AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MCNAMEE, STEPHEN M.	US DISTRICT COURT OF ARIZONA	08/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE SENIOR STATUS - ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
SANDRA DAY O'CONNOR US COURTS 401 WEST WASHINGTON STREET SPC 60 PHOENIX AZ 85003-2158		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2.	MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW ASSOCIATION
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MCNAMEE, STEPHEN M.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	MERRILL LYNCH [redacted] MASTER ACCOUNT	A	Int./Div.	J	T					
2.	IBM COMMON STOCK (IBM)	A	Dividend	J	T					
3.	INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
4.	FAIRFIELD ESTATES FLAGSTAFF AZ		None	J	W					
5.	MARRIOTT CANYON VILLAS		None	J	W					
6.	WELLS FARGO CKG	A	Interest	J	T					
7.	WELLS FARGO SAVINGS	A	Interest	J	T					
8.	US BANK CKG #1	A	Interest	J	T					
9.	US BANK SVGS	A	Interest	J	T					
10.	MERRILL LYNCH - IRA #1 (H)									
11.	- BANK OF AMERICA, NA - CASH EQUIVALENT	A	Interest	J	T					
12.	-ISHARES CORE S&P SMALLCAP (IJR)	A	Dividend			Buy (add'l)	01/13/21	J		
13.						Sold (part)	03/26/21	J	A	
14.						Sold	07/12/21	J	A	
15.	-VANGUARD FTSE EMERGING MARKETS (VWO)	A	Dividend	J	T	Buy (add'l)	01/13/21	J		
16.						Sold (part)	03/26/21	J	A	
17.						Buy (add'l)	09/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
MCNAMEE, STEPHEN M.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.					Buy (add'l)	11/19/21	J		
19. -ISHARES BROAD USD INVST GRADE CORP (USIG)	A	Dividend	J	T	Buy (add'l)	01/13/21	J		
20.					Buy (add'l)	09/14/21	J		
21.					Buy (add'l)	11/19/21	J		
22. -VANGUARD FTSE DEVELOPED MARKETS (VEA)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
23.					Sold (part)	03/26/21	J	A	
24.					Sold (part)	09/14/21	J	A	
25.					Buy (add'l)	11/19/21	J		
26. -ISHARES CORE S&P US GROWTH (IUSG)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
27.					Sold (part)	03/26/21	J	A	
28.					Sold (part)	09/14/21	J	A	
29.					Sold (part)	11/19/21	J	A	
30. -ISHARES CORE S&P US VALUE (IUSV)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
31.					Sold (part)	03/26/21	J	A	
32.					Buy (add'l)	09/14/21	J		
33.					Buy (add'l)	11/19/21	J		
34. -VANGUARD MORTGAGE-BACK SEC (VMBS)	A	Dividend	J	T	Buy (add'l)	01/13/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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35.					Sold (part)	03/26/21	J	A	
36.					Buy (add'l)	09/14/21	J		
37.					Buy (add'l)	11/19/21	J		
38. -ISHARES US TREASURY BOND (GOVT)	A	Dividend	J	T	Buy (add'l)	01/15/21	J		
39.					Sold (part)	03/26/21	J	A	
40.					Sold (part)	09/14/21	J	A	
41.					Buy (add'l)	11/19/21	J		
42. -ISH USD HIGH YIELD CORP BOND (USHY)	A	Dividend	J	T	Buy (add'l)	01/13/21	J		
43. -SPDR BLOOMBERG BARCLAYS 1-3 TBILL (BIL)	A	Dividend	J	T	Sold (part)	01/13/21	J	A	
44.					Sold (part)	03/26/21	J	A	
45.					Buy (add'l)	09/14/21	J		
46. -VANGUARD RUSSELL 2000 (VTWO)	A	Dividend	J	T	Buy	07/12/21	J		
47.					Buy (add'l)	09/14/21	J		
48.					Buy (add'l)	11/19/21	J		
49. MERRILL LYNCH - IRA #2 (H)									
50. - BANK OF AMERICA, NA - CASH EQUIVALENT	A	Interest	J	T					
51. - INVESCO DYN BLDG (PKB)	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. -ISHARES MORTGAGE R/E CAPPED (REM)	B	Dividend	K	T					
53. -WISDOMTREE INTERNATL HEDGED QUAL DIV (IHDG)	A	Dividend	K	T					
54. -ARK GENOMIC REVOLUTION (ARKG)	A	Dividend	K	T	Sold (part)	09/08/21	J	C	
55. -TOUCHSTONE SANDS CAPITAL EMERG MKTS GWTH (TSEMX)	A	Dividend	K	T					
56. -ISHARES 1-3 YEAR TREASURY BOND (SHY)	A	Dividend	K	T					
57. -ISHARES SILVER TR (SLY)		None	K	T					
58. -SPDR S P BIOTECH (XBI)	A	Dividend	J	T					
59. -ISHARES EDGE MSCI MLTFAC EMERGING MKTS (EMGF)	A	Dividend	J	T	Sold (part)	09/08/21	J	C	
60. -VANECK VECTROS ETF TR JUNIOR GOLD (GDXJ)	A	Dividend	K	T					
61. -SPDR BLOOMBERG BARCLAYS 1-3 MNTH TBILL (BIL)		None	K	T	Sold (part)	03/17/21	K	A	
62.					Sold (part)	09/08/21	J	A	
63. -HEALTH CARE SELECT SPDR (XLV)	A	Dividend	J	T					
64. -SECTOR SPDR CONSMNRS STPL (XLP)	A	Dividend	J	T					
65. -SECTOR SPDR UTILITIES (XLU)	A	Dividend	J	T					
66. -SPDR GOLD TRUST (GLD)		None	K	T					
67. MERRILL LYNCH - IRRA #1 (H)									
68. -BANK OF AMERICA, NA - CASH EQUIVALENT	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
69. - EATON VANCE FLOATING RATE FUND (EIBLX)	A	Dividend	J	T					
70. - ISHARES AGENCY BOND FUND (AGZ)	A	Dividend	K	T					
71. - ALPS ALERIAN MLP ETF (AMLP)	B	Dividend	J	T					
72. - ISHARES TR ISHARES 1-5 (FORMERLY ISHARES S-T CORP BD) (IGSB)	A	Dividend	J	T					
73. - SPDR BLOOMBERG BARCLAYS CONVERTIBLE (CWB)	B	Dividend	L	T					
74. -VANGUARD TOTAL STK MKT (VTI)	B	Dividend	M	T					
75. -VANGUARD REIT ETF (VNQ)	A	Dividend	K	T					
76. -VANGUARD FTSE ALL WORLD (VEU)	A	Dividend	K	T					
77. -VANGUARD TOTAL BOND MKT (BND)	A	Dividend	K	T					
78. -INVESCO PREFERRED ETF (PGX)	A	Dividend	K	T					
79. -VANGUARD TOTAL INTERNATL (BNDX)	B	Dividend	K	T					
80. -BLACKROCK SHORT MATURITY (FORMERLY, ISHARES SHORT MATURITY (NEAR)	A	Dividend	K	T					
81. -SECTOR SPDR UTILITIES (XLU)	A	Dividend	K	T					
82. -ISHARES COHEN & STEERS REIT (ICF)	A	Dividend	K	T					
83. -ISHARES INC ESG AWARE MSCI (ESGE)	A	Dividend	K	T					
84. -TOUCHSTONE SANDS CAPITAL (TSEMX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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A	B		C		D				
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85. -ABERDEEN STD PHYSICAL (PALL)		None	J	T					
86. -ARK INNOVATION (ARKK)	A	Dividend	K	T					
87. -ISHARES SILVER TR (SLV)		None	J	T					
88. -SPDR GOLD TRUST (GLD)		None	J	T					
89. -SPDR SP BIOTECH (XBI)	A	Dividend	J	T					
90. MERRILL LYNCH - IRRA #2 (H)									
91. - BANK OF AMERICA, NA - CASH EQUIVALENT	A	Interest			Closed	04/15/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
MCNAMEE, STEPHEN M.	08/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544