AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Martinotti, Brian R.	U.S. District Court, District of New Jersey	05/15/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Court Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
Clarkson S. Fisher Courthouse 402 East State Street Trenton, NJ 08608		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Chair	Emory University School of Law/Institute for Complex Litigation and Mass Claims Working Group
2.	Board Member	Rand Kenneth R. Feinberg Center for Catastrophic Risk Management and Compensation Activity Board
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	NJ Defined Benefit Pension Plan
2.		
3.		

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Martinotti, Brian R.	05/15/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	NJ State Defined Benefit Pension	$54,622.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Board of Education, Cliffside Park - Salary
2.	2023	Borough of Cliffside Park - Salary
3.	2023	State of NJ - Salary
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Martinotti, Brian R.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Chase Bank (cash)	A	Interest	K	T					
3.	Connect One Bank (cash)	A	Interest	L	T					
4.	Mattel Stock	A	Dividend	J	T					
5.	Met Life Stock	A	Dividend	J	T					
6.	Newell Rubbermaid Stock	A	Dividend	J	T					
7.	Account #1 (H)									
8.	Bank of America, NA RASP (cash)	A	Interest	J	T					
9.	Wells Fargo Bank Nat (cash)		None	K	T	Open	07/24/23	K		
10.	Appl Steps Issuer Rbc (MLXLJ)		None			Redeemed	01/26/23	K		
11.	Apple Common Stock (AAPL)	A	Dividend	L	T	Sold (part)	07/19/23	J	D	
12.	Meta Platforms Inc (FB)		None	K	T					
13.	SP500 Stepup Iss HSBC (MLXOS)		None			Redeemed	07/07/23	K	B	
14.	Columbia Dividend Income Fund Class B (LBSAX)	B	Dividend	K	T					
15.	Delaware Ivy Large Cap Growth Fund CL A (WLGAX)	A	Dividend	K	T					
16.	Invesco QQQ TR SER 1 (QQQ)	A	Dividend	K	T					
17.	Ishares Russell 1000 (IWD)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Martinotti, Brian R.	05/15/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Pacer US Cash Cows100 (COWZ)	A	Dividend	J	T					
19. Account #2 (H)									
20. SPDR Comm Services Select Sector (XLC)	A	Dividend	J	T	Sold (part)	01/19/23	J		
21.					Buy (add'l)	04/11/23	J		
22. SPDR Consumer Discretionary Select Sector (XLY)	A	Dividend	J	T					
23. Fidelity MSCI Utilities (FUTY)	A	Dividend	J	T	Sold (part)	10/17/23	J		
24. First Trust Cloud (SKYY)		None	J	T					
25. Global X Funds SHS US (PAVE)	A	Dividend	J	T					
26. Health Care Select SPDR (XLV)	A	Dividend	K	T	Buy (add'l)	01/19/23	J		
27.					Buy (add'l)	07/19/23	J		
28. Ishares Biotechnology (IBB)	A	Dividend	J	T					
29. Invesco KBW Bank ETF (KBWB) (X)	A	Dividend	J	T					
30. iShares U.S. Aerospace & Defense ETF (ITA)	A	Dividend	J	T	Buy	01/19/23	J		
31. iShares U.S. Infrastructure ETF (IFRA)	A	Dividend	J	T	Buy	07/19/23	J		
32. Ishares U.S. TR Regional (IAT)	A	Dividend	J	T					
33. SPDR Materials Select Sector (XLB)	A	Dividend	J	T					
34. Pacer Benchmark Data AND (SRVR) (Y)									

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35. SPDR Real Estate Select (XLRE)	A	Dividend	J	T	Sold (part)	04/11/23	J		
36. Sector SPDR Consumers STPL (XLP)	A	Dividend	J	T					
37. Sector SPDR Energy (XLE)	A	Dividend	J	T	Buy (add'l)	10/17/23	J		
38. Sector SPDR Industrial (XLI)	A	Dividend	J	T	Sold (part)	10/17/23	J		
39. SPDR S&P Transportation (XNT)		None	J	T	Sold (part)	01/19/23	J		
40. Vaneck SemiConductor (SMH)	A	Dividend	J	T	Sold (part)	01/19/23	J		
41.					Buy (add'l)	07/19/23	J		
42. Vangaurd Financials ETF (VFH)	A	Dividend	K	T	Buy (add'l)	04/11/23	J		
43. Vangaurd Information (VGT)	A	Dividend	K	T	Sold (part)	04/11/23	J		
44.					Sold (part)	07/19/23	J	A	
45. Account #3 (H)									
46. ML Bank Deposit Program (cash) (Y)									
47. Bank New York Mellon (BK)	B	Dividend	K	T	Sold (part)	03/13/23	J	D	
48. Home Depot Inc. (HD)	A	Dividend	K	T					
49. Peloton Interactive Inc (PTON)		None			Sold	03/13/23	J		
50. Proctor & Gamble Co (PG)	A	Dividend	J	T					
51. Stanley Black & Decker (SWK)	A	Dividend			Sold	03/13/23	J		

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52. Account #4 (H)									
53. ConnectOne Bank Common Stock (CNOB)	A	Dividend	J	T					
54. Sirius Stock (SIRI)	A	Dividend	J	T					
55. Account #5 (H)									
56. Amazon Com Inc. Com. (AMZN)		None	K	T					
57. Invesco QQQ TR SER 1 (QQQ)	A	Dividend	J	T					
58. Pacer US Cash Cows100 (COWZ)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Brian R. Martinotti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544