AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MARTINEZ, WILLIAM J.	DISTRICT COURT- COLORADO	09/01/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE - ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
ALFRED A. ARRAJ U.S. COURTHOUSE 901 19TH STREET, ROOM A841 DENVER, CO 80294		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	Salary from employment as physician
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	E*Trade Securities	Brokerage margin account	P1
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MARTINEZ, WILLIAM J.	09/01/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Rental property; Greenwood Village, Colo; (2008) ($740,000)	F	Rent	P1	W					
2.	E*Trade Brokerage Account									
3.	--- Alteryx stock [AYX]		None	L	T					
4.	--- Blackberry stock [BB]		None	M	T	Buy	03/22/21	M		
5.	--- CrowdStrike [CRWD]		None	P1	T	Buy (add'l)	06/11/21	L		
6.						Buy (add'l)	06/16/21	L		
7.	--- Meta Platforms stock [FB]		None	P1	T					
8.	--- FANG Index ETNS [FNGU]		None	O	T	Sold (part)	01/29/21	L	E	
9.						Sold (part)	08/09/21	L	E	
10.	--- Fastly stock [FSLY]		None	M	T	Sold (part)	03/01/21	K	D	
11.						Sold (part)	03/04/21	K	D	
12.	--- Fiverr stock [FVRR]		None	O	T					
13.	--- Grayscale Bitcoin Trust stock [GBTC]		None	N	T	Buy	01/27/21	L		
14.	--- MercadoLibre stock [MELI]		None	O	T					
15.	--- Marqueta stock [MQ]		None	N	T	Buy	05/06/21	M		
16.						Buy (add'l)	05/12/21	M		
17.	--- Cloudfare stock [NET]		None	P1	T	Buy (add'l)	04/27/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. --- NVIDIA stock [NVDA]	B	Dividend	P1	T					
19. --- Invitae stock [NVTA]		None			Closed	09/02/21	N	E	
20. --- OKTA stock [OKTA]		None	P1	T	Buy (add'l)	10/04/21	M		
21. --- Roku stock [ROKU]		None	P1	T	Buy (add'l)	02/26/21	L		
22. --- Sea Ltd stock [SE]		None	P1	T					
23. --- Shopify stock [SHOP]		None	P1	T					
24. --- Stem stock [STEM]		None	N	T	Buy	03/17/21	M		
25.		None			Buy (add'l)	09/20/21	M		
26. --- Block stock [SQ]		None	O	T					
27. --- Teledoc Health stock [TDOC]		None	N	T					
28. --- Tesla stock [TSLA]		None	P1	T					
29. --- Trade Desk stock [TTD]		None	P1	T	Buy (add'l)	11/09/21	K		
30. --- Upstart stock [UPST]		None	P1	T	Buy	02/15/21	M		
31.					Buy (add'l)	02/22/21	M		
32.					Buy (add'l)	06/07/21	M		
33.					Buy (add'l)	07/09/21	M		
34. E*Trade IRA Account									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
35. --- CrowdStrike stock [CRWD]		None	M	T	Buy	07/14/21	L		
36.					Buy (add'l)	11/09/21	L		
37. --- FANG Index ETNS [FNGU]		None			Sold (part)	03/22/21	L	E	
38.					Sold (part)	06/21/21	L	D	
39.					Closed	08/05/21	L	E	
40. ---Cloudflare stock [NET]		None	N	T	Buy	08/16/21	M		
41.					Buy (add'l)	09/01/21	L		
42. ---Small Cap Bull Shares [TNA]		None	M	T					
43. ---TQQQ ETF	A	Dividend	N	T					
44. ---Sea Ltd stock [SE]		None	M	T	Buy	05/13/21	L		
45.					Buy (add'l)	05/20/21	L		
46.					Buy (add'l)	06/02/21	L		
47. --- Upstart stock [UPST]		None	M	T	Buy	01/29/21	L		
48.					Buy (add'l)	02/02/21	L		
49. --- Vanguard Lifestrategy Fund [VSCGX]	A	Dividend	M	T	Buy	04/19/21	M		
50. --- Vanguard Target Retirement Fund [VTTVX]	A	Dividend	M	T	Buy	04/19/21	M		
51. ---Vanguard Wellesley Income Fund [VWINX]	A	Dividend	M	T	Buy	04/19/21	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. Vanguard IRA Account									
53. --- Vanguard Large Cap Growth Index Fund	A	Dividend	M	T	Sold (part)	08/06/21	K	B	
54. --- Vanguard Mid Cap Growth Index Fund	A	Dividend	M	T	Sold (part)	08/06/21	K	B	
55. --- Vanguard Small Cap Growth Index Fund	A	Dividend	M	T	Sold (part)	08/06/21	K	C	
56. --- Vanguard Lifestrategy Fund [VSCGX]	B	Dividend	K	T	Buy	08/10/21	K		
57. --- Vanguard Target Retirement Fund [VTTVX]	B	Dividend	K	T	Buy	08/10/21	K		
58. ---Vanguard Wellesley Income Fund [VWINX]	B	Dividend	K	T	Buy	08/11/21	K		
59. [redacted] IRA Account									
60. --- Vanguard Growth Index Fund	A	Dividend	L	T					
61. --- Vanguard Mid Cap Index Fund	A	Dividend	L	T					
62. [redacted] Deferred Comp Plan									
63. ---Vanguard Mid Cap Index Fund	B	Dividend	N	T	Buy (add'l)	03/31/21	J		
64.					Buy (add'l)	06/30/21	J		
65.					Buy (add'l)	09/30/21	J		
66.					Buy (add'l)	12/31/21	J		
67. ---Vanguard Small Cap Index Fund	B	Dividend	N	T	Buy (add'l)	03/31/21	J		
68.					Buy (add'l)	06/30/21	J		

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69.						Buy (add'l)	09/30/21	J		
70.						Buy (add'l)	12/31/21	J		
71.	Wells Fargo Checking Account #1	A	Interest	J	T					
72.	Wells Fargo Checking Account #2		None			Closed	10/08/21	J		
73.	Wells Fargo Savings Account #1	A	Interest			Closed	02/25/21	J	A	
74.	Vanguard Brokerage Account									
75.	--- Vanguard Mid Cap Index Fund	A	Dividend	M	T	Sold (part)	08/16/21	L	C	
76.	--- Vanguard Small Cap Index Fund	B	Dividend	M	T	Sold (part)	08/16/21	L	C	
77.	--- Vanguard International Dividend Index Fund	B	Dividend			Closed	08/13/21	L	E	
78.	--- Vanguard Large Cap Index Fund	B	Dividend	M	T	Sold (part)	08/16/21	L	D	
79.	--- Vanguard Lifestrategy Fund [VSCGX]	A	Dividend	K	T	Buy	08/20/21	K		
80.	--- Vanguard Target Retirement Fund [VTTVX]	A	Dividend	K	T	Buy	08/20/21	K		
81.	---Vanguard Wellesley Income Fund [VWINX]	A	Dividend	K	T	Buy	08/20/21	K		

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MARTINEZ, WILLIAM J.	09/01/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
MARTINEZ, WILLIAM J.	09/01/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ WILLIAM J. MARTINEZ

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544