AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MOODY,JR, JAMES M.	U.S. DISTRICT COURT OF EASTERN ARKANSAS	05/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
500 W. Capitol Suite C446 Little Rock, AR 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	TRUST #1
2.	TRUSTEE	TRUST #2
3.	TRUSTEE	TRUST #3
4.	MANAGING MEMBER	MOODY'S MANOR LLC
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
MOODY,JR, JAMES M.	05/15/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	LITTLE ROCK CHAMBER OF COMMERCE/Wage
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MOODY,JR, JAMES M.	05/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T (T)	A	Int./Div.	K	T					
2. Banco Santander, SA Common Stock (SAN)	B	Int./Div.	J	T					
3. Calumet Specialty products (CLMT)	B	Int./Div.	K	T					
4. Cliffs Natural Res Inc (CLF)	A	Int./Div.	J	T					
5. Cooper Tire & Rubber (CTB)	A	Int./Div.	K	T					
6. Duke Entergy Corp Common Stock (DUK)	B	Int./Div.	K	T					
7. Eastman Chemical Co (EMN)	A	Int./Div.	K	T					
8. Ford Motor Co Common Stock (F)	A	Int./Div.	K	T					
9. General Electric Co Common Stock (GE)	A	Int./Div.	K	T					
10. GSV Inc Common Stock (GSVI)	A	Int./Div.	J	T					
11. First Entergy Corp Common Stock (FE)	A	Int./Div.	K	T					
12. Intel Corp Common Stock (INTC)	A	Int./Div.	K	T					
13. Kinetics Paradigm Fund (WWNPX)	A	Int./Div.	K	T					
14. Occidental Petrol (OXY)	A	Int./Div.	K	T					
15. Bank OZK (OZRK)	A	Int./Div.	J	T					
16. Pfizer Inc Common Stock (PFE)	A	Int./Div.	K	T					
17. Qualcomm (QCOM)	D	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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MOODY,JR, JAMES M.	05/15/2022

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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18. Rowan Companies (RDC)	A	Int./Div.	J	T					
19. Senior Housing PPTYS Tr (SNH)	B	Int./Div.	K	T					
20. The Southern Company (SO)	A	Int./Div.	K	T					
21. Charles Schwab Deposit Account	A	Int./Div.	J	T					
22. Vanguard Growth Index Fund (VIGAX)	B	Distribution	M	T	Buy (add'l)	12/18/21	J		
23. Trust 1(H)									
24. - American Funds AMCAP Fund A (AMCPX)	A	Distribution	O	T	Buy (add'l)	12/18/21	L		
25. - American Funds Growth Fund (AGTHX)	A	Distribution	N	T	Buy (add'l)	12/18/21	L		
26. - American Funds New Prospective Fund (ANWPX)	A	Distribution	M	T	Buy (add'l)	12/18/21	K		
27. - American Funds New World Fund (NEWFX)	A	Distribution	K	T	Buy (add'l)	12/18/21	J		
28. Vanguard Growth Index Fund Inv (VIGRX)	A	Distribution	K	T	Buy (add'l)	12/18/21	J		
29. Trust 2(H)									
30. - American Funds AMCAP Fund A (AMCPX)	A	Distribution	O	T	Buy (add'l)	12/18/21	K		
31. - American Funds Growth Fund (AGTHX)	A	Distribution	M	T	Buy (add'l)	12/18/21	K		
32. - American Funds New Prospective Fund (ANWPX)	A	Distribution	M	T	Buy (add'l)	12/18/21	J		
33. - American Funds New World Fund (NEWFX)	A	Distribution	K	T	Buy (add'l)	12/18/21	J		
34. Trust 3(H)									

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35. Associated Bank N/A-Cash	A	Interest	J	T					
36. Bank of Ozarks Accounts	A	Interest	K	T					
37. Telcoe Federal Credit Union Accounts	A	Interest	J	T					
38. Moody Manor LLC Union Co.	A	Distribution	J	T					
39. Little Prairie Land Co.	E	Distribution	N	T					
40. Header #1(H)									
41. Prime Fund Capital Reserve (Cash)	A	Int./Div.	J	T					
42. American Capital World Growth (CWGIX)	A	Int./Div.	J	T	Buy (add'l)	12/18/21	J		
43. American Europacific Growth Class A (AEPGX)	A	Int./Div.	J	T	Buy (add'l)	12/18/21	J		
44. American Growth Fund of America (AGTHX)	A	Int./Div.	J	T	Buy (add'l)	12/18/21	J		
45. American New World Fund Class A (NEWFX)	A	Int./Div.	J	T	Buy (add'l)	12/18/21	J		
46. American Small Cap World Class A (SMCWX)	A	Int./Div.	J	T	Buy (add'l)	12/18/21	J		
47. Washington Mutual Investors (AWSHX)	A	Int./Div.	L	T					
48. American High Income Trust Class A (AHITX)	A	Int./Div.	J	T					
49. Johnson and Johnson (JNJ)	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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MOODY,JR, JAMES M.	05/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JAMES M. MOODY,JR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544