AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MOODY,JR, JAMES M.	U.S. DISTRICT COURT OF EASTERN ARKANSAS	04/17/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2023 **to** 12/31/2023
	5b. ☐ Amended Report	
7. Chambers or Office Address		
500 W. Capitol Suite C446 Little Rock, AR 72201		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	TRUSTEE	TRUST #1
2.	TRUSTEE	TRUST #2
3.	TRUSTEE	TRUST #3
4.	MANAGING MEMBER	MOODY'S MANOR LLC
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MOODY,JR, JAMES M.	04/17/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	AT&T (T)	A	Int./Div.	J	T					
2.	Banco Santander, SA Common Stock (SAN)	B	Int./Div.			Sold	03/15/23	J	A	
3.	Calumet Specialty products (CLMT)	B	Int./Div.	J	T					
4.	Cliffs Natural Res Inc (CLF)	A	Int./Div.	J	T					
5.	Cooper Tire & Rubber (CTB)	A	Int./Div.	K	T					
6.	Duke Entergy Corp Common Stock (DUK)	B	Int./Div.			Sold	03/15/23	K	A	
7.	Eastman Chemical Co (EMN)	A	Int./Div.			Sold	03/15/23	K	C	
8.	Ford Motor Co Common Stock (F)	A	Int./Div.	J	T					
9.	General Electric Co Common Stock (GE)	A	Int./Div.	J	T					
10.	GSV Inc Common Stock (GSVI)	A	Int./Div.	J	T					
11.	First Entergy Corp Common Stock (FE)	A	Int./Div.	J	T					
12.	Intel Corp Common Stock (INTC)	A	Int./Div.			Sold	03/15/23	K	A	
13.	Kinetics Paradigm Fund (WWNPX)	A	Int./Div.			Sold	03/15/23	K	A	
14.	Occidental Petrol (OXY)	A	Int./Div.	J	T					
15.	Bank OZK (OZRK)	A	Int./Div.	J	T					
16.	Pfizer Inc Common Stock (PFE)	A	Int./Div.			Sold	03/15/23	K	A	
17.	Qualcomm (QCOM)	D	Int./Div.			Sold	03/15/23	K	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Rowan Companies (RDC)	A	Int./Div.			Sold	03/15/23	J	A	
19.	Senior Housing PPTYS Tr (SNH)	B	Int./Div.	K	T					
20.	The Southern Company (SO)	A	Int./Div.			Sold	03/15/23	K	A	
21.	Charles Schwab Deposit Account	A	Int./Div.	J	T					
22.	Vanguard Growth Index Fund (VIGAX)	B	Distribution	M	T	Buy (add'l)	12/15/23	J		
23.	Trust 1(H)									
24.	- American Funds AMCAP Fund A (AMCPX)	A	Distribution	N	T	Buy (add'l)	12/15/23	J		
25.	- American Funds Growth Fund (AGTHX)	A	Distribution	N	T	Buy (add'l)	12/15/23	J		
26.	- American Funds New Prospective Fund (ANWPX)	A	Distribution	M	T	Buy (add'l)	12/15/23	J		
27.	- American Funds New World Fund (NEWFX)	A	Distribution	K	T	Buy (add'l)	12/15/23	J		
28.	Vanguard Growth Index Fund Inv (VIGRX)	A	Distribution	K	T	Buy (add'l)	12/15/23	J		
29.	Trust 2(H)									
30.	- American Funds AMCAP Fund A (AMCPX)	A	Distribution	N	T	Buy (add'l)	12/15/23	J		
31.	- American Funds Growth Fund (AGTHX)	A	Distribution	M	T	Buy (add'l)	12/15/23	J		
32.	- American Funds New Prospective Fund (ANWPX)	A	Distribution	M	T	Buy (add'l)	12/15/23	J		
33.	- American Funds New World Fund (NEWFX)	A	Distribution	K	T	Buy (add'l)	12/15/23	J		
34.	Trust 3(H)									

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35. Associated Bank N/A-Cash	A	Interest	J	T					
36. Bank of Ozarks Accounts	A	Interest	K	T					
37. Telcoe Federal Credit Union Accounts	A	Interest	J	T					
38. Moody Manor LLC Union Co.	A	Distribution	J	T					
39. Little Prairie Land Co.	E	Distribution	N	T					
40. Header #1(H)									
41. Prime Fund Capital Reserve (Cash)	A	Int./Div.	J	T					
42. American Capital World Growth (CWGIX)	A	Int./Div.	J	T	Buy (add'l)	12/18/23	J		
43. American Europacific Growth Class A (AEPGX)	A	Int./Div.	J	T	Buy (add'l)	12/18/23	J		
44. American Growth Fund of America (AGTHX)	A	Int./Div.	J	T	Buy (add'l)	12/18/23	J		
45. American New World Fund Class A (NEWFX)	A	Int./Div.	J	T	Buy (add'l)	12/18/23	J		
46. American Small Cap World Class A (SMCWX)	A	Int./Div.	J	T	Buy (add'l)	12/18/23	J		
47. Washington Mutual Investors (AWSHX)	A	Int./Div.	L	T					
48. American High Income Trust Class A (AHITX)	A	Int./Div.	J	T					
49. Johnson and Johnson (JNJ)	A	Int./Div.	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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MOODY,JR, JAMES M.	04/17/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JAMES M. MOODY,JR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544