AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MAHAN, James C.	U.S. District Court, Nevada	11/07/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☐ Annual ☑ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
333 Las Vegas Boulevard South Suite 6085 Las Vegas, Nevada 89101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MAHAN, James C.	11/07/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. IRA #1									
2. -- Aberdeen Funds	A	Dividend	J	T					
3. -- Abbey Capital Futures Strategy Fund Class 1	A	Dividend	J	T	Buy	08/16/21	J		
4. -- Abbey Capital Futures Strategy Fund Class I	A	Dividend	J	T	Sold (part)	08/16/21	J		
5. -- American Beacon FDS AHL Managed Futures Strategy FD Y CL	A	Dividend	J	T	Buy	10/12/21	J		
6. -- American Century Mutual Funds Growth Fund	A	Dividend	J	T					
7. -- American Century Invt TR Diversified BD FD CL 1 ACBPX	A	Dividend	J	T					
8. -- American Europacific Growth Fund Fu CL F2	A	Dividend	J	T	Sold (part)	08/16/21	J		
9. -- American Europacific Diversified BD FD Instl CL	A	Dividend	J	T					
10. -- AQR FDS	A	Dividend	J	T					
11. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A	Dividend	J	T	Sold (part)	08/16/21	J		
12. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A	Dividend	J	T	Sold (part)	11/12/21	J		
13. --Blackrock Funds Global Long Short CR FD Instl	A	Dividend	J	T					
14. -- Brookfield INVT Funds	A	Dividend	J	T					
15. -- Deutsche Securities Tr	A	Dividend	J	T					
16. -- Eaton Vance Mutual FDS	A	Dividend	J	T	Sold (part)	10/12/21	J		
17. -- Fidelity 500 Index Fund	A	Dividend	J	T	Sold (part)	07/09/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -- Fidelity 500 Index Fund	A	Dividend	J	T	Sold (part)	11/12/21	J		
19. -- Gabelli ABC Fund	A	Dividend	J	T					
20. -- Goldman Sachs Tr Final Square Treas Instrs FD Instl CL	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
21. -- Goldman Sachs Tr Final Square Treas Instrs FD Instl CL	A	Dividend	J	T	Buy (add'l)	08/17/21	J		
22. -- Goldman Sachs TR Final Square Tras Instrs FD Instl	A	Dividend	J	T	Sold (part)	10/08/21	J		
23. -- Harbor FD	A	Dividend	J	T	Sold (part)	08/16/21	J		
24. -- Harbor FD	A	Dividend	J	T	Sold (part)	11/12/21	J		
25. -- Invescao Comstock Fund Class Y	A	Dividend	J	T	Sold (part)	08/16/21	J		
26. -- Invesaco Comstock Fund Class Y	A	Dividend	J	T	Buy (add'l)	08/09/21	J		
27. -- Invesaco Developing Markets Fund Class Y	A	Dividend	J	T	Sold (part)	11/12/21	J		
28. -- John Hancock Funds II	A	Dividend	J	T					
29. -- JP Morgan TR II Core BD FD Select CL	A	Dividend	J	T	Sold (part)	08/16/21	J		
30. -- JP Morgan Small Cap Equity Fund Class Select	A	Dividend	J	T					
31. -- Meridian Fund Inc. Small Cap Growth FD Investor CL	A	Dividend	J	T	Sold (part)	01/08/21	J		
32. -- Meridian Fund Inc. Small Cap Growth FD Investor CL	A	Dividend	J	T	Sold (part)	04/09/21	J		
33. -- Meridian Fund Inc. Small Cap Growth FD Investor CL	A	Dividend	J	T	Sold (part)	08/16/21	J		
34. -- Metropolitan West FDS - Total Return BD FD CL 1	A	Dividend	J	T	Buy (add'l)	08/16/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. -- Metropolitan West FDS - Total Return BD FD CL 1	A	Dividend	J	T	Sold (part)	11/12/21	J		
36. -- MFS Ser TR I Value FD CL	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
37. -- MFS Ser TR X Emerging Mkts Debt FD Class 1	A	Dividend	J	T					
38. -- Newberger Berman Alternative FDS - Long Short Fund INSTL CL	A	Dividend	J	T					
39. -- Nuveen Invt FDS Inc. Real Estate Secs Fund CL I	A	Dividend	J	T					
40. -- Pimco Commodity Real Return Stret FD Instl C1 SHS	A	Distribution	J	T	Buy	08/09/21	J		
41. -- Pimco FDS PAC INVT MGMT SER TOTAL RETURN FD INST CL	A	Dividend	J	T	Buy (add'l)	08/17/21	J		
42. -- Principal FDS Inc	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
43. -- Principal FDS Inc	A	Dividend	J	T	Sold (part)	11/12/21	J		
44. -- Principal Global Real Estate Sec Fund Instl Class SHS POSIX	A	Dividend	J	T					
45. -- T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	08/16/21	J		
46. -- T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	11/12/21	J		
47. -- T Rowe Price Intl FD Overseas Stk FD	A	Dividend	J	T	Buy	08/16/21	J		
48. -- Wells Fargo Emerging Markets Equity Fund Class Inst	A	Dividend	J	T					
49. -- Wells Fargo Funds TR Special Mid Cap Value FD CL 1	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
50. -- Wells Fargo Funds TR Special Mid Cap Value FD CL 1	A	Dividend	J	T	Sold (part)	11/12/21	J		
51. [redacted] TENANCY ACCOUNT									

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52. -- Abbey Capital Futures Strategy Fund Class 1	A	Dividend	J	T	Buy	08/16/21	J		
53. -- Abderdeen US Small Cap EQ Fund Instl Class	D	Dividend	J	T					
54. -- American Beacon FDS AHL Managed Futures Strategy FD Y CL	A	Distribution	J	T	Buy	10/12/21	J		
55. -- American Century Invt TR Diversified BD FD CL 1 ACBPX	A	Dividend	J	T					
56. -- American Century Invt TR Diversified BD FD CL 1	E	Dividend	J	T					
57. -- American Europacific Growth Fund FU CL F2	A	Dividend	J	T	Sold (part)	08/16/21	J		
58. -- AQR FDS Managed Futures Strategy FD CL 1	A	Dividend	J	T					
59. -- Baird FDS Inc Aggregate BD FD instl SHS Class 1	A	Dividend	K	T	Buy (add'l)	08/16/21	J		
60. -- Blackrock Funds Global Long/Short CR FD Instl	A	Dividend	J	T					
61. -- Brookfield Invt Funds global Listed Real Estate FD CL Y	A	Dividend	J	T					
62. -- Deutsche Secs TR Enhanced Commodity	A	Dividend	J	T					
63. -- DWS Advanced Commodity Strategy Fund Inst SKIRX	A	Dividend	J	T					
64. -- Eaton Vance Mutual FDS Trust Global Macro	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
65. -- Eaton Vance Mutual FDS Trust Global Macro	A	Dividend	J	T	Sold (part)	10/12/21	J		
66. -- Fidelity 500 Index Fund	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
67. -- Gabelli ABC Fund	A	Dividend	J	T					
68. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Sold (part)	01/08/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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69. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Sold (part)	04/09/21	J		
70. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Sold (part)	07/07/21	J		
71. -- Goldman SachesTR Final Square	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
72. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Buy (add'l)	08/17/21	J		
73. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Sold (part)	10/08/21	J		
74. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Buy (add'l)	10/13/21	J		
75. -- Harbor Fund Small Cap Value FD Instl CL	A	Dividend	J	T	Sold (part)	08/16/21	J		
76. -- Invesco Comstock Fund	A	Dividend	J	T	Sold (part)	08/16/21	J		
77. -- John Hancock FDS II Global Absolute Return	C	Dividend	J	T					
78. -- John Hancock Funds II Absolute Return Currency FD CL 1	A	Dividend	J	T					
79. -- JP Morgan TR 1 Small Cap Equity Fund	D	Dividend	J	T					
80. -- JP Morgan TR II Core BD FD Select CL	A	Dividend	K	T	Sold (part)	08/16/21	K		
81. -- Meridian FD Inc Small Cap Growth FD Investor CL	A	Dividend	J	T	Sold (part)	08/16/21	J		
82. -- Metropolitan West FDS Total Return BD FC CL 1	A	Dividend	K	T	Buy (add'l)	08/16/21	J		
83. -- Metropolitan West FDS Total Return BD FC CK 1	A	Dividend	K	T	Sold (part)	08/17/21	J		
84. -- MFS Ser TR X Emerging Mkts Debt FD Class 1	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
85. -- Neuberger Berman Alternative FDS -- Long Short Fund Instl CL	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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86. -- Nuveen Invt FDS Inc Real Estate Secs Fund CL I	A	Dividend	J	T					
87. -- Pimco Real Return Strat FD Instl SHS	A	Dividend	J	T	Buy	08/16/21	J		
88. -- Pimco FDS Pac Invt Mgmt Ser Diversified Income FD Instl CL	A	Dividend	J	T					
89. -- Pimco FDS Pac Invt MGMT Ser Emerging Mkts BD FD Instl CL	A	Dividend	J	T	Buy	09/17/21	J		
90. -- Principal FDS Inc High Yield FD Instl CL	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
91. -- Principal FDS Inc Midcap Fund Instl Class	A	Dividend	J	T	Sold (part)	08/16/21	K		
92. -- Principal Global Real Estate Securities Fund Inst Cl SHS POSIX	A	Dividend	J	T					
93. -- T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	08/16/21	J		
94. -- T Rowe Price Intl FD Overseas Stk FD	A	Dividend	J	T	Buy	08/16/21	J		
95. -- Wells Fargo Emerging Markets Equity Fund Class Inst	A	Dividend	J	T	Sold (part)	08/16/21	J		
96. -- Wells Fargo Funds TR Special Mid Cap Value FD Cl 1	A	Dividend	J	T	Buy (add'l)	08/16/21	J		
97. J & E Mahan Agency (Alpine)									
98. -- Federated Hermes Prime Cash Ovl Fund 851	E	Dividend	K	T					
99. -- iShares Core US Aggregate Bond ETF	E	Dividend	K	T					
100. -- US Treasury 6 Month Bill ETF	F	Dividend	L	T					
101. -- Vaneck Fallen Angel High Yield Bond ETF	E	Dividend	K	T					
102. -- Wisdomtree Floating Rate Treasury Fund	E	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
MAHAN, James C.	11/07/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. -- Vanguard FTSE All-World Ex-US Small-Cap Index	D	Dividend	J	T					
104. -- Vanguard FTSE Developed Markts ETF	E	Dividend	K	T					
105. -- Vanguard Growth ETF	E	Dividend	K	T					
106. -- Vanguard Mid-Cap Value Index ETF	E	Dividend	K	T					
107. -- Vanguard Value ETF	G	Dividend	M	T					
108. U.S. Bank	A	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
MAHAN, James C.	11/07/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to a letter of inquiry from the Committee, the individual holdings held under J & E Mahan Agency (Alpine) have been listed.

Name of Person Reporting	Date of Report
MAHAN, James C.	11/07/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James C. MAHAN

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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