AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MCAVOY, THOMAS J.	U.S. DISTRICT COURT - NDNY	04/21/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
SENIOR US DISTRICT COURT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
FEDERAL BUILDING 15 HENRY STREET BINGHAMTON, NEW YORK 13902		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Member Board of Trustees Emeritus - see VIII	Albany Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	03/06/86	County of Broome-vested retirement health insurance benefit
2.		
3.		

Name of Person Reporting	Date of Report
MCAVOY, THOMAS J.	04/21/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.	12/31/2021	NYS & Local Employees Retirement System - Pension	$1,533.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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MCAVOY, THOMAS J.	04/21/2022

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	M&T Mortgage Co.	rental property - Conklin, NY	N
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MCAVOY, THOMAS J.	04/21/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Treadstone -cash/money market	A	Int./Div.	J	T					
2. Conklin, NY - apartment buildings 1/3 owner -see Part VIII	G	Rent	N	W					
3. Binghamton, NY single [redacted] home	D	Rent	L	W					
4. Conklin, NY undeveloped lot		None	K	W					
5. Sanibel, Florida condominium	D	Rent	N	W					
6. Endicott, NY - 2[redacted]	D	Rent	L	W					
7. Lord Abbett Affiliated FD CL A CUSIP	A	Dividend	K	T					
8. Nuveen New York Municipal Bond Class	C	Int./Div.	M	T					
9. Franklin Mutual Global Discovery Fund CL A - see Part VIII	A	Dividend	J	T					
10. Franklin Rising Dividends Fund CLA	A	Dividend	J	T					
11. Franklin Mutual Shares Fund CL A	A	Dividend	J	T					
12. Chevron Corp Com	A	Dividend	K	T					
13. Treadstone - IRA	C	Dividend	N	T					
14. M&T Bank account	A	Interest	M	T					
15. Oppenheimer Main Street Fund Class A OPTFX	A	Dividend	L	T					
16. Templeton China World Fund CL A TCWAX	A	Dividend	J	T					
17. Templeton Growth Fund CL A	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. Franklin Small-Mid Cap Growth Fund CL A	A	Dividend	K	T					
19. Apple, Inc.	A	Dividend	K	T					
20. Celenge Corp		None			Sold	01/18/21	J		
21. Invesco High Yield Municipal Fund	A	Dividend	L	T					
22. Natioinal Oilwell Varco, Inc.	A	Dividend			Sold	01/08/21	J		
23. Schlumberger Limited	A	Dividend			Sold	01/08/21	J		
24. Devon Energy Corp	A	Dividend			Sold	01/08/21	J		
25. Johnson & Johnson	B	Dividend	K	T					
26. Chevron Corp	A	Dividend	K	T					
27. GW Pharmacuticals, PLC now Bristol Myers Squibb see Part VIII	A	Dividend	K	T					
28. Bank of Montreal --	A	Int./Div.	K	T					
29. CVS Health Corp	A	Dividend	J	T					
30. Capital One Financial Corp	A	Dividend	J	T					
31. Microsoft Corp	A	Dividend	K	T					
32. Berkshire Hathaway	C	Dividend	K	T					
33. Facebook, Inc. now META see Part VIII	D	Dividend	K	T					
34. Bristol Myers Squibb Company	A	Dividend	J	T					

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35. Enbridge	A	Dividend	J	T					
36. Zimmer Biomet Holdings Inc	A	Dividend	K	T					
37. Raytheon Technologies Corp	A	Dividend	J	T					
38. Imperial Brands, PLC	A	Dividend	J	T	Buy	01/08/21	J		
39. Jazz Pharmacuticals PLC	A	Dividend	J	T	Buy	01/08/21	J		
40.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Positions: No reportable assets associated with my position as Member of the Board of Trustees of Albany Law School.

Part VII Line 1 - All indicidual asset that are part of the "Treadstone Wealth Advisors affiliated with Commonwealth Financial Network have been listed.

Part VII Line 2 - Conklin apartment buildings. This is a passive investment. All assets subject to disclosure have been listed.

Part VII Line 13 - All individual assets that are Affiliated with Commonwealth Financial Network, which are subject to disclosure have been listed.

Part VII Line 27 of last years report has been removed because it was a duplicate of line 13: Chevron Corp. Now this years Line 12

Part VII line 27 GW Pharmacuticals, PLC has merged with Myers Squibbs on 5/6/21.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ THOMAS J. MCAVOY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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