AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Mehta, Amit P.	US District Court for the District of Columbia	08/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Active US District Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
US District Court for the District of Columbia 333 Constitution Avenue, NW Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Executive Committe Member, Board	Council for Court Excellence
2.	Vice President	Edward Bennett Williams Inn of Court
3.	Board Member	Historical Society of the DC Circuit
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed attorney
2.	2021	Afiniti, Inc - W-2 employee
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	ACS	Student Loan	K
2.	Greensky	Financing of new windows installed by Andersen Windows	K
3.	Afiniti Inc.	Stock options exercised	M
4.			
5.			

Name of Person Reporting	Date of Report
Mehta, Amit P.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Citibank Accounts	A	Interest	J	T					
2.	[redacted] Account Brokerage account(H)									
3.	- Cash Balance at Burkhart & Co.		None			Closed	10/21/21	J		
4.	- Bank Deposit Sweep Program at Burkhart & Co.	A	Int./Div.			Closed	10/21/21	J		
5.	- American High-Income Municipal Bond	C	Dividend	L	T	Buy (add'l)	01/15/21	L		
6.						Buy (add'l)	06/29/21	K		
7.	- DFA Emerging Markets Small Cap Portfolio Institutional	B	Dividend	K	T					
8.	-DFA International Small Company Portfolio Institutional	B	Dividend	K	T					
9.	-DFA International Small Cap Value Portfolio Institutional	B	Dividend	K	T					
10.	- DFA International Sustainability Core 1 Institutional	A	Dividend	K	T					
11.	- Fidelity Large-Cap Growth Index Fund		None			Sold	01/08/21	J	B	
12.	- Fidelity Large-Cap Value Index Fund		None			Sold	01/08/21	J	B	
13.	- Fidelity Small Cap Value Index Fund	B	Dividend	K	T					
14.	- iShares Russell 2000 Growth ETF	A	Dividend	K	T	Buy (add'l)	01/07/21	J		
15.	- iShares Russell 2000 Value ETF	A	Dividend	K	T	Buy (add'l)	01/07/21	J		
16.	- JPMorgan Global Allocation Fund		None			Sold	01/08/21	K	C	
17.	-JP Morgan Income Builder Fund	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Mehta, Amit P.	08/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	- Nuveen High-Yield Municipal Bond Fund	B	Dividend	L	T	Buy (add'l)	06/29/21	L		
19.	-PGIM Global Total Return Fund	A	Dividend	J	T					
20.	-PIMCO International Bond Fund (U.S. Dollar Hedged) Institutional	A	Dividend	J	T					
21.	- Vanguard FTSE All-World Ex-U.S. Small-Cap Index Fund	A	Dividend	K	T	Buy (add'l)	01/27/21	J		
22.	- Vanguard FTSE Developed Markets ETF	B	Dividend	K	T	Buy (add'l)	01/07/21	J		
23.						Buy (add'l)	01/15/21	J		
24.	- Vanguard FTSE Emerging Markets Index Fund ETF	A	Dividend	K	T	Buy (add'l)	01/07/21	J		
25.	- American Capital Income Builder	A	Dividend	K	T	Buy	01/15/21	K		
26.	- Dimensional Emerging Markets Value Portfolio Institutional	B	Dividend	L	T	Buy	06/29/21	L		
27.	- Principal Spectrum Pref Capt Sec Inc	B	Dividend	L	T	Buy	06/29/21	L		
28.	FDIC Bank Deposit Sweep at Clearstead	A	Interest	K	T	Open	10/21/21	J		
29.	DC College Savings Plan (H)									
30.	- Intermediate-Term Bond Portfolio		None	L	T					
31.	- US Large Cap Equity Portfolio		None	M	T	Buy (add'l)	05/03/21	J		
32.	- DC College Savings 2025 Portfolio		None	M	T	Buy (add'l)	05/03/21	J		
33.	- DC College Savings 2028 Portfolio		None	M	T	Buy (add'l)	11/10/21	J		
34.	IRA #1 (H)									

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35. - iShares Edge MSCI USA Minimum Volatility		None			Sold	01/08/21	K	C	
36. - Cash Balance at Burkhart & Co. (IRA acct)		None			Closed	10/21/21	J		
37. - Advisory Rtmt Sweep Program at Burkhart & Co. (IRA acct)	A	Int./Div.			Closed	10/21/21	J		
38. - American Funds Developing World Growth & Income	B	Dividend	L	T	Buy (add'l)	01/15/21	L		
39. - American Funds Emerging Mkts Bond Fd	B	Dividend	K	T					
40. - American Funds Global Balanced		None			Sold	01/08/21	M	D	
41. - American Funds Small-Cap World	D	Dividend	L	T					
42. - DFA International Small-Cap Value Portfolio Institutional	B	Dividend	L	T					
43. - DFA U.S. Micro Capital Portfolio Institutional	C	Dividend	K	T					
44. - FPA Crescent Fund		None			Sold	01/08/21	L	D	
45. - Harbor Small-Cap Value Fund Institutional	C	Dividend	L	T					
46. - JPMorgan Emerging Mkts Equity Fund	A	Dividend	K	T					
47. - JPMorgan Income Builder Fund	D	Dividend	M	T	Buy (add'l)	01/07/21	K		
48.					Buy (add'l)	01/15/21	K		
49. - Matthews Asia Esg Fund Institutional	B	Dividend	K	T					
50. - PIMCO Income Fund	C	Dividend	L	T	Buy (add'l)	01/07/21	K		
51. - Templeton Global Total Return Fund	C	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. - Wasatch Micro Capital Fund	D	Dividend	K	T					
53. - American Funds Capital Income Builder	B	Dividend	L	T	Buy	01/15/21	L		
54. - FDIC Bank Deposit Sweep at Clearstead (IRA Acct)	A	Int./Div.	K	T	Open	10/21/21	J		
55. IRA #2 (H)									
56. - Cash Balance at Burkhart & Co.		None			Closed	10/21/21	J		
57. - Advisory Rtmt Sweep Program at Burkhart & Co.	A	Interest			Closed	10/21/21	K		
58. - American Funds Capital Income Builder	E	Dividend	O	T	Buy (add'l)	01/07/21	M		
59.					Buy (add'l)	01/15/21	N		
60. - American Funds Capital World Growth & Income		None			Buy (add'l)	01/07/21	M		
61.					Sold	01/13/21	M	C	
62. - American Funds EuroPacific Growth	E	Dividend	M	T	Buy (add'l)	01/07/21	M		
63.					Buy (add'l)	01/15/21	L		
64. - American Funds Growth Fund of America		None			Buy (add'l)	01/07/21	K		
65.					Sold	01/13/21	L	B	
66. - American Funds New Perspective		None			Buy (add'l)	01/07/21	K		
67.					Sold	01/13/21	L	B	
68. - American Funds New World		None			Buy (add'l)	01/07/21	M		

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69.						Sold	01/13/21	M	D	
70.	- American Funds Washington Mutual Investor		None			Buy (add'l)	01/07/21	M		
71.						Sold	01/13/21	M	B	
72.	- BlackRock Multi-Asset Income Portfolio Institutional	A	Dividend			Buy (add'l)	01/07/21	M		
73.						Sold	01/08/21	N	B	
74.	- FPA New Income Fund		None			Sold	01/07/21	N		
75.	- Gateway Fund		None			Buy (add'l)	01/07/21	M		
76.						Sold	01/13/21	M	B	
77.	- Harbor Small-Cap Value Fund	D	Dividend	M	T	Buy (add'l)	01/07/21	M		
78.						Buy (add'l)	01/15/21	L		
79.	- PIMCO Short Asset Investment Fund	A	Dividend			Sold	01/07/21	N	A	
80.	- American Funds Developing World Growth & Inc Fund	C	Dividend	M	T	Buy	01/15/21	M		
81.	- JPMorgan Mid-Cap Growth Fund	D	Dividend	L	T	Buy	01/15/21	L		
82.	- John Hancock Funds Disciplined Value Mid-Cap Fund	D	Dividend	M	T	Buy	01/15/21	L		
83.	- Wasatch Small-Cap Growth Fund Instl	E	Dividend	L	T	Buy	01/15/21	L		
84.	- FDIC Bank Deposit Sweep at Clearstead	A	Int./Div.	L	T	Open	10/21/21	K		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE:

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Amit P. Mehta

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544