AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Matey, Paul B. | United States Court of Appeals for the Third Circuit | 05/13/2024 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final | 01/01/2023 **to** 12/31/2023 |
| | **5b.** ☐ Amended Report | |
| **7. Chambers or Office Address** | | |
| United States Courthouse<br>50 Walnut Street<br>Room 5057<br>Newark, NJ 07102 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | Spring | Seton Hall Law School - Teaching | $15,000.00 |
| 2. | Fall | Seton Hall Law School - Teaching | $15,000.00 |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | Annual | O'Toole Scrivo LLC |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | George Mason University Law School Federalist Society | January 12, 2023 | Washington, DC | Speaking | Travel, Meals |
| 2. | Pacific Legal Foundation | Feburary 8-9, 2023 | Washington, DC | Speaking | Lodging, Travel, Meals |
| 3. | Catholic University Law School | March 12-14, 2023 | Washington, DC | Speaking | Lodging, Travel, Meals |
| 4. | Federalist Society | April 27-28, 2023 | Philadelphia, PA | Speaking | Lodging, Travel, Meals |

| | | | | | |
|---|---|---|---|---|---|
| 5. | George Mason University Law School Federalist Society | May 31, 2023 | Washington, DC | Speaking | Travel, Meals |
| 6. | Federalist Society | June 27-28, 2023 | Washington, DC | Speaking | Lodging, Travel, Meals |
| 7. | James Wilson Institute | October 20-22, 2023 | Washington, DC | Speaking | Lodging, Travel, Meals |
| 8. | Federalist Society | November 8-10, 2023 | Washington, DC | Speaking | Lodging, Travel, Meals |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | AES | Student Loan | K |
| 2. | Mohela | Student Loan | J |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Matey, Paul B.** | 05/13/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure | | B. Income during reporting period | | C. Gross value at end of reporting period | | D. Transactions during reporting period | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | (1) Amount Code 1 (A-H) | (2) Type (e.g., div., rent, or int.) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e.g., buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | AMERICAN FUNDS AMCAP CL F2 | A | Dividend | J | T | | | | | |
| 2. | AMERICAN FUNDS CAP WORLD GROWTH & INCOME F2 | A | Dividend | K | T | | | | | |
| 3. | AMERICAN FUNDS NEW PERSPECTIVE F2 | A | Dividend | L | T | | | | | |
| 4. | AMERICAN FUNDS NEW WORLD F2 | A | Dividend | K | T | | | | | |
| 5. | ARK INNOVATION STOCK | A | Dividend | J | T | | | | | |
| 6. | BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL | B | Dividend | K | T | | | | | |
| 7. | DODGE & COX GLOBAL STOCK | A | Dividend | J | T | | | | | |
| 8. | DODGE & COX INCOME | A | Dividend | J | T | | | | | |
| 9. | GOLDMAN SACHS GQG PTNRS INTL OPP IR | A | Dividend | K | T | Buy | 12/04/23 | J | | |
| 10. | GOLDMAN SACHS CORE FIXED INCOME IR | A | Dividend | J | T | | | | | |
| 11. | GOLDMAN SACHS DYNAMIC MUNI | A | Dividend | J | T | | | | | |
| 12. | JP MORGAN MID CAP GROWTH CL SEL | A | Dividend | K | T | | | | | |
| 13. | MATTHEWS ASIA DIVIDEND | A | Dividend | | | Sold | 12/04/23 | J | | |
| 14. | PIMCO INCOME FUND 12 | B | Dividend | K | T | | | | | |
| 15. | SCHWAB MODERATE PORTFOLIO (INDEX) 529 | B | Dividend | M | T | | | | | |
| 16. | SPROTT PHYSICAL GOLD & SILVER TR UNIT | A | Dividend | K | T | | | | | |
| 17. | VANGUARD MID CAP INDEX ADM | A | Dividend | K | T | | | | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Matey, Paul B.** | 05/13/2024 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure | B. Income during reporting period (1) Amount Code 1 (A-H) | B. (2) Type (e.g., div., rent, or int.) | C. Gross value at end of reporting period (1) Value Code 2 (J-P) | C. (2) Value Method Code 3 (Q-W) | D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption) | D. (2) Date mm/dd/yy | D. (3) Value Code 2 (J-P) | D. (4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 18. VANGUARD MID CAP VALUE INDEX ADMIRAL CL | A | Dividend | K | T | | | | | |
| 19. VANGUARD LARGE CAP INDEX ADMIRAL | A | Dividend | K | T | Buy | 12/04/23 | J | | |
| 20. VANGUARD LIMITED-TERM TAX-EXEMPT | A | Dividend | J | T | | | | | |
| 21. VANGUARD INTERMEDIATE-TERM TAX EXEMPT | A | Dividend | J | T | | | | | |
| 22. VANGUARD SHORT TERM INVESTMENT GRADE ADMIRAL CL | A | Dividend | J | T | | | | | |
| 23. VANGUARD SMALL CAP INDEX ADM | A | Dividend | K | T | | | | | |
| 24. VANGUARD VALUE INDEX ADMIRAL CL | B | Dividend | L | T | | | | | |
| 25. VANGUARD US GROWTH ADMIRAL | A | Dividend | L | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1. Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2. Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3. Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Matey, Paul B.** | 05/13/2024 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Matey, Paul B.** | 05/13/2024 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Paul B. Matey**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544