AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Martinotti, Brian R.	U.S. District Court, District of New Jersey	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Clarkson S. Fisher Courthouse 402 East State Street Trenton, NJ 08608		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Chair	Emory University School of Law/Institute for Complex Litigation and Mass Claims Working Group
2.	Board Member	Rand Kenneth R. Feinberg Center for Catastrophic Risk Management and Compensation Activity Board
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	NJ Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	NJ State Defined Benefit Pension	$54,622.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Board of Education, Cliffside Park - Salary
2.	2021	Borough of Cliffside Park - Salary
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Rand Feinberg	October 27-29, 2021	Washington, DC	Advisory Board Meeting	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Martinotti, Brian R.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Chase Bank (cash)	A	Interest	K	T					
3. Connect One Bank (cash)	A	Interest	L	T					
4. Bank of New York Common Stock	A	Int./Div.	L	T					
5. Gillette Stock	A	Dividend	K	T					
6. Mattel Stock	A	Dividend	J	T					
7. Met Life Stock	A	Dividend	J	T					
8. Newell Rubbermaid Stock	A	Dividend	J	T					
9. Stanley Black and Decker Stock	A	Dividend	J	T					
10. Account #1 (H)									
11. Bank of America, NA RASP (cash)	A	Interest	K	T					
12. Amzn Steps Issuer Barc (MLXFH)	A	Dividend	K	T	Buy	05/27/21	K		
13. Apple Common Stock (AAPL)	A	Dividend	L	T					
14. Dis Steps Issuer RBC (MLXAP)		None	K	T					
15. Meta Platforms Inc (FB)		None	K	T					
16. SP500 CLIRNS Issuer Barc (MLWFS)		None	L	T					
17. American Funds Fundamental Investors Class A (ANCFX)		None			Sold	02/09/21	K	E	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Martinotti, Brian R.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. American Funds Income Fund of America Class A (AMECX)		None			Sold	02/09/21	J	C	
19. Columbia Dividend Income Fund Class B (LBSAX)	A	Dividend	K	T					
20. Delaware Ivy Large Cap Growth Fund CL A (WLGAX)	C	Dividend	K	T					
21. Invesco QQQ TR SER 1 (QQQ)	A	Dividend	L	T	Buy (add'l)	02/09/21	J		
22. Ishares Russell 1000 (IWD)	A	Dividend	K	T	Buy	02/09/21	K		
23. Account #2 (H)									
24. Merrill Lynch CIO Equity ETF Sector US Income & Growth Portfolio	B	Dividend	M	T	Buy	08/16/21	M		
25. Abbott Labs (ABT)		None			Sold	08/16/21	J	A	
26. Alphabet Inc Shs Cl A (GOOGL)		None			Sold	08/16/21	J	C	
27. Amazon Com Inc Com (AMZN)		None			Sold	08/16/21	J		
28. American Tower Reit Inc (AMT)	A	Dividend			Sold	03/10/21	J		
29. Anthem Inc (ANTM)	A	Dividend			Sold	08/16/21	J	A	
30. Apple Inc (AAPL)	A	Dividend			Sold	08/16/21	J	B	
31. Ball Corp Com (BLL) (Y)									
32. Blackrock Inc (BLK)	A	Dividend			Sold	08/16/21	J	C	
33. Carrier Global Corp REG (CARR) (X)	A	Dividend			Sold	08/16/21	J	A	
34. Capital One Financial (COF)	A	Dividend			Sold	08/16/21	J	B	

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35. Chevron Corp (CVX)	A	Dividend			Sold	08/16/21	J	A	
36. Cisco Systems Inc Com (CSCO)	A	Dividend			Sold	08/16/21	J	A	
37. Citigroup Inc. Com NEW (C)	A	Dividend			Sold	08/16/21	J	A	
38. Conocophillips (COP) (X)		None			Sold	08/16/21	J		
39. Constellation Brands Inc (STZ)	A	Dividend			Sold	08/16/21	J	A	
40. Consumer Discretionary (XLY)	A	Dividend	K	T	Buy	08/16/21	K		
41. Costco Wholesale Crp Del (COST)	A	Dividend			Sold	08/16/21	J	A	
42. Danaher Corp Del Com (DHR)	A	Dividend			Sold	08/16/21	J	B	
43. Disney (Walt) Co Com Stk (DIS)		None			Sold	08/16/21	J	B	
44. Equity Residential (EQR) (X)	A	Dividend			Sold	08/16/21	J	A	
45. Expedia Group (EXPE) (X)		None			Sold	08/16/21	J		
46. First Trust Dow Janes (FDN)		None	J	T	Buy	08/16/21	J		
47. First Trust Cloud (SKYY)	A	Dividend	J	T	Buy	08/16/21	J		
48. Fiserv Inc Wisc PV 1CT (FISV) (Y)									
49. Garmin LTD (GRMN) (X)	A	Dividend			Sold	08/16/21	J	A	
50. Hilton Worldwide (HLT)		None			Sold	08/16/21	J	A	
51. Home Depot Inc. (HD)	A	Dividend			Sold	08/16/21	J	B	

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52. Honeywell Intl Inc Del (HON)	A	Dividend			Sold	08/16/21	J	B	
53. Intl Paper Co (IP) (X)	A	Dividend			Sold	08/16/21	J		
54. L3Harris Techologies (LHX) (X)		None			Sold	08/16/21	J	A	
55. Lockheed Martin Corp (LMT)		None			Sold (part)	01/08/21	K		
56.					Sold	01/12/21	J		
57. Marvell Tech Inc (MRVL) (X)	A	Dividend			Sold	08/16/21	J	A	
58. Microchip Technology Inc (MCHP)	A	Dividend			Sold	08/16/21	J	A	
59. Microsoft Corp (MSFT)	A	Dividend			Buy (add'l)	02/19/21	J		
60.					Sold	08/16/21	J	C	
61. Nextera Energy Inc Shs (NEE)	A	Dividend			Sold	08/16/21	J	A	
62. Parker Hannifin Corp (PH)	A	Dividend			Sold	08/16/21	J	A	
63. Pepsico Inc (PEP) (Y)									
64. Prologis Inc (PLD)	A	Dividend			Sold	08/16/21	J	A	
65. Ross Stores Inc Com (ROST) (Y)									
66. Sherwin Williams (SHW) (X)		None			Sold	08/16/21	J	A	
67. Thermo Fisher Scientific (TMO)	A	Dividend			Sold	08/16/21	J	A	
68. Truist Finl Corp (TFC)	A	Dividend			Sold	08/16/21	J	A	

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69. Union Pacific Corp (UNP)	A	Dividend			Sold	08/16/21	J	A	
70. Visa Inc Cl A Shrs (V)	A	Dividend			Sold	08/16/21	J	A	
71. Walmart Inc (WMT)	A	Dividend			Sold	08/16/21	J	A	
72. Zoetis Inc (ZTS)	A	Dividend			Sold	08/16/21	J	B	
73. Account #3 (H)									
74. ML Bank Deposit Program (Y)									
75. Bank New York Mellon (BK)	B	Dividend	L	T	Sold (part)	11/12/21	J	D	
76. Disney Common Stock (DIS)		None	J	T					
77. Home Depot Inc. (HD)	A	Dividend	K	T					
78. Oscar Health Inc CL A (OSCR)		None			Buy	03/05/21	J		
79.					Sold	07/14/21	J		
80. Peloton Interactive Inc (PTON)		None	J	T	Sold (part)	07/14/21	J	C	
81. Proctor & Gamble Co (PG)	A	Dividend	J	T	Sold (part)	07/14/21	J	C	
82. Stanley Black & Decker (SWK)	A	Dividend	J	T	Sold (part)	07/14/21	J		
83. Account #4 (H)									
84. ConnectOne Bank Common Stock (CNOB)	A	Dividend	J	T					
85. Sirius Stock (SIRI)	A	Dividend	J	T					

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86. Account #5 (H)									
87. Amazon Com Inc. Com. (AMZN)		None	K	T					
88. Account #6 (H)									
89. Alphabet Inc Shs Cl C (GOOG)		None	K	T	Sold (part)	11/01/21	J	C	
90. Nike Inc Cl B (NKE)	A	Dividend	J	T	Sold (part)	12/30/21	J	B	
91. Tesla Mtrs Inc. (TSLA)		None	K	T					
92. iShares iBoxx (LQD)	A	Dividend			Sold	12/30/21	J		
93. MFS Growth Fund Cl C (MFECX)	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Meta Platforms Inc. (FB) was Facebook (FB).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brian R. Martinotti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544