26.					Sold (part)	04/08/22	J		
27.					Sold (part)	07/08/22	J		
28.					Sold (part)	08/12/22	J		
29.					Sold (part)	10/14/22	J		
30. AGTHX - Growth Fund of America CL A	A	Dividend	M	T					
31. -GFFFX - American Funds Growth Fund of America CL F2	A	Dividend	J	T	Sold (part)	07/27/22	J		
32. -NFFFX - American Funds New World Fund CL F2	A	Dividend	J	T	Sold (part)	06/14/22	J		
33. -WMFFX - American Funds Washington Mutual FD F2	A	Dividend	K	T	Sold (part)	07/27/22	J		
34. -AIVSX - Investment Co America Clas A	B	Dividend	M	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. -AEPFX - American Funds Europacific Growth Fund Class F-2	A	Dividend	J	T					
36.					Buy (add'l)	07/27/22	J		
37.					Sold (part)	06/14/22	J		
38. -ODVYX - Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend			Sold	06/14/22	J		
39. -ODVYX - Invesco Oppenheimer Developing Markets Fund Class Y	A	Dividend	J	T	Buy	07/27/22	J		
40. -JENIX - Jensen Portfolio Inc Class I SHS	A	Dividend	J	T	Sold (part)	07/27/22	J		
41. -JVMIX - John Hancock Funds III Disciplined Value Mid Cap Fd CL I	A	Dividend	J	T					
42.					Sold (part)	04/05/22	J		
43.					Sold (part)	07/27/22	J		
44. -PEBIX - Pimco Fds Pac Invt Mgmt Ser Emerging Mkts Bd Fd Inst CL	A	Dividend	J	T					
45.					Sold (part)	06/14/22	J		
46.					Buy (add'l)	07/27/22	J		
47. -PCLIX - PIMCO Commodities Plus Strategy FD CL	A	Dividend	J	T	Buy	07/27/22	J		
48. -PCRIX - Pimco Commodity Real Return Strat Fd Instl Cl Shs	A	Dividend	J	T	Buy (add'l)	07/27/22	J		
49. -VSOIX - Victory Sycamore Small Co Oppty Fd CL I	A	Dividend	J	T	Sold (part)	07/27/22	J		
50. -RSYEX - Victory RS Small Cap Growth Fd CL Y	A	Dividend			Sold	05/12/22	J		
51. -TROSX - T Rowe Price Intl FD Overseas Stk Fd	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52.					Sold (part)	05/02/22	J		
53.					Buy (add'l)	07/27/22	J		
54. -GOOG - Alphabet, Inc.		None	J	T					
55. -COP - ConocoPhillips	A	Dividend	J	T					
56. -MDT - Medtronic PLC	A	Dividend	J	T	Buy	06/24/22	J		
57. -QCOM - Qualcomm Inc	A	Dividend	J	T					
58. - SPY - SPDR S&P 500 Trust ETF	B	Dividend	L	T	Buy (add'l)	11/02/22	K		
59. -UPS - United Parcel Service	A	Dividend	J	T					
60.					Buy	01/24/22	J		
61.					Buy (add'l)	11/01/22	J		
62. -WFC Wells Fargo & Co NEW	A	Dividend	J	T	Buy	05/26/22	J		
63.					Buy (add'l)	06/17/22	J		
64.									
65.									
66. Wells Fargo 401K Plan [redacted] (H)									
67. -Wells Fargo ESOP Fund (See Note 1, Part VIII)	C	Dividend	M	T	Buy (add'l)	12/31/22	K		
68. -Target 2025 Fund	A	Dividend	K	T	Buy (add'l)	01/01/22	J		

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69.					Buy (add'l)	04/01/22	J		
70.					Buy (add'l)	07/01/22	J		
71.					Buy (add'l)	10/01/22	J		
72. -Emerging Markets Equity Fund	A	Dividend	K	T					
73. -International Equity Fund	A	Dividend	K	T					
74. -Large Cap Growth Fund	A	Dividend	L	T	Buy (add'l)	01/01/22	J		
75.					Buy (add'l)	04/01/22	J		
76.					Buy (add'l)	07/01/22	J		
77.					Buy (add'l)	10/01/22	J		
78. -Large Cap Value Fund	A	Dividend	L	T					
79. -International Index Fund	A	Dividend	K	T					
80. -NASDAQ 100 Fund	A	Dividend	L	T	Buy (add'l)	01/01/22	J		
81.					Buy (add'l)	04/01/22	J		
82.					Buy (add'l)	07/01/22	J		
83.					Buy (add'l)	10/01/22	J		
84. -Russell Small Cap Index	A	Dividend	L	T	Buy (add'l)	01/01/22	J		
85.					Buy (add'l)	04/01/22	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86.					Buy (add'l)	07/01/22	J		
87.					Buy (add'l)	10/01/22	J		
88. -S&P Mid Cap Index Fund	A	Dividend	L	T	Buy (add'l)	01/01/22	J		
89.					Buy (add'l)	04/01/22	J		
90.					Buy (add'l)	07/01/22	J		
91.					Buy (add'l)	10/01/22	J		
92. --S&P 500 Index Fund	A	Dividend	L	T	Buy (add'l)	01/01/22	J		
93.					Buy (add'l)	04/01/22	J		
94.					Buy (add'l)	07/01/22	J		
95.					Buy (add'l)	10/01/22	J		
96.									
97.									
98. WF Performance Award & Deferred Comp Plan- [redacted] (H)									
99. -Cert of Deposit - 12 mos	A	Interest	M	T	Buy (add'l)	02/28/22	K		
100.					Distributed (part)	03/31/22	K		
101. -S&P 500 Index Fund	A	Dividend	K	T					
102. -State State Target Fund 2035 Fund	A	Dividend	M	T					

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103. -State Street Conservative Target 2030	A	Dividend	L	T					
104.									
105.									
106. Limbaugh Lands, Inc.-[redacted] (See note 3, part VIII)		None	K	U					
107.									
108. First State Community Bank-[redacted]		None	J	T					
109.									
110. Bank of America-[redacted]		None	K	T					
111.									
112. Wells Fargo Stock Options		None	J	T					
113.									
114.									
115. Wells Fargo Acct-[redacted] Revocable Trust [redacted] Trustees (H)									
116. -Wells Fargo Bank- Deposit Sweep Acct	A	Interest	J	T					
117. -AMZN - Amazon.com Inc.		None	J	T					
118. -AMGN - Amgen Inc	A	Dividend	K	T					
119. -AAPL - Apple Inc	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Limbaugh, Jr., Stephen N.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
120. -CAT - Caterpillar Inc	A	Dividend	J	T					
121. -CI - Cigna Corp New	A	Dividend	J	T					
122. -DIS - Walt Disney Company		None	J	T					
123. -ISRG - Intuitive Surgical Inc Com New		None	J	T					
124. -JPM - JP Morgan Chase	A	Dividend	J	T					
125. -MCD- McDonalds Corp	A	Dividend	K	T					
126. -MRK - Merck & Co Inc New	A	Dividend	J	T					
127. -MSFT - Microsoft Corp	A	Dividend	J	T					
128. -OGN - Organon & Co	A	Dividend	J	T					
129. -PEP - Pepsico Incorporated	A	Dividend	K	T					
130. -PG - Procter & Gamble Co	A	Dividend	K	T					
131. -SBUX - Starbucks Corp	A	Dividend	J	T					
132. -TSLA - Tesla Inc		None	J	T					
133. -UNP - Union Pacific Corp		None	J	T	Buy	04/28/22	J		
134. -WFC - Wells Fargo & Co New	A	Dividend	J	T					
135.									
136.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Limbaugh, Jr., Stephen N.	05/15/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Limbaugh, Jr., Stephen N.	05/15/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII - Line 67-95
   Quarterly statements were provided. Actual dates of transactions are not avail

3. Part VII- Line 106;
   Limbaugh Lands, Inc. owns the 458-acxre [redacted] homestead farm in Bollinger County, MO. We own a small undivided interest.

Name of Person Reporting	Date of Report
Limbaugh, Jr., Stephen N.	05/15/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stephen N. Limbaugh, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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