AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
McElroy, Mary S.	United States District Court for the District of RI	01/06/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court One Exchange Terrace Providence, RI 02903		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2032	State of RI Retirement Account. Entitled to collect a pension at approx age 67. Pension is equal to a percentage of the average of the highest 3 years income
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Coventry Rhode Island Public Schools/salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card	J
2.	Coastal1 Credit Union	Commercial Mortgage on Rental Property, 15 yr 4.25%	M
3.			
4.			
5.			

Name of Person Reporting	Date of Report
McElroy, Mary S.	01/06/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. ING/Capital One 360 Cash equivalent account (Y)									
2. Navigant Credit Union (previously Coventry Teachers credit union) (Y)									
3. Ocean State Credit Union Cash equivalent account (Y)									
4. Pawtucket Credit Union cash equivalent account (Y)									
5. Greenwood Credit Union cash equivalent account (Y)									
6.									
7. College Bound Fund 529 Plans (H)									
8. -CBF Bond Portfolio RI	A	Dividend	J	T	Buy (add'l)	06/13/23	J		monthly
9. -S&P 500 Port RI	A	Dividend	K	T	Buy (add'l)	06/13/23	J		monthly
10.									
11. Goldman Sachs savings account (Y)									
12.									
13. Gabelli Funds, LLC (H)									
14. -GAMCO Investors SRI fund CL AAA-ESGGX	A	Dividend	J	T	Buy (add'l)	06/13/23	J		monthly
15.					Sold (part)	07/25/23	J		
16.					Sold (part)	08/09/23	J		
17. -GAMCO Investors SRI fund CL AAA-PETZX	A	Dividend	J	T	Buy (add'l)	06/13/23	J		monthly

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
McElroy, Mary S.	01/06/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18.					Sold (part)	08/09/23	J		
19. TIAA-CREF RI Defined Contribution Plan (H)									
20. -Vang FTSE Soc. Index (VFTAX)	A	Dividend			Sold	11/28/23	K	B	
21. -Vang Target Ret 2030 Trust Plus (VTHRX) (see part VIII)		None			Sold	11/28/23	K	A	
22. -Pimco Real Return Inst. Class (PRRIX) (see part VIII)		None			Merged (with line 82)	11/28/23	K		
23. -State Street SP 500 Id II (SVSPX)		None	K	T					
24. -State Street Sm Mid Id II (SSMKX)		None	K	T					
25. -State Street US Bond Id. XIV (SSFCX) (see part VIII)		None	J	T					
26. -Vanguard Targ. Ret. Inc. Trst. Plus (see part VIII)		None			Merged (with line 84)	11/28/23	K		
27. -TIAA Traditional RetirePlus Sel Mod (TIAA F557D92-3)	A	Interest	K	T	Buy	11/28/23	K		
28. -State Street US IP BIS II		None	J	T	Buy	11/28/23	J		
29. -State Street C E US Id II		None	J	T	Buy	11/28/23	K		
30. -State Street REIT S LS II		None	J	T	Buy	11/28/23	J		
31.									
32. Fiduciary Trust Company of NH (formerly Waddell and Reed) (H)									
33. -Delaware Ivy Large Cap Growth A (WLGAX)	A	Dividend	K	T					
34. -Ivy Global Growth (IVINX)	A	Dividend	J	T					

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35. -Ivy Mid Cap Income (IVOAX)	A	Dividend	K	T					
36. -Ivy Core Equity A (WCEAX)	B	Dividend	J	T					
37. -Ivy Mid Cap Growth A (WMGAX)	A	Dividend	J	T					
38. -Ivy Science and Technology A (WSTAX)	C	Dividend	K	T					
39. -Ivy Small Cap Core A (IYSAX)	A	Dividend	K	T					
40. -Ivy Emerging Markets Equity A (IPOAX)	A	Dividend	J	T					
41. -Ivy Small Cap Growth A (WSGAX)	A	Dividend	J	T					
42. -Ivy High Income A (WHIAX)	C	Dividend	K	T					
43. -Ivy Balanced A (IBNAX)	A	Dividend	L	T					
44. -Ivy Corporate Bond A (IBJAX)	A	Dividend			Sold	10/27/23	K	A	
45. -Ivy Appolo Strategic Income A (IAPOX)	B	Dividend			Sold	09/15/23	K		
46. -Ivy International Core Equity A (IVIAX)	A	Dividend	J	T					
47. -Delaware Strategic Income A	A	Dividend	K	T	Buy	09/15/23	K		
48. -Delaware Corporate Bond A	A	Dividend	K	T	Buy	10/27/23	K		
49.									
50. LPL Financial Services (H)									
51. -Invesco Global CL (OGLYX) X					Sold	04/26/23	J	A	

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52. -MFS Global Total Return CL (MFWIX) X		None			Sold	04/26/23	J	A	
53. -MFS International Growth CL (MQGIX) X		None	J	T					
54. -T Rowe Price Growth Stock Investor CL (PRGFX) X		None			Sold	04/26/23	J	A	
55. - T Rowe Communications and Technology Investor CL X (PRMTX)		None			Sold	04/26/23	J	A	
56. - AB Large Cap Growth SMA (APGYX)		None	J	T	Sold (part)	11/24/23	J		
57. -Alliance Bernstein Small Cap Growth Advisor Class (QUAYX)		None			Sold	04/26/23	J	A	
58. -BlackRock Strategic Income Opportunities Portfolio (BSIIX) (X)		None			Sold	04/26/23	J		
59. -American Funds Capital -Income Builder Class F-2 (CAIFX)		None			Sold	04/26/23	J	A	
60. -Delaware Ivy MidCap Growth Fund (IYMIX)		None	J	T					
61. -Fidelity Advisor Focused Emerging Markets Fund Class (FIMKX)		None	J	T					
62. -Delaware Ivy Science and Tech Fund (ISTIX)		None			Sold	04/26/23	J		
63. -Fidelity Advisor Total Bond Fund Class I (FEPIX)		None	J	T					
64. -Lord Abbett Income Fund Class I (LAUYX)		None			Sold	04/26/23	J		
65. -BrandywineGlobal Global Opportunities Bond Fund Class I (GOBIX)		None			Sold	04/26/23	J		
66. -Principal Small Cap Fund Inst. Class (PSLIX)		None			Sold	04/26/23	J	A	
67. -The Hartford Dividend and Growth Fund Class I (HDGIX)		None	J	T					
68. -T Rowe Price Value Fund (TRVLX)		None	J	T					

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69. -T Rowe Price Capital Appreciation Fund (PRWCX)		None			Sold	04/26/23	J	A	
70. -Blackrock Intl Dividend Instl Cl (BISIX)		None	J	T	Buy	04/26/23	J		
71.					Sold (part)	11/24/23	J	A	
72. -Blackrock High Equity Income Instl Cl (BMCIX)		None	J	T	Buy	04/26/23	J		
73. -JPMorgan US Equity Cl I (JUESX)		None	J	T	Buy	04/26/23	J		
74. -Sector Technology Select SPDR ETF (XLK)		None	J	T	Buy	04/26/23	J		
75. -SPDR Portfolio S&P 1500 Composite Stock Market ETF (SPTM)		None	J	T	Buy	04/26/23	J		
76. -T Rowe Price Blue Chip Growth Investor CL (TRBCX)		None	J	T	Buy	11/24/23	J		
77.									
78. Real Estate Condominium: East Greenwich RI	E	Rent	N	W					
79.									
80. TIAA-CREF RI Defined Contribution Plan #2 (H)									
81. -Vanguard Target Ret 2030 Trust Plus (VTHRX) (see Part VIII)		None			Sold	11/28/23	K	B	
82. -Pimco Real Return Inst Class (PRRIX) (see Part VIII)		None			Sold	11/28/23	K	A	
83. -State Street US Bond ID XIV (SSFCX) (see part VIII)		None	J	T	Sold (part)	11/28/23	K	A	
84. -Vanguard Targ Ret Inc Trst Plus (see part VIII)		None			Sold	11/28/23	K	A	
85. -TIAA Traditional RetirePlus Select Moderate (TIAA F549CX2-8)	A	Interest	K	T	Buy	11/28/23	K		

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86. -State Street SP 500 Id II (SVSPX)		None	K	T	Buy	11/28/23	K		
87. -State Street Sm Mid Id II (SSMKX)		None	K	T	Buy	11/28/23	K		
88. -State Street US IP BIS II		None	J	T	Buy	11/28/23	J		
89. -State Street C E US Id II (SSGJX)		None	K	T	Buy	11/28/23	K		
90. -State Street REIT S LS II		None	J	T	Buy	11/28/23	J		
91.									
92. College Bound Fund 529 Plans #2 (H)									
93. -College Bound 2023-2024 Porfolio RI		None	J	T	Open	09/07/23	J		
94.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Assets formerly listed as under a single TIAA-CREF account have been split into Self and [redacted] holdings. Assets formerly reported on lines 22 and 26 are now reported on lines 82 and 84 and values for lines 21 and 25 have been split to lines 81 and 83 to properly show between the two accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary S. McElroy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544