AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Martinotti, Brian R.	U.S. District Court, District of New Jersey	05/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Clarkson S. Fisher Courthouse 402 East State Street Trenton, NJ 08608		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Chair	Emory University School of Law/Institute for Complex Litigation and Mass Claims Working Group
2.	Board Member	Rand Kenneth R. Feinberg Center for Catastrophic Risk Management and Compensation Activity Board
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	NJ Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	NJ State Defined Benefit Pension	$54,622.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	Board of Education, Cliffside Park - Salary
2.	2022	Borough of Cliffside Park - Salary
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Martinotti, Brian R.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Chase Bank (cash)	A	Interest	K	T					
3. Connect One Bank (cash)	A	Interest	L	T					
4. Bank of New York Common Stock (Y)									
5. Gillette Stock (Y)									
6. Mattel Stock	A	Dividend	J	T					
7. Met Life Stock	A	Dividend	J	T					
8. Newell Rubbermaid Stock	A	Dividend	J	T					
9. Stanley Black and Decker Stock (Y)									
10. Account #1 (H)									
11. Bank of America, NA RASP (cash)	A	Interest	L	T					
12. Appl Steps Issuer Rbc (MLXLJ)	C	Dividend	K	T	Buy	01/21/22	K		
13. Amzn Steps Issuer Barc (MLXFH)	B	Dividend			Redeemed	06/10/22	K		
14. Apple Common Stock (AAPL)	A	Dividend	L	T					
15. Dis Steps Issuer RBC (MLXAP)	A	Dividend			Redeemed	01/07/22	K		
16. Meta Platforms Inc (FB)		None	J	T					
17. SP500 CLIRNS Issuer Barc (MLWFS)		None			Redeemed	12/23/22	L	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Martinotti, Brian R.	05/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. SP500 Stepup Iss HSBC (MLXOS)		None	K	T	Buy	06/30/22	K		
19. Columbia Dividend Income Fund Class B (LBSAX)	B	Dividend	K	T					
20. Delaware Ivy Large Cap Growth Fund CL A (WLGAX)	C	Dividend	K	T					
21. Invesco QQQ TR SER 1 (QQQ)	A	Dividend	K	T	Sold (part)	04/28/22	K	B	
22. Ishares Russell 1000 (IWD)	A	Dividend	K	T					
23. Pacer US Cash Cows100 (COWZ)	A	Dividend	J	T	Buy	08/02/22	J		
24. Account #2 (H)									
25. SPDR Comm Services Select Sector (XLC)	A	Dividend	J	T	Sold (part)	05/12/22	J		
26. SPDR Consumer Discretionary Select Sector (XLY)	A	Dividend	J	T	Sold (part)	07/13/22	J		
27. Fidelity MSCI Utilities (FUTY)	A	Dividend	J	T	Buy (add'l)	05/12/22	J		
28.					Buy (add'l)	07/13/22	J		
29. First Trust Dow Janes (FDN)		None			Sold (part)	02/09/22	J		
30.					Sold	04/12/22	J		
31. First Trust Cloud (SKYY)	A	Dividend	J	T	Buy (add'l)	02/09/22	J		
32.					Sold (part)	04/12/22	J		
33. Global X Funds SHS US (PAVE)	A	Dividend	J	T					
34. Health Care Select SPDR (XLV)	A	Dividend	K	T	Sold (part)	02/09/22	J		

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.						Buy (add'l)	05/12/22	J		
36.	Ishares Biotechnology (IBB)	A	Dividend	J	T					
37.	Ishares U.S. Regional (IAT)	A	Dividend	J	T					
38.	SPDR Materials Select Sector (XLB)	A	Dividend	J	T	Sold (part)	07/13/22	J		
39.	Pacer Benchmark Data AND (SRVR)	A	Dividend	J	T					
40.	SPDR Real Estate Select (XLRE)	A	Dividend	J	T	Buy (add'l)	05/12/22	J		
41.	Sector SPDR Consumers STPL (XLP)	A	Dividend	J	T	Buy (add'l)	07/13/22	J		
42.	Sector SPDR Energy (XLE)	A	Dividend	J	T					
43.	Sector SPDR Industrial (XLI)	A	Dividend	J	T	Sold (part)	05/12/22	J		
44.	SPDR S&P Transportation (XNT)	A	Dividend	J	T					
45.	Vaneck SemiConductor (SMH)	A	Dividend	J	T	Buy	02/09/22	J		
46.						Buy (add'l)	04/12/22	J		
47.						Sold (part)	05/12/22	J		
48.	Vangaurd Financials ETF (VFH)	A	Dividend	J	T	Sold (part)	02/09/22	J	A	
49.						Buy (add'l)	04/12/22	J		
50.	Vangaurd Information (VGT)	A	Dividend	K	T	Buy (add'l)	04/12/22	J		
51.	Account #3 (H)									

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52. ML Bank Deposit Program (cash)	A	Interest	J	T					
53. Bank New York Mellon (BK)	B	Dividend	K	T					
54. Disney Common Stock (DIS)		None			Sold	04/28/22	J	A	
55. Home Depot Inc. (HD)	A	Dividend	J	T					
56. Peloton Interactive Inc (PTON)		None	J	T					
57. Proctor & Gamble Co (PG)	A	Dividend	J	T					
58. Stanley Black & Decker (SWK)	A	Dividend	J	T					
59. Account #4 (H)									
60. ConnectOne Bank Common Stock (CNOB)	A	Dividend	J	T					
61. Sirius Stock (SIRI)	A	Dividend	J	T					
62. Account #5 (H)									
63. Amazon Com Inc. Com. (AMZN)		None	J	T					
64. Invesco QQQ TR SER 1 (QQQ)	A	Dividend	J	T	Buy	05/09/22	J		
65. Pacer US Cash Cows100 (COWZ)	A	Dividend	J	T	Buy	05/09/22	J		
66. Account #6 (H)									
67. First Foundation Bank (cash) (Y)									
68. Alphabet Inc Shs Cl C (GOOG) (Y)									

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69. Nike Inc Cl B (NKE) (Y)									
70. Tesla Mtrs Inc. (TSLA) (Y)									
71. MFS Growth Fund Cl C (MFECX) (Y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

A holding listed herein as "redeemed" is listed as "sold" in the corresponding periodic transactions report due to limitations within the applicable software.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brian R. Martinotti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544