AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McAuliffe, Steven J.	U.S. District Court - New Hampshire	05/16/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Warren B. Rudman U.S. Courthouse 55 Pleasant Street, Room 416 Concord, N.H. 03301		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Fellow	New Hampshire Bar Foundation
2.	Trustee	Trust #1
3.	Partner	Piglet (Neighborhood Investment Club)
4.	Member	Shumac, LLC
5.	Member	Pleasant Lake Cottages, LLC

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
McAuliffe, Steven J.	05/16/2022

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	UBS	Account Secured Premier Credit Line	N
2.			
3.			
4.			
5.			

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McAuliffe, Steven J.	05/16/2022

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Accts Merrimack County Savings Bank	A	Interest	J	T					
2. IRA iShares Core S&P	A	Dividend	K	T					
3. IRA iShares Russell 1000	A	Dividend	L	T					
4. IRA iShares MSCI EAFE	C	Dividend	M	T					
5. IRA iBoxx $ Invt	A	Dividend	K	T					
6. IRA iShares Core US AGG Bond	A	Dividend	K	T					
7. IRA Vanguard Value	B	Dividend	L	T					
8. IRA Vanguard Mid-Cap	A	Dividend	K	T					
9. IRA Vanguard FTSE Emerg Mkts	B	Dividend	K	T					
10. ExxonMobil Corp	A	Dividend			Sold	03/08/21	J	A	
11. UBS Retirement Money Fund (UBS Bank USA Dep Acct)	A	Interest	J	T					
12. Piglet Investment Club Partnership Shares (See Part VIII)	A	Interest	K	T					
13. -UBS RMA Cash Account	A	Interest	J	T					
14. -Apple Inc	A	Dividend	J	T	Buy (add'l)	09/08/21	J		
15. -Exact Sciences Corp		None	J	T					
16. -Microsoft Corp	A	Dividend	J	T	Buy (add'l)	09/08/21	J		
17. -Ballard Power Sys Inc		None	J	T	Buy	06/02/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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18. -Constellation Brands Inc	A	Dividend	J	T	Buy	04/09/21	J		
19. -ChargePoint Holdings Inc		None	J	T	Buy	06/02/21	J		
20. -Intel Corp	A	Dividend	J	T	Buy	11/03/21	J		
21. -Johnson & Johnson	A	Dividend	J	T	Buy	01/06/21	J		
22. -TuSimple Holdings Inc		None	J	T	Buy	06/02/21	J		
23. UBS Bank USA Dep Acct	A	Dividend	K	T					
24. Merrimack County Savings Bank NOW Acct (2)	A	Interest	J	T					
25. iShares TR Russell 2000 Index Fund	B	Dividend	M	T					
26. iShares Trust Russell Midcap Growth Index Fund	A	Dividend	K	T					
27. Apple Inc	B	Dividend	L	T					
28. Simon Property Group Inc	B	Dividend			Sold	03/08/21	K	D	
29. iShares iBoxx Invt Grade DE Corp Bond Fund	B	Dividend	J	T					
30. Danaher Corp	A	Dividend	J	T					
31. Alphabet Inc. CL A		None	L	T					
32. JP Morgan Chase & Company	A	Dividend	J	T					
33. Starbucks Corp	A	Dividend			Sold	03/08/21	J	C	
34. VISA Inc	B	Dividend	J	T					

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35. Alphabet Inc CL C		None	L	T					
36. Home Depot Inc	A	Dividend	M	T					
37. iShares Trust Russell 1000	A	Dividend	L	T					
38. HCA Healthcare Inc	B	Dividend	K	T					
39. SPDR S&P MidCap 400 ETF TR	C	Dividend	K	T	Sold (part)	05/21/21	M	F	
40. Technology Sector SPDR Trust SHS	B	Dividend	M	T					
41. Johnson County Kansas Water District #1 Bond	A	Interest	K	T					
42. Brentwood Tennessee G.O. Bond	A	Interest	K	T					
43. Minn St Colleges & SR A Revenue Bond	A	Interest	K	T					
44. Vermont Municipal Bond Bank SRL Revenue Bond	A	Interest	K	T					
45. Pimco Real Return Fund Class A	B	Dividend	K	T					
46. Calamos Market Neutral Income Fund Class A	B	Dividend			Sold	03/23/21	K	B	
47. First Eagle Global Fund Class A	B	Dividend	K	T					
48. Shumac LLC 50% Int (Holds Lake Property in Merrimack County, NH)		None	O	W					
49. Pleasant Lake Cottages, LLC (Real Estate)		None	O	W					
50. R.P. Jobin, Personal Loan		None	J	T					
51. Salesforce.com Inc		None			Sold	05/21/21	J	B	

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52. Thermo Fisher Scientific Inc	A	Dividend	K	T					
53. UnitedHealth Group	A	Dividend	K	T					
54. Gilead Sciences Inc	A	Dividend			Sold	05/21/21	J	C	
55. Microsoft Corp	A	Dividend	K	T					
56. Costco Wholesale Corp	A	Dividend			Sold	05/21/21	J	C	
57. SPDR S&P Midcap 600	A	Dividend	K	T					
58. Ecolab, Inc	A	Dividend			Sold	03/08/21	J	C	
59. Facebook Inc		None			Sold	03/10/21	J	B	
60. Broadcom Ltd	A	Dividend			Sold	03/08/21	K	D	
61. Raytheon Co	A	Dividend			Sold	05/21/21	J	B	
62. Vanguard Midcap ETF	B	Dividend	L	T					
63. Ameriprise Fin Inc	A	Dividend	J	T					
64. Union Pacific Corp	A	Dividend	J	T					
65. Amazon Inc		None	K	T					
66. American Tower Corp	A	Dividend	J	T					
67. AT&T Inc	A	Dividend			Sold	03/08/21	J	A	
68. Netflix Inc		None			Sold	05/21/21	J	B	

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69. Proctor & Gamble Co	A	Dividend			Sold	05/21/21	J	B	
70. Wal-Mart Inc	A	Dividend			Sold	03/08/21	J	A	
71. Adobe Inc		None	J	T					
72. iShares MSCI EAFE ETF	A	Dividend	K	T					
73. Amer Funds Euro Pacific	A	Dividend			Sold	05/21/21	J	D	
74. New Hampshire St Bus Sr A RV	B	Interest	K	T					
75. Apple Inc [C]	B	Dividend	M	T					
76. Danaher Corp [C]	A	Dividend	L	T					
77. JP Morgan Chase & Company [C]	A	Dividend	K	T					
78. UnitedHealth Group [C]	A	Dividend	L	T					
79. UBS Pace Money Market Investments Fund Class P [K]	A	Dividend	J	T					
80. F. Templeton Global Bond A [K]	A	Dividend			Sold	05/12/21	J	A	
81. Arbitrage Fund [K]	A	Dividend			Sold	05/12/21	J	A	
82. BlackRock Equity Dividend Fund [K]	A	Dividend			Sold	05/12/21	J	A	
83. Calamos Market Neutral Income Fund [K]	A	Dividend			Sold	05/12/21	J	A	
84. Calamos International Growth Fund [K]	A	Dividend			Sold	05/12/21	J	A	
85. BNY Mellow Opp SmCapFd [K]	A	Dividend			Sold	05/12/21	J	A	

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86. Natixis Loomis Sayles Core Plus Bond Fund [K]	A	Dividend			Sold	05/12/21	J	A	
87. Royce Premier Fund [K]	A	Dividend			Sold	05/12/21	J	A	
88. Laudus Growth Inv US Large Cap Fund [K]	A	Dividend			Sold	05/12/21	J	A	
89. UBS Pimco College Fund 529(1) [K]	A	Interest	J	T					
90. UBS Pimco College Fund 529(2) [K]	A	Interest	K	T					
91. Fidelity Advisor Materials Fund Class A [K]	A	Dividend			Sold	05/12/21	J	A	
92. Invesco Developing Markets Fund Class A [K]	A	Dividend			Sold	05/12/21	J	A	
93. Oakmark International Fund [K]	A	Dividend			Sold	05/12/21	J	A	
94. Delaware Corporate Bond Fund A [K]	A	Dividend			Sold	05/12/21	J	A	
95. Ivy High Income Fund [K]	A	Dividend			Sold	05/12/21	J	A	
96. Metropolitan West Total Return Bond Fund [K]	A	Dividend			Sold	05/12/21	J	A	
97. Pimco Real Return Fund [K]	A	Dividend			Sold	05/12/21	J	A	
98. Virtus Seix High Grade Municipal Bd Fd (frmrly "Ridgeworth") [K]	A	Dividend			Sold	05/12/21	J	A	
99. T. Rowe Price Tax Free Short Intermediate Fund [K]	A	Dividend			Sold	05/12/21	J	A	
100. TCW Emerging Markets Income Fund [K]	A	Dividend			Sold	05/12/21	J	A	
101. MainStay Marketfield Fund [K]	A	Dividend			Sold	05/12/21	J	A	
102. First Eagle Global Fund [K]	A	Dividend			Sold	05/12/21	J	A	

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103. iShares Natl Muni Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
104. iShares Short Term Natl Muni Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
105. iShares Core 1-5 Yr USD Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
106. SPDR Bloomberg High Yield Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
107. Vanguard Total Intnl Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
108. iShares iBoxx Inv Grade Corp Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
109. iShares Core U.S. Agg Bond ETF [K]]	A	Dividend	J	T	Buy	05/18/21	J		
110. iShares Tips Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
111. iShares Trust iShares 1-5 Year Inv Grd Bond ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
112. iShares Core S&P Small-Cap ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
113. iShares Russell 1000 Growth ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
114. iShares MSCI EAFE ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
115. Vanguard Valve ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
116. Vanguard Mid-Cap ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
117. Vanguard FTSE Emerging Mkt ETF [K]	A	Dividend	J	T	Buy	05/18/21	J		
118. Berkshire Hathaway Inc [C]		None	J	T					
119. Costco Wholesale Corp [C]	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. Dominion Energy (Name Change) [C]	A	Dividend	J	T					
121. PNC Financial Services Group [C]	A	Dividend	J	T					
122. PepsiCo Inc [C]	A	Dividend	J	T					
123. Verizon Communications Inc [C]	A	Dividend	J	T					
124. Ameriprise Fin Inc [C]	A	Dividend	K	T					
125. Broadcom Ltd [C]	A	Dividend	K	T					
126. Ecolab Inc [C]	A	Dividend	J	T					
127. Facebook Inc [C] Name Change to Meta Platforms Inc		None	J	T					
128. Vanguard Mid Cap FD [C]	B	Dividend	M	T					
129. Vanguard REIT ETF [C]	A	Dividend	J	T					
130. Digital Realty Trust Inc [C]		None	J	T					
131. KeyCorp New [C]	A	Dividend	J	T					
132. Northrop Grumman Corp [C]	A	Dividend	J	T					
133. Raytheon Co [C]	A	Dividend	J	T					
134. Home Depot Inc [C]	A	Dividend	K	T					
135. Union Pacific Corp [C]	A	Dividend	J	T					
136. SPDR S&P 600 Small Cap [C]	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. Invesco Dev Mkts [C]	A	Dividend	K	T					
138. Starbucks Corp [C]	A	Dividend	J	T					
139. Visa Inc [C]	A	Dividend	K	T					
140. Fortive Corp [C]	A	Dividend	J	T					
141. Conoco Phillips [C]	A	Dividend	J	T					
142. Amazon Inc [C]	A	Dividend	K	T					
143. Caterpillar Inc [C]	A	Dividend	J	T					
144. Eaton Corp PLC [C]	A	Dividend	J	T					
145. Booking Holdings Inc [C]	A	Dividend	J	T					
146. American Tower Corp [C]	A	Dividend	J	T					
147. AT&T Inc [C]	A	Dividend	J	T					
148. McDonald's Corp [C]	A	Dividend	J	T					
149. Netflix Inc [C]		None	J	T					
150. Proctor & Gamble Co [C]	A	Dividend	J	T					
151. Wal-Mart Inc [C]	A	Dividend	J	T					
152. Vanguard FTSE Dev Mkt [C]	B	Dividend	J	T	Sold (part)	03/05/21	J		
153. iShares Core S&P Small Cap [C]	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154. iShares Russell 1000 Growth [C]	A	Dividend	K	T					
155. iShares MSCI EAFE [C]	A	Dividend	K	T					
156. Vanguard Value ETF [C]	A	Dividend	K	T					
157. Vanguard Mid-Cap ETF [C]	A	Dividend	K	T					
158. Vanguard FTSE Emerging Mkts [C]	A	Dividend	K	T					
159. iShares iBoxx & Invt Grade Corp Bond [C]	A	Dividend	J	T					
160. iShares Core US Agg Bond [C]	A	Dividend	K	T					
161. iShares Tips Bond [C]	A	Dividend	K	T					
162. iShares Natl Muni Bond [C]	A	Dividend	K	T					
163. iShares Short Term Natl Muni Bond [C]	A	Dividend	K	T					
164. SPDR Bloomberg Barclay High Yield Bond [C]	A	Dividend	J	T					
165. Vanguard Total Intl Bond [C]	A	Dividend	M	T					
166. Adobe Inc [C]		None	J	T					
167. ExxonMobil Corp [C]	A	Dividend	J	T					
168. Halliburton Co [C]	A	Dividend	J	T					
169. Walt Disney Co [C]	A	Dividend	J	T					
170. Alphabet Inc CL A [C]	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

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Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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171. iShares 1-5 Yr Invt Grade Corp Bond [C]	A	Dividend	J	T					
172. iShares Core 1-5 Yr USD Bond GTF [C]	A	Dividend	K	T					
173. Microsoft Corp [C]	A	Dividend	J	T					
174. Vontier Corp Common (Spin Off of Fortive) [C]		None	J	T	Spinoff (from line 140)	01/08/21	J		
175. Carrier Global Corp [C]	A	Dividend	J	T	Buy	01/08/21	J		
176. Otis Worldwide Corp [C]	A	Dividend	J	T	Buy	01/08/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS AND TRUSTS.

Trust #1 assets are listed in Part VII, identified by the designation "[C]."

PART VII. INVESTMENTS AND TRUSTS.

Line 12. Piglet Investment Club Partnership - Aggregated (specific holdings are listed below main entry and designated by "-").

Lines 175 and 176: Spin-offs from United Technologies that were below reporting values for 2020;
now are being reported as they are varying close to or over $1,000 reportable value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven J. McAuliffe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544