AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
.Mendez, John A.	District Court, Eastern District of California	04/16/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court, E.D. California 501 I Street, 14th Floor Sacramento, CA 95814		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

Name of Person Reporting	Date of Report
.Mendez, John A.	04/16/2024

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Sacramento County Department of Health and Human Services-salary
2.	2023	Self Employed (Clinical Psychologist)
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
.Mendez, John A.	04/16/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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.Mendez, John A.	04/16/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	FINANCIAL MANAGEMENT/ BROKERAGE ACCOUNT MORGAN STANLEY									
2.	-Cash/Money Market , Morgan Stanley	A	Interest	J	T					
3.	-Clearbridge Large Cap Growth C	A	Int./Div.	K	T					
4.	-Clearbridge Mid Cap Core C	A	Int./Div.	K	T					
5.	Morgan Stanley Mutual Funds(H)									
6.	-MS Pathway Core Fixed Income (TIIUX)	A	Dividend	J	T					
7.	-MS Pathway Emerging Markets (TEMUX)	A	Dividend	J	T					
8.	-MSPathway High Yield (THYUX)	A	Dividend	J	T					
9.	-MS Pathway Infl Linked Income(TILUX)	A	Dividend	J	T					
10.	-MS Pathway International EQ(TIEUX)	A	Dividend	K	T					
11.	-MS Pathway Intl Fixed Income (TIFUX)	A	Dividend	J	T					
12.	-MS Pathway Large Cap Equity(TLGUX)	A	Dividend	K	T					
13.	-MS Pathway Small Mid Cap EQ(TSGUX)	A	Dividend	J	T					
14.	-MS PathwayUltra Short Fxd Inc(TSDUX)	A	Dividend	J	T					
15.	-Sacramento Municipal Utility Dist. Muni Bond	A	Interest	J	T					
16.	-Altria Group Inc. common stock	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
17. -Exxon Mobil Corp. common stock	B	Dividend	K	T					
18. -Hewlett Packard common stock	A	Dividend	K	T	Sold (part)	07/19/23	J	A	
19. -HSBC Holdings PLC SPON ADR New common stock	B	Dividend	K	T					
20. Kraft Foods Group Inc. common stock	A	Dividend	K	T					
21. Mondelez Intl. Inc. common stock	A	Dividend	J	T					
22. Pfizer Inc. common stock	B	Dividend	K	T	Sold (part)	07/19/23	J	A	
23. Philip Morris Intl. Inc. common stock	D	Dividend	M	T					
24. Proctor & Gamble common stock	D	Dividend	M	T					
25. Johnson & Johnson common stock	A	Dividend	J	T	Buy (add'l)	07/25/23	J		
26. Medtronics PLC SHS common stock	A	Dividend	J	T					
27. Las Vegas Sands Corp common stock	A	Dividend			Sold	07/19/23	J	A	
28. Welltower common stock	A	Dividend	J	T					
29. Devon Energy common stock	A	Dividend	J	T	Buy	07/25/23	J		
30. Morgan Stanley (IRA)--cash equivalents	B	Interest	O	T					
31. Morgan Stanley IRA Mutual Funds(H)									
32. -MS Pathway Core Fixed Income(TIIUX)	A	Dividend	L	T					
33. -MS Pathway Emerging Markets(TEMUX	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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34. -MS Pathway High Yield(THYUX)	A	Dividend	K	T					
35. -MS Pathway Infl Linked Income(TILUX)	A	Dividend	K	T					
36. -MS Pathway International EQ(TIEUX)	A	Dividend	K	T					
37. -MS Pathway Large Cap Equity(TLGUX)	A	Dividend	K	T					
38. -MS Small Mid Cap EQ(TSGUX)	A	Dividend	J	T					
39. -MSPathway Ultra Short Fxd Inc(TSDUX)	A	Dividend	J	T					
40. -TA Asset Alloc Grw Ptf C(IAALX	A	Dividend	K	T					
41. Municipal Bonds IRA(H)									
42. -TX ST TPK AUTH CENT TX TPK SYS FT REV A OY5.67 ID 8-15-02		None	K	T					
43. -MASSACHUSETTS ST TPK AUTH MET HWY SYS SER A OY 5.60		None	L	T					
44. -SOUTH SAN FRANCISCO CALIF UNI SCH DIST SER-C		None	K	T					
45. -MASSACHUSETTS ST TPK AUTH MET HWY SYS REV SER A OY		None	K	T					
46. -NORTH TEX TWY AUTH REV REF SER-D OY 5.91		None	L	T					
47. -SACRAMENTO CALIF CITY FING AUTH REV		None	L	T					
48. Wells Fargo Bank Accounts	A	Interest	K	T					
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In response to a September 6, 2023 letter from the Judicial Conference Committe on Financial Disclosure, Part VII was amended to provide the additional information requested regarding Mutual Funds and Municipal Bonds held in the IRA account and Mutual Funds held in the brokerage account. The information from that Periodic Transaction Report is included in this 2023 Financial Disclosure Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John A. .Mendez

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544