AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Martini, William J.	District Court - New Jersey	05/02/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
50 Walnut Street PO Box 29 Newark, NJ 07101-0419		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Nicholas Martini Foundation
2.	Trustee	John Cabot University
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Nicholas Martini Foundation, Trustee	$22,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Martini, William J.	05/02/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	BROKERAGE ACCOUNT #2									
2.	FEDERATED KAUFMANN FUND-R (FORMALLY FUND-K)	B	Distribution	L	T					
3.										
4.	BROKERAGE ACCOUNT #6[redacted]									
5.	INVESCO EXCH TRAD FD PRFZ (formerly Powershares)	A	Dividend	L	T					
6.	ISHARES TR MCSI EAFE FD	C	Dividend			Sold	10/27/23	M	D	
7.	GOLDMAN SACHS ACTVBETA	D	Dividend	O	T					
8.	CHARLES SCHWAB ADV CASH RESERVE PREM	A	Interest	J	T					
9.	U S T BILL DUE 03/16/23	A	Interest			Matured	03/16/23	L	A	
10.										
11.	IRA #7[redacted]									
12.	CHARLES SCHWAB ADV CASH RESERVE PREM	A	Interest	K	T	Buy (add'l)	07/14/23	J		
13.						Sold (part)	07/14/23	J		
14.	ISHARES CORE S&P ETF	D	Dividend			Sold	10/27/23	N	G	
15.	SPDR S&P MIDCAP 400 ETF	B	Dividend			Sold	10/27/23	L	E	
16.	ISHARES RUSSELL 1000 GROWTH ETF	B	Dividend			Sold	10/27/23	N	G	
17.	ISHARES MCSI EAFE ETF	A	Dividend			Sold	10/27/23	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	T ROWE PRICE GLBL STK FD	A	Dividend			Sold	10/30/23	M	D	
19.	Wisdomtree US QLT DIV GRW	D	Dividend			Sold	10/27/23	O	F	
20.	Vitrus Equity TR KAR SMLL MID I	A	Dividend			Sold	10/30/23	N	B	
21.	Goldman Sachs ACTVBETA US LRG	A	Dividend			Sold	10/27/23	L	D	
22.	GQG Partners Emerging Markets Equity	A	Dividend			Sold	10/30/23	M	A	
23.	JP MORGAN MORTGAGE BACKED SECURITIES I	A	Dividend	M	T	Buy	10/30/23	M		
24.	BAIRD SHORT TERM BOND INST	B	Dividend	M	T	Buy	10/30/23	M		
25.						Sold (part)	12/08/23	L	A	
26.	ISHARES MBS ETF	B	Dividend	M	T	Buy	10/30/23	M		
27.	JP MORGAN ULTRA SHORT INCOME ETF	B	Dividend	M	T	Buy	10/30/23	M		
28.	GUGGENHEIM TOTAL RETURN BOND FUND	C	Dividend	N	T	Buy	10/30/23	N		
29.						Sold (part)	12/08/23	J	A	
30.	RIVERPARK SHORT TERM HIGH YIELD	C	Dividend	M	T	Buy	10/30/23	M		
31.										
32.	BROKERAGE ACCOUNT #8									
33.	CHARLES SCHWAB ADV CASH RESERVE PREM	A	Interest	J	T					
34.	U S T BILL DUE 12/7/23	C	Interest			Buy	06/16/23	M		

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000
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35.					Matured	12/07/23	M		
36.									
37. IRA #9									
38. CHARLES SCHWAB ADV CASH RESERVE PREM	A	Interest	J	T					
39. U S T BILL DUE 10/15/24	A	Interest	M	T	Buy	11/10/23	M		
40. U S T BILL DUE 9/15/26	A	Interest	M	T	Buy	11/10/23	M		
41. U S T BILL DUE 10/31/27	A	Interest	M	T	Buy	11/10/23	M		
42. U S T BILL DUE 9/15/25	A	Interest	M	T	Buy	11/10/23	M		
43.									
44.									
45. VALLEY NATIONAL BANK	A	None	K	T					
46.									
47. THE AXA EQUITABLE VARIABLE LIFE INSURANCE POLICY									
48. Guaranteed Interest Account	A	Dividend			Sold	09/05/23	J	A	
49. EQ/Equity 500 Index	A	Dividend			Sold	09/05/23	J	A	
50. AXA Moderate - Plus Allocation	A	Dividend			Sold	09/05/23	J	A	
51. AXA Large Cap Growth Managed Volatility	A	Dividend			Sold	09/05/23	J	A	

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52. EQ/Mid Cap Index	A	Dividend			Sold	09/05/23	J	A	
53. AXA International Value Managed Volatility	A	Dividend			Sold	09/05/23	J	A	
54. AXA Large Cap Value Managed Volatility	A	Dividend			Sold	09/05/23	J	A	
55. Charter Small Cap Value	A	Dividend			Sold	09/05/23	J	A	
56. EQ/Capital Guardian Research	A	Dividend			Sold	09/05/23	J	A	
57.									
58. Osler Health Management, Inc. (formerly MDClick Holdings LLC)	A	Int./Div.	J	U					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

THE NICHOLAS MARTINI FOUNDATION IS A 501(C)(3) CHARITABLE FAMILY FOUNDATION. ITS MISSION IS TO SUPPORT YOUTH HEALTH, ENVIRONMENTAL, AND EDUCATION PROGRAMS.
AS A MEMBER OF THE BOARD OF TRUSTEES, I PARTICIPATE IN THE DECISIONS TO DISTRIBUTE THOSE FUNDS. THE TRUSTEES ANNUALLY EVALUATE THE INVESTMENT POLICY FOR THE FOUNDATION. THAT POLICY IS IMPLEMENTED BY AN INDEPENDENT MANAGER WHO HAS FULL DISCRETION WITH REGARD TO INVESTMENT DECISIONS. TRUSTEES DO NOT MAKE INVESTMENT DECISIONS.

Name of Person Reporting	Date of Report
Martini, William J.	05/02/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Martini

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544