AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Montenegro, Ruth B.	U.S. District Court, Southern District of California	01/24/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Magistrate Judge (FT)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States Courthouse 2003 W. Adams Ave. El Centro, CA 92243		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board Member	El Centro Education Foundation
2.	Member	FMJA Diversity Committee
3.	Co-Chair	FMJA Diversity Awards Committee
4.	Member	FMJA Diversity and Civic Outreach Committee
5.	Co-Leader	FMJA Group 1 Civic Outreach Committee

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2000	Imperial County Employee Retirement Systems (defined benefit program)
2.	2000	California State Teachers Retirement System (defined benefit program)
3.	2017	State of California Superior Court Judges Retirement System (defined benefit, rollover, 401k)
4.	2017	State of California Savings Plus Program (457 deferred comp, 401k)
5.	2017	Superior Court of California (457 deferred comp)

Name of Person Reporting	Date of Report
Montenegro, Ruth B.	01/24/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Southern California Gas Company (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Montenegro, Ruth B.	01/24/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Individual Assets (H)									
2.	Union Bank of CA (cash)	A	Interest	L	T					
3.	Sun Community Federal Credit Union Treasury Bill	A	Dividend	M	T					
4.	New York Life insurance policy (whole life)	C	Dividend	M	T					
5.	National Life Group Fixed Indexed Annuity	A	Interest	K	T					
6.	Account #1 (H)									
7.	American Funds Europacific Growth Fd A (AEPGX)	A	Dividend	J	T					
8.	American Funds Fundamental Investors A (ANCFX)	B	Dividend	K	T					
9.	American Funds Intermediate Bond Fund of America A (AIBAX)	A	Dividend	J	T					
10.	T Rowe Price QM US Small Cap Growth Equity Fund (PRDSX)	C	Dividend	K	T					
11.	Account #2 (H)									
12.	Pershing Govt Acct Money Market (cash)	A	Interest	N	T					
13.	American Funds High Income Trust Class F3 (HIGFX)	C	Dividend	N	T	Buy	07/26/21	N		
14.	AB Income Fund Advisor Class (ACGYX)	D	Dividend			Sold	07/26/21	N		
15.	American Funds Capital World Growth & Income Fund F2 (WGIFX)	E	Dividend	O	T	Sold (part)	07/26/21	K		
16.	Eaton Vance Floating Rate Advantage Fund Class I (EIFAX)	B	Dividend	N	T	Buy	07/26/21	N		
17.	American Funds EuroPacific Growth Fund Cl F3 (FEUPX)	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Montenegro, Ruth B.	01/24/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. JPMorgan Mid Cap Value Fd L (FLMVX)	F	Dividend	N	T	Sold (part)	07/26/21	K		
19. American Funds Fundamental Investors CL F3 (FUNFX)	E	Dividend	M	T					
20. American Funds Fundamental Investors CL F-2 (FINFX)	E	Dividend	N	T	Sold (part)	07/26/21	K		
21. John Hancock Bond Fund I (JHBIX)	D	Dividend	N	T	Sold (part)	07/26/21	L		
22. Neuberger Berman Emerging Markets Eq Fd I (NEMIX)	C	Dividend	N	T	Buy (add'l)	07/26/21	K		
23. Putnam Income Fund CL Y (PNCYX)	D	Dividend	N	T	Sold (part)	07/26/21	L		
24. Allspring Funds Small Co Growth Fd Instl Cl (WSCGX)	G	Dividend	M	T	Sold (part)	07/26/21	K		
25. Account #3 (H)									
26. CA Savings Plus Program Target Date 2030		None	N	T					
27. Account #4 (H)									
28. Delaware VIP Diversified Income (DPDFX)		None	J	T					
29. LVIP Blackrock Inflation Protected Bond (BPRAX)		None	J	T					
30. American Funds Growth Income (GAIOX)		None	J	T					
31. Delaware VIP REIT (VDREIRV)		None	J	T					
32. American Funds Growth (AGTHX)		None	K	T					
33. American Funds International (IGAAX)		None	J	T					
34. Delaware VIP Small Cap Value (VDSCVSV)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.	LVIP Delaware Mid Cap Value Fund		None	J	T	Buy	04/02/21	J		
36.	LVIP Delaware Special Opportunities (VLDSORV)		None			Sold	04/02/21	J		
37.	LVIP Mondrian International Value (VLMIVSV)		None	J	T					
38.	Account #5 (H)									
39.	T. Rowe Price Retirement 2020 Trust Class C		None	M	T					
40.	Account #6 (H)									
41.	Dreyfus Tres Sec CM Service	A	Interest	J	T	Buy	05/11/21	J		
42.	Pershing General Treas Sec Money Market Cl B	A	Interest			Closed	05/11/21	J		
43.	Invesco California Municipal Y (OCAYX)	D	Dividend	M	T					
44.	AB Income Fund Advisor Class (ACGYX)	A	Dividend	K	T	Buy (add'l)	01/06/21	K		
45.	American Tax Exempt Calif F2 (TEFEX)	D	Dividend	M	T	Buy (add'l)	01/06/21	K		
46.	American Funds Capital World Growth and Income Fund CL F2 (WGIFX)	D	Dividend	L	T					
47.	JPMorgan Mid Cap Value Fund Class I (JMVSX)	D	Dividend	L	T	Buy (add'l)	01/06/21	K		
48.	John Hancock Bond Fund Class I (JHBIX)	A	Dividend	K	T	Buy (add'l)	01/06/21	K		
49.	Neuberger Berman Emerging Mkts Equity Instl (NEMIX)	A	Dividend	K	T					
50.	Putnam Income Fund Class Y (PNCYX)	A	Dividend	K	T	Buy (add'l)	01/06/21	K		
51.	American Washington Mutual Investors F2 (WMFFX)	D	Dividend	M	T	Buy (add'l)	01/06/21	K		

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52. Allspring Funds Small Co Growth Fund R6 (WSCRX)	D	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

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Montenegro, Ruth B.	01/24/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ruth B. Montenegro

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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