AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McElroy, Mary S.	United States District Court for the District of RI	04/24/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. District Court One Exchange Terrace Providence, RI 02903		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2032	State of RI Retirement Account. Entitled to collect a pension at approx age 67. Pension is equal to a percentage of the average of the highest 3 years income
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	Coventry Rhode Island Public Schools/salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Federal Judges Association	May 1-3, 2022	Washington DC	Legal Education	Hotel, Travel, Meals
2.					
3.					
4.					
5.					

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McElroy, Mary S.	04/24/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.	Bank of America	Credit Card	J
3.			
4.			
5.			

Name of Person Reporting	Date of Report
McElroy, Mary S.	04/24/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. ING/Capital One 360 Cash equivalent account	A	Interest	J	T					
2. Navigant Credit Union (previously Coventry Teachers credit union)	A	Interest	J	T					
3. Ocean State Credit Union Cash equivalent account	A	Interest	J	T					
4. Pawtucket Credit Union cash equivalent account	A	Interest	J	T					
5. Greenwood Credit Union cash equivalent account	A	Interest	J	T					
6.									
7. Acorns Investment Account	A	Dividend			Closed	12/31/22	J	A	
8. iShares Core US Agregate Bond ETF (AGG)	A	Dividend	J	T	Sold (part)	12/20/22	J	A	
9. iShares Core US Agregate Bond ETF (AGG)	A	Dividend	J	T	Sold	12/29/22	J	A	
10. iShares Core S&P mid-cap ETF (IJH)	A	Distribution	J	T	Sold (part)	12/20/22	J	A	
11. iShares Core S&P mid-cap ETF (IJH)	A	Distribution	J	T	Sold	12/29/22	J	A	
12. iShares Core S&P small-cap ETF(IJR)	A	Distribution	J	T	Sold (part)	12/20/22	J	A	
13. iShares Core S&P small-cap ETF(IJR)	A	Distribution	J	T	Sold (part)	12/20/22	J	A	
14. iShares Core 1-5 year USD Bond ETF (ISTB)	A	Dividend							
15. iShares Core 1-5 year USD Bond ETF (ISTB)	A	Dividend							
16. iShares Core MSCI Total Intl Stock ETF (IXUS)	A	Dividend							
17. iShares Core MSCI Total Intl Stock ETF (IXUS)	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard S&P 500 ETF (VOO)	A	Dividend							
19. Vanguard S&P 500 ETF (VOO)	A	Dividend							
20.									
21. College Bound Fund 529 Plans									
22. CBF Bond Portfolio	A	Dividend	J	T					
23. S&P 500 Port RI	A	Dividend	K	T					
24.									
25. Goldman Sachs savings account	A	Interest	J	T					
26.									
27. Gabelli Funds, LLC									
28. -GAMCO Investors SRI fund CL AAA-ESGGX	A	Dividend	J	T					
29. -GAMCO Investors SRI fund CL AAA-PETZX	A	Dividend	J	T					
30.									
31. TIAA-CREF RI Defined Contribution Plan									
32. -Vang FTSE Soc. Index (VFTAX)	A	Dividend	K	T					
33. -Vang Target Ret 2030 Trust Plus (VTHRX)	A	Dividend	K	T					
34. -Pimco Real Return Inst. Class (PRRIX)	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. -State Street SP 500 Id II (SVSPX)	A	Dividend	K	T					
36. -State Street Sm Mid Id II (SSMKX)	A	Dividend	K	T					
37. -State Street US Bond Id. XIV (SSFCX)	A	Dividend	K	T					
38. -Vanguard Targ. Ret. Inc. Trst. Plus	A	Dividend	K	T					
39.									
40. Fiduciary Trust Company of New Hampshire (formerly Waddell and Reed)									
41. - Delaware Ivy Large Cap Growth A (WLGAX)	A	Dividend	K	T					
42. - Ivy Global Growth (IVINX)	A	Dividend	J	T					
43. - Ivy Mid Cap Income (IVOAX)	A	Dividend	J	T					
44. -Ivy Core Equity A (WCEAX)	A	Dividend	J	T					
45. -Ivy Mid Cap Growth A (WMGAX)	A	Dividend	J	T					
46. -Ivy Science and Technology A (WSTAX)	A	Dividend	K	T					
47. - Ivy Small Cap Core A (IYSAX)	A	Dividend	J	T					
48. -Ivy Emerging Markets Equity A (IPOAX)	A	Dividend	J	T					
49. -Ivy Small Cap Growth A (WSGAX)	A	Dividend	J	T					
50. -Ivy High Income A (WHIAX)	A	Dividend	K	T					
51. -Ivy Balanced A (IBNAX)	A	Dividend	K	T					

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52. -Ivy Corporate Bond A (IBJAX)	A	Dividend	K	T					
53. -Ivy Appolo Strategic Income A (IAPOX)	A	Dividend	K	T					
54. -Ivy International Core Equity A (IVIAX)	A	Dividend	J	T					
55.									
56. LPL Financial Services									
57. -Fundamental Investors CL (FINFX) X	A	Dividend			Sold	05/27/22	J	A	
58. -Invesco Global CL (OGLYX) X	A	Dividend	J	T					
59. -MFS Global Total Return CL (MFWIX) X	A	Dividend	J	T					
60. -MFS International Growth CL (MQGIX) X	A	Dividend	J	T					
61. -PIMCO Stockplus Small CL (PCKPX) X	A	Dividend			Sold	05/27/22	J	A	
62. -T Rowe Price QM US Small Cap Growth Equity Investor CL (PRDSX) X	A	Dividend			Sold	05/27/22	J	A	
63. -T Rowe Price Growth Stock Investor CL (PRGFX) X	A	Dividend	J	T					
64. - T Rowe Communications and Technology Investor CL X (PRMTX)	A	Dividend	J	T					
65. - AB Large Cap Growth SMA (APGYX)	A	Dividend	J	T	Buy	05/27/22	J		
66. -Alliance Bernstein Small Cap Growth Advisor Class (QUAYX)	A	Dividend	J	T	Buy	05/27/22	J		
67. -BlackRock Strategic Income Opportunities Portfolio (BSIIX) (X)	A	Dividend	J	T	Buy	05/27/22	J		
68. -American Funds Capital -Income Builder Class F-2 (CAIFX)	A	Dividend	J	T	Buy	05/27/22	J		

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69. -Delaware Ivy MidCap Growth Fund (IYMIX)	A	Dividend	J	T	Buy	05/27/22	J		
70. -Fidelity Advisor Focused Emerging Markets Fund Class (FIMKX)	A	Dividend	J	T	Buy	05/27/22	J		
71. -Delaware Ivy Science and Tech Fund (ISTIX)	A	Dividend	J	T	Buy	05/27/22	J		
72. -Fidelity Advisor Total Bond Fund Class I (FEPIX)	A	Dividend	J	T	Buy	05/27/22	J		
73. -Lord Abbett Income Fund Class I (LAUYX)	A	Dividend	J	T	Buy	12/09/22	J		
74. - BrandywineGlobal Global Opportunities Bond Fund Class I (GOBIX)	A	Dividend	J	T	Buy	05/27/22	J		
75. - Principal Small Cap Fund Inst. Class (PSLIX)	A	Dividend	J	T	Buy	05/27/22	J		
76. - The Hartford Dividend and Growth Fund Class I (HDGIX)	A	Dividend	J	T	Buy	05/27/22	J		
77. - T Rowe Price Value Fund (TRVLX)	A	Dividend	J	T	Buy	05/27/22	J		
78. - T Rowe Price Capital Appreciation Fund (PRWCX)	A	Dividend	J	T	Buy	05/27/22	J		
79.									
80. Lord Abbett									
81. -Lord Abbett Ultra Short Bond A (LUBAX)	A	Dividend			Sold	02/22/22	K	A	
82. -Lord Abbett Ultra Short Bond A (LUBAX)	A	Dividend			Sold (part)	02/14/22	K	A	
83. -Lord Abbett High Yield CL (LHYFX) (X)	A	Dividend			Sold	05/27/22	J	A	
84. -Touchstone Sands Cap Emerg Mark Growth Class Y (TSEMX) (X)	A	Dividend			Sold	05/27/22	J	A	
85. - West Asset Core Plus Bond Fund Class I (WACPX) (X)	A	Dividend			Sold	05/27/22	J	A	

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86. -Lord Abbett Income Fund Class F (LAUFX) (X)	A	Dividend			Buy	05/27/22	J		
87. -Lord Abbett Income Fund Class F (LAUFX) (X)	A	Dividend			Sold	12/09/22	J	A	
88.									
89. Real Estate Condominium: [redacted] East Greenwich RI	E	Rent	N	W					
90.									
91.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Assets noted with X were inherited by [redacted] from [redacted] death.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary S. McElroy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544