AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Moody, Jr., James S. | U.S. District Court, Middle District of Florida | 09/29/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| U.S. District Court Judge - Senior | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☑ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| U.S. District Court<br>801 North Florida Avenue<br>Tampa, FL 33602 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Trustee | Trust #1 (reportable assets are listed in Part VII) |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☑ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | | | | | |
| 2. | | | | | |
| 3. | | | | | |
| 4. | | | | | |
| 5. | | | | | |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | Merrill Lynch | Margin Loan | O |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. | Individual Assets (H) | | | | | | | | | |
| 2. | Suntrust (cash) | A | Interest | J | T | | | | | |
| 3. | Moody Investments Family Limited Partnership | E | Int./Div. | P1 | U | | | | | |
| 4. | Missouri Midway, LLC | | None | K | U | | | | | |
| 5. | Investment Property #1, Longboat Key, FL | E | Rent | O | W | | | | | |
| 6. | Investment Property #2, Celo, NC | | None | O | W | | | | | |
| 7. | Investment Property #4, Highlands, NC | F | Rent | O | W | | | | | |
| 8. | Investment Property #5, Plant City, FL | E | Rent | O | W | | | | | |
| 9. | Investment Property #6, Tampa, FL | E | Rent | N | W | | | | | |
| 10. | Investment Property #7, Tampa FL | E | Rent | N | W | | | | | |
| 11. | Investment Property #8, Tampa FL | E | Rent | N | W | | | | | |
| 12. | Investment Property #9, Tampa FL | E | Rent | O | W | | | | | |
| 13. | Investment Property #10, Tampa FL | E | Rent | N | W | | | | | |
| 14. | Investment Property #11, Tampa FL | E | Rent | N | W | | | | | |
| 15. | Investment Property #12, Treasure Island, FL (50% interest) | F | Rent | M | W | | | | | |
| 16. | Investment Property #13, Treasure Island, FL (50% interest) | F | Rent | M | W | | | | | |
| 17. | Investment Property #14, Gainesville, FL | | None | | | Sold | 01/11/22 | M | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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| 18. Investment Property #15, Highlands, NC | | None | P1 | W | | | | | |
| 19. Gulf to Bay Partners, LLC (real estate, Clearwater, FL) | | None | N | W | | | | | |
| 20. Account #1 (H) | | | | | | | | | |
| 21. ML Bank Deposit Program (cash) | A | Interest | L | T | | | | | |
| 22. Akoustis Technologies (AKTS) | | None | J | T | | | | | |
| 23. Aurora Innovation Inc (AUR) | | None | J | T | Buy (add'l) | 01/26/22 | J | | |
| 24. Calyxt INc (CLXT) (Y) | | | | | | | | | |
| 25. Canopy Growth Corp (CGC) | | None | J | T | Buy | 01/26/22 | K | | |
| 26. Crucible Acquisition Corp (CRUU) (Y) | | | | | | | | | |
| 27. Editas Medicine Inc Shs (EDIT) | | None | L | T | Buy (add'l) | 01/12/22 | K | | |
| 28. | | | | | Buy (add'l) | 01/21/22 | K | | |
| 29. | | | | | Sold (part) | 08/03/22 | L | | |
| 30. | | | | | Buy (add'l) | 09/20/22 | K | | |
| 31. Eventbrite Inc Reg Shs Cl A (EB) | | None | K | T | Sold (part) | 08/03/22 | K | | |
| 32. | | | | | Buy (add'l) | 09/20/22 | K | | |
| 33. Everspin Technologies (MRAM) | | None | K | T | | | | | |
| 34. Fathom Digital MFG Corp (FATH) | | None | J | T | | | | | |

| | | | | | |
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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| 35. Homology Medicines INC (FIXX) | | None | J | T | Buy | 01/31/22 | J | | |
| 36. | | None | | | Buy (add'l) | 02/28/22 | J | | |
| 37. Invitae Corp (NVTA) | | None | J | T | Buy | 01/26/22 | K | | |
| 38. Kaleido Biosciences Inc (KLDO) (Y) | | | | | | | | | |
| 39. Liberty Media Acquisition Corp (LMACU) (Y) | | | | | | | | | |
| 40. OKTA Inc Reg SHS (OKTA) | | None | M | T | Buy (add'l) | 04/20/22 | L | | |
| 41. Pliant Therapeutics Inc (PLRX) | | None | K | T | | | | | |
| 42. Presto Automation Inc (PLRX) | | None | J | T | Buy | 01/21/22 | K | | |
| 43. Rubicon Technologies (RBT) | | None | J | T | | | | | |
| 44. Solid Biosciences Inc (SLDB) | | None | J | T | Buy | 03/15/22 | J | | |
| 45. Sorrento Therapeutics (SRNE) | | None | J | T | Buy (add'l) | 01/26/22 | J | | |
| 46. Spero Therapeutics Inc (SPRO) | | None | J | T | | | | | |
| 47. Tempo Automation (TMPO) (Y) | | | | | | | | | |
| 48. Vicarious Surgical Inc (RBOT) | | None | J | T | | | | | |
| 49. Wells Fargo & CO (WFC) | A | Dividend | J | T | | | | | |
| 50. Yext Inc (YEXT) | | None | J | T | | | | | |
| 51. Calamos Strat Tot Return (CSQ) | B | Dividend | K | T | Buy (add'l) | 01/31/22 | K | | |

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| 52. CALL OKTA EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 53. | | | | | Expired | 02/18/22 | J | | |
| 54. CALL OKTA EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 55. | | | | | Expired | 05/20/22 | J | | |
| 56. CALL OKTA EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 57. CALL NVTA EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 58. | | | | | Expired | 03/18/22 | J | | |
| 59. CALL NVTA EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 60. | | | | | Expired | 05/20/22 | J | | |
| 61. CALL MRAM EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 62. | | | | | Expired | 05/20/22 | J | | |
| 63. CALL CGC EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 64. | | | | | Expired | 02/18/22 | J | | |
| 65. CALL CGC EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 66. | | | | | Expired | 05/20/22 | J | | |
| 67. CALL SPO EXP 01/21/22 | | None | | | Expired | 01/21/22 | J | | |
| 68. CALL OKTA EXP 1/21/22 | | None | | | Expired | 01/21/22 | J | | |

| | | | | | |
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| 69. CALL EDIT EXP 01/21/22 | | None | | | Expired | 01/21/22 | J | | |
| 70. CALL PLRX EXP 01/20/23 (Y) | | | | | | | | | |
| 71. Account #2 (H) | | | | | | | | | |
| 72. ML Bank Deposit Program (cash) | A | Interest | N | T | | | | | |
| 73. Alector Inc (ALEC) | | None | J | T | | | | | |
| 74. Annaly Cap Mgmnt (NLY) | C | Dividend | K | T | | | | | |
| 75. Arcturus Therapeutics Holdings (ARCT) | | None | L | T | Buy (add'l) | 03/24/22 | K | | |
| 76. | | | | | Buy (add'l) | 05/25/22 | K | | |
| 77. Ares Capital Corp (ARCC) | B | Dividend | K | T | | | | | |
| 78. Bank of America Corp (BAC) | D | Dividend | N | T | | | | | |
| 79. BP PLC (BP) | B | Dividend | K | T | | | | | |
| 80. Canopy Growth Corp (CGC) | | None | J | T | | | | | |
| 81. Calyxt Inc (CLXT) (Y) | | | | | | | | | |
| 82. Crispr Therapeutics AG (CRSP) | | None | M | T | Buy | 08/23/22 | M | | |
| 83. | | | | | Buy (add'l) | 10/20/22 | K | | |
| 84. | | | | | Buy (add'l) | 10/21/22 | L | | |
| 85. Cyber Ark Software Ltd (CYBR) | | None | M | T | Buy | 05/20/22 | L | | |

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| 86. | | | | | Buy (add'l) | 05/24/22 | L | | |
| 87. | | | | | Buy (add'l) | 05/25/22 | L | | |
| 88. | | | | | Sold | 08/22/22 | L | C | |
| 89. | | | | | Buy | 10/25/22 | M | | |
| 90. Fisker Inc (FSR) | | None | J | T | Buy | 01/25/22 | K | | |
| 91. Hookipa Pharma (HOOK) (Y) | | | | | | | | | |
| 92. On Semiconductor Corp (ON) | | None | | | Buy | 01/25/22 | L | | |
| 93. | | | | | Sold | 02/23/22 | L | | |
| 94. Royal Carribean Group (RCL) | | None | K | T | Buy (add'l) | 05/24/22 | L | | |
| 95. Tesla Inc (TSLA) | | None | L | T | Buy | 10/21/22 | L | | |
| 96. | | | | | Buy (add'l) | 10/25/22 | L | | |
| 97. Tilray Inc Reg Shs-2 (TLRY) | | None | J | T | | | | | |
| 98. Vivendi SHS UNSP ADR (VIVHY) | A | Dividend | J | T | | | | | |
| 99. Wynn Resorts Ltd (WYNN) | | None | | | Buy | 01/25/22 | L | | |
| 100. | | | | | Sold | 12/19/22 | L | | |
| 101. Yiren Digital Ltd (YRD) | | None | J | T | | | | | |
| 102. Zuora Inc CL A (ZUO) | A | Dividend | J | T | Buy | 01/25/22 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 103. Calamos Strat Tot Return (CSQ) | B | Dividend | K | T | | | | | |
| 104. PUT WYNN EXP 01/21/2022 | | None | | | Sold | 01/21/22 | J | | |
| 105. CALL WYNN EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 106. | | | | | Expired | 02/18/22 | J | | |
| 107. CALL WYNN EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |
| 108. | | | | | Sold | 12/16/22 | J | | |
| 109. PUT WYNN EXP 01/20/23 | | None | J | T | Buy | 12/19/22 | J | | |
| 110. CALL ON EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 111. | | | | | Sold | 02/18/22 | J | | |
| 112. PUT ON EXP 01-20-23 | | None | J | T | Buy | 11/21/22 | J | | |
| 113. PUT ZUO EXP 1/21/2022 | | None | | | Sold | 01/21/22 | J | | |
| 114. PUT MU EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 115. | | | | | Expired | 02/18/22 | J | | |
| 116. PUT MU EXP 04/14/22 | | None | | | Buy | 02/28/22 | J | | |
| 117. | | | | | Expired | 04/14/22 | J | | |
| 118. PUT MU EXP 05/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 119. | | | | | Sold | 05/20/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 120. CALL MU EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 121. | | | | | Expired | 07/15/22 | J | | |
| 122. PUT CYBR EXP 05/20/2022 | | None | | | Buy | 03/22/22 | J | | |
| 123. | | | | | Sold (part) | 05/19/22 | J | | |
| 124. | | | | | Sold | 05/20/22 | J | | |
| 125. CALL CYBR EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 126. | | | | | Expired | 07/15/22 | J | | |
| 127. CALL CYBR EXP 08/19/22 | | None | | | Buy | 06/21/22 | J | | |
| 128. | | | | | Sold | 08/19/22 | J | | |
| 129. PUT CYBR EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 130. | | | | | Expired | 09/16/22 | J | | |
| 131. PUT CYBR 155 EXP 10/21/22 | | None | | | Buy | 09/21/22 | J | | |
| 132. | | | | | Sold | 10/21/22 | J | | |
| 133. PUT CYBR 150 EXP 10/21/22 | | None | | | Buy | 09/21/22 | J | | |
| 134. | | | | | Expired | 10/21/22 | J | | |
| 135. CALL CYBR EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 136. | | | | | Expired | 11/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 137. PUT CYBR EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 138. | | | | | Expired | 11/18/22 | J | | |
| 139. CALL CYBR EXP 01/20/23 (Y) | | | | | | | | | |
| 140. PUT CYBR EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 141. PUT CRSP EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 142. | | | | | Expired | 07/15/22 | J | | |
| 143. PUT CRSP 70 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 144. | | | | | Sold | 08/19/22 | J | | |
| 145. PUT CRSP 75 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 146. | | | | | Sold | 08/19/22 | J | | |
| 147. CALL CRSP EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 148. | | | | | Expired | 09/16/22 | J | | |
| 149. PUT CRSP EXP 10/21/22 | | None | | | Buy | 09/21/22 | J | | |
| 150. | | | | | Sold | 10/21/22 | J | | |
| 151. CALL CRSP EXP 01-20-23 (Y) | | | | | | | | | |
| 152. PUT TSLA EXP 02/18/22 | | None | | | Buy | 01/13/22 | J | | |
| 153. | | | | | Sold | 02/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 154. PUT TSLA EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 155. | | | | | Expired | 03/18/22 | J | | |
| 156. CALL TSLA EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 157. | | | | | Expired | 05/20/22 | J | | |
| 158. CALL TSLA EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 159. | | | | | Expired | 07/15/22 | J | | |
| 160. PUT TSLA 283.33 EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 161. | | | | | Expired | 09/16/22 | J | | |
| 162. PUT TSLA 266.67 EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 163. | | | | | Expired | 09/16/22 | J | | |
| 164. PUT TSLA 260 EXP 10/21/22 | | None | | | Buy | 09/21/22 | J | | |
| 165. | | | | | Sold | 10/21/22 | J | | |
| 166. PUT TSLA 250 EXP 10/21/22 | | None | | | Buy | 09/21/22 | J | | |
| 167. | | | | | Sold | 10/20/22 | J | | |
| 168. CALL TSLA 260 EXP 12/16/22 | | None | | | Buy | 10/31/22 | J | | |
| 169. | | | | | Expired | 12/16/22 | J | | |
| 170. CALL TSLA 255 EXP 12/16/22 | | None | | | Buy | 10/31/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 171. | | | | | Expired | 12/16/22 | J | | |
| 172. CALL ARCT 45 EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 173. | | | | | Expired | 03/18/22 | J | | |
| 174. CALL ARCT 50 EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 175. | | | | | Expired | 03/18/22 | J | | |
| 176. CALL ARCT EXP 05/20/2022 | | None | | | Buy | 03/22/22 | J | | |
| 177. | | | | | Expired | 05/20/22 | J | | |
| 178. CALL ARCT EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 179. | | | | | Expired | 07/15/22 | J | | |
| 180. CALL ARCT EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 181. | | | | | Expired | 08/19/22 | J | | |
| 182. CALL ARCT EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 183. | | | | | Expired | 09/16/22 | J | | |
| 184. CALL ARCT EXP 11/18/22 | | None | | | Buy | 09/21/22 | J | | |
| 185. | | | | | Expired | 11/18/22 | J | | |
| 186. PUT RCL EXP 1/21/22 | | None | | | Expired | 01/21/22 | J | | |
| 187. PUT RCL EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 188. | | | | | Expired | 02/18/22 | J | | |
| 189. PUT RCL EXP 04/14/22 | | None | | | Buy | 02/28/22 | J | | |
| 190. | | | | | Expired | 04/14/22 | J | | |
| 191. PUT RCL EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 192. | | | | | Sold | 05/20/22 | J | | |
| 193. PUT FSR EXP 1/21/2022 | | None | | | Sold | 01/21/22 | J | | |
| 194. PUT BMRN EXP 1/21/2022 | | None | | | Sold | 01/21/22 | L | | |
| 195. CALL BMRN EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 196. | | | | | Expired | 02/18/22 | J | | |
| 197. PUT BMRN EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 198. | | | | | Expired | 08/19/22 | J | | |
| 199. PUT BMRN EXP 11/18/22 | | None | | | Buy | 09/21/22 | J | | |
| 200. | | | | | Expired | 11/18/22 | J | | |
| 201. PUT BMRN EXP 01/20/23 (Y) | | | | | | | | | |
| 202. PUT LUV EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 203. | | | | | Expired | 02/18/22 | J | | |
| 204. PUT NTNX EXP 01/20/23 (Y) | | | | | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 205. Account #3 (H) | | | | | | | | | |
| 206. ML Bank Deposit Program (cash) (Y) | | | | | | | | | |
| 207. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 208. Exxon Mobil Corp (XOM) | A | Dividend | K | T | | | | | |
| 209. Valero Energy Corp (VLO) | A | Dividend | K | T | | | | | |
| 210. Wells Fargo & Co (WFC) | A | Dividend | J | T | | | | | |
| 211. Account #4 (H) | | | | | | | | | |
| 212. ML Bank Deposit Program (cash) | A | Interest | J | T | | | | | |
| 213. BP PLC (BP) | | None | | | Sold | 01/13/22 | J | | |
| 214. Exxon Mobil Corp (XOM) | A | Dividend | J | T | | | | | |
| 215. Travelers Cos Inc (TRV) | A | Dividend | J | T | Buy | 01/13/22 | J | | |
| 216. Truist Finl Corp (TFC) | A | Dividend | J | T | | | | | |
| 217. Valero Energy Corp (VLO) | A | Dividend | J | T | | | | | |
| 218. Wells Fargo & Co (WFC) | A | Dividend | J | T | | | | | |
| 219. Account #5 (H) | | | | | | | | | |
| 220. ML Bank Deposit Program (cash) | A | Interest | M | T | | | | | |
| 221. Overstock.com Inc | | None | J | T | | | | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 222. Akouos Inc Reg SHS (AKUS) | | None | | | Buy | 02/09/22 | J | | |
| 223. | | | | | Sold | 11/08/22 | J | D | |
| 224. Akoustis Technologies (AKTS) | | None | J | T | | | | | |
| 225. Arcturus Therapeutics Holdings (ARCT) | | None | L | T | Buy (add'l) | 05/25/22 | K | | |
| 226. Ardelyx Inc Shs (ARDX) | | None | J | T | Sold (part) | 08/03/22 | J | | |
| 227. | | | | | Buy (add'l) | 09/20/22 | J | | |
| 228. Aurora Innovation Inv (AUR) | | None | J | T | | | | | |
| 229. Avidity Biosciences Inc (RNA) | | None | K | T | | | | | |
| 230. BP PLC (BP) | | None | | | Sold | 04/18/22 | K | D | |
| 231. Codiak Biosciences Inc (CDAK) (Y) | | | | | | | | | |
| 232. Colicity Inc Units (COLIU) (Y) | | | | | | | | | |
| 233. Crucible Acquisition Corp (CRUU) (Y) | | | | | | | | | |
| 234. Desktop Metal Inc. (DM) | | None | J | T | Buy | 02/09/22 | J | | |
| 235. | | | | | Buy (add'l) | 03/23/22 | K | | |
| 236. | | | | | Sold (part) | 03/21/22 | J | A | |
| 237. | | | | | Buy (add'l) | 05/25/22 | J | | |
| 238. Editas Medicine Inc Shs (EDIT) | | None | K | T | Buy (add'l) | 01/21/22 | K | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | | |
| | U =Book Value | V =Other | W =Estimated | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 239. | | | | | Sold (part) | 08/03/22 | K | | |
| 240. | | | | | Buy (add'l) | 09/20/22 | J | | |
| 241. Endo Intl PLC Shs (ENDP) | | None | | | Sold | 08/03/22 | J | | |
| 242. Everspin Technologies (MRAM) | | None | K | T | | | | | |
| 243. Fathom Digital MFG Corp (FATH) | | None | J | T | | | | | |
| 244. Heron Therapeutics Inc (HRTX) | | None | J | T | Sold | 08/03/22 | J | | |
| 245. | | | | | Buy | 09/20/22 | J | | |
| 246. Homology Medicines Inc. (FIXX) | | None | J | T | Buy | 02/09/22 | K | | |
| 247. | | | | | Buy (add'l) | 02/28/22 | J | | |
| 248. Invitae Corp (NVTA) | | None | J | T | | | | | |
| 249. Kaleido Biosciences Inc (KLDO) (Y) | | | | | | | | | |
| 250. Karyopharm Therapeutics (KPTI) | | None | J | T | | | | | |
| 251. Liberty Media Acquisition Corp (LMACU) (Y) | | | | | | | | | |
| 252. Lumentum Holdings Inc. (LITE) | | None | | | Buy | 04/20/22 | K | | |
| 253. | | | | | Buy (add'l) | 05/25/22 | K | | |
| 254. | | | | | Sold | 08/22/22 | L | B | |
| 255. Nightdragon Acquisition Corp (NDACU) (Y) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 256. Nkarta Inc (NKTX) | | None | J | T | | | | | |
| 257. Okta Inc Reg Shs Cl A (OKTA) | | None | M | T | Buy (add'l) | 01/26/22 | L | | |
| 258. | | | | | Buy (add'l) | 05/25/22 | L | | |
| 259. Pagerduty Inc Reg Shs (PD) | | None | L | T | | | | | |
| 260. Pliant Therapeutics Inc (PLRX) | | None | K | T | | | | | |
| 261. Precision Biosciences (DTIL) | | None | J | T | | | | | |
| 262. Presto Automation Inc (PRST) | | None | J | T | Buy | 01/21/22 | K | | |
| 263. Revolution Healthcare Acquisitions (REVHU) (Y) | | | | | | | | | |
| 264. Shapeways Holdings Inc (SHPW) | | None | J | T | | | | | |
| 265. Solid Biosciences Inc (SLDB) | | None | J | T | Buy | 03/15/22 | J | | |
| 266. Sorrento Therapeutics (SRNE) | | None | J | T | | | | | |
| 267. Tempo Automation (TMPO) (Y) | | | | | | | | | |
| 268. Vicarious Surgical Inc (RBOT) | | None | J | T | | | | | |
| 269. Yext Inc (YEXT) | | None | J | T | | | | | |
| 270. CALL ARCT EXP 1/21/22 | | None | | | Expired | 01/21/22 | J | | |
| 271. CALL ARCT EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 272. | | | | | Expired | 03/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 273. CALL ARCT EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 274. | | | | | Expired | 05/20/22 | J | | |
| 275. CALL ARCT EXP 11/18/22 | | None | | | Buy | 09/21/22 | J | | |
| 276. | | | | | Expired | 11/18/22 | J | | |
| 277. CALL PD EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 278. | | | | | Expired | 02/18/22 | J | | |
| 279. CALL PD EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 280. | | | | | Expired | 03/18/22 | J | | |
| 281. CALL PD EXP 04/14/22 | | None | | | Buy | 03/21/22 | J | | |
| 282. | | | | | Expired | 04/14/22 | J | | |
| 283. CALL PD EXP 06/17/22 | | None | | | Buy | 04/18/22 | J | | |
| 284. | | | | | Expired | 06/17/22 | J | | |
| 285. CALL PD EXP 08/19/22 | | None | | | Buy | 06/21/22 | J | | |
| 286. | | | | | Expired | 08/19/22 | J | | |
| 287. CALL PD EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 288. | | | | | Expired | 09/16/22 | J | | |
| 289. CALL PD EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 290. CALL OKTA 195 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 291. | | | | | Sold | 01/27/22 | J | | |
| 292. CALL OKTA 205 EXP 02/18/22 | | None | | | Buy | 01/27/22 | J | | |
| 293. | | | | | Expired | 02/18/22 | J | | |
| 294. CALL OKTA 210 EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 295. | | | | | Expired | 03/18/22 | J | | |
| 296. CALL OKTA 200 EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 297. | | | | | Expired | 03/18/22 | J | | |
| 298. CALL OKTA EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 299. | | | | | Expired | 05/20/22 | J | | |
| 300. CALL OKTA EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 301. | | | | | Expired | 07/15/22 | J | | |
| 302. CALL OKTA EXP 08/19/22 | | None | | | Buy | 06/21/22 | J | | |
| 303. | | | | | Expired | 08/19/22 | J | | |
| 304. CALL OKTA 110 EXP 09/16/22 | | None | | | Buy | 07/18/22 | J | | |
| 305. | | | | | Expired | 09/16/22 | J | | |
| 306. CALL OKTA 105 EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 307. | | | | | Expired | 09/16/22 | J | | |
| 308. CALL OKTA EXP 11/18/22 | | None | | | Buy | 09/21/22 | J | | |
| 309. | | | | | Expired | 11/18/22 | J | | |
| 310. CALL OKTA EXP 01/20/23 | | None | K | T | Buy | 11/21/22 | J | | |
| 311. CALL MRAM EXP 3/18/2022 | | None | | | Buy | 02/23/22 | J | | |
| 312. | | | | | Expired | 03/18/22 | J | | |
| 313. CALL MRAM EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 314. | | | | | Expired | 05/20/22 | J | | |
| 315. CALL LITE EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 316. | | | | | Expired | 07/15/22 | J | | |
| 317. CALL LITE EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 318. | | | | | Sold | 08/19/22 | J | | |
| 319. CALL PLRX EXP 01/20/23 (Y) | | | | | | | | | |
| 320. CALL EDIT EXP 11/18/22 | | None | | | Buy | 09/21/22 | J | | |
| 321. | | | | | Expired | 11/18/22 | J | | |
| 322. Account #6 (H) | | | | | | | | | |
| 323. ML Bank Deposit Program (cash) | A | Interest | M | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 324. Alector Inc (ALEC) | | None | K | T | | | | | |
| 325. Applovin Corp Com (APP) | | None | J | T | Buy | 01/21/22 | L | | |
| 326. Ares Capital Corp (ARCC) | D | Dividend | L | T | | | | | |
| 327. Astellas Pharma Inc Shs (ALPMY) | B | Dividend | K | T | | | | | |
| 328. Biomarin Pharmaceuticals (BMRN) | | None | | | Buy | 05/20/22 | L | | |
| 329. | | | | | Sold | 07/18/22 | L | D | |
| 330. Cabaletta Bio Inc Reg (CABA) | | None | K | T | | | | | |
| 331. Calyxt Inc (CLXT) (Y) | | | | | | | | | |
| 332. Crispr Therapeutics AG (CRSP) | | None | K | T | Buy | 10/20/22 | J | | |
| 333. Fisker Inc (FSR) | | None | J | T | Buy | 01/21/22 | K | | |
| 334. Harpoon Therapeutics (HARP) (Y) | | | | | | | | | |
| 335. Heron Therapeutics (HRTX) | | None | J | T | | | | | |
| 336. Hookipa Pharma (HOOK) (Y) | | | | | | | | | |
| 337. Kinder Morgan Inc Del (KMI) | C | Dividend | L | T | | | | | |
| 338. Lumentum Holdings Inc (LITE) | | None | L | T | Buy | 04/14/22 | K | | |
| 339. | | | | | Buy (add'l) | 09/20/22 | L | | |
| 340. | | | | | Buy (add'l) | 11/23/22 | K | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 341. | | | | | Sold (part) | 12/19/22 | L | | |
| 342. Micron Technology Inc (MU) | | None | | | Buy | 01/26/22 | L | | |
| 343. | | | | | Sold | 02/22/22 | L | D | |
| 344. Passage Bio Inc (PASG) | | None | J | T | | | | | |
| 345. Revolution Medicines Inc (RVMD) | | None | J | T | | | | | |
| 346. Royal Carribean Group (RCL) | | None | | | Buy | 10/21/22 | M | | |
| 347. | | | | | Sold | 11/21/22 | M | E | |
| 348. Spero Therapeutics Inc (SPRO) | | None | J | T | | | | | |
| 349. Tesla Inc (TSLA) | | None | L | T | Buy | 10/21/22 | M | | |
| 350. Wells Fargo & Co New Del (WFC) | C | Dividend | L | T | Sold (part) | 02/23/22 | M | | |
| 351. Wynn Resorts LTD (WYNN) | | None | L | T | | | | | |
| 352. Zuora Inc Cl A (ZUO) | | None | J | T | Buy | 01/21/22 | K | | |
| 353. PUT ZUO EXP 1/21/22 | | None | | | Sold | 01/21/22 | J | | |
| 354. PUT FSR EXP 1/21/22 | | None | | | Sold | 01/21/22 | J | | |
| 355. PUT ANET EXP 1/21/22 | | None | | | Expired | 01/21/22 | J | | |
| 356. PUT ANET EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 357. | | | | | Expired | 02/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 358. PUT ANET EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 359. | | | | | Expired | 03/18/22 | J | | |
| 360. CALL MU 70 EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 361. | | | | | Expired | 02/18/22 | J | | |
| 362. PUT MU 82.5 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 363. | | | | | Expired | 02/18/22 | J | | |
| 364. PUT MU EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 365. | | | | | Sold | 03/18/22 | J | | |
| 366. PUT LITE 85 EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 367. | | | | | Expired | 02/18/22 | J | | |
| 368. PUT LITE 90 EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 369. | | | | | Expired | 02/18/22 | J | | |
| 370. PUT LITE 95 EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 371. | | | | | Expired | 03/18/22 | J | | |
| 372. PUT LITE 90 EXP 03/18/22 | | None | | | Buy | 02/24/22 | J | | |
| 373. | | | | | Expired | 03/18/22 | J | | |
| 374. PUT LITE EXP 04/14/22 | | None | | | Buy | 03/21/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 375. | | | | | Sold | 04/14/22 | J | | |
| 376. PUT LITE EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 377. | | | | | Sold | 09/16/22 | J | | |
| 378. PUT LITE EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 379. CALL APP EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 380. | | | | | Expired | 02/18/22 | J | | |
| 381. PUT BMRN EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 382. | | | | | Expired | 02/18/22 | J | | |
| 383. PUT BMRN EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 384. | | | | | Expired | 03/18/22 | J | | |
| 385. PUT BMRN EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 386. | | | | | Sold | 05/23/22 | J | | |
| 387. CAL BMRN EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 388. | | | | | Sold | 07/15/22 | J | | |
| 389. PUT BMRN EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 390. | | | | | Expired | 08/19/22 | J | | |
| 391. PUT BMRN EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |

| | | | | | | |
|---|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 | |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | | |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 392. | | | | | Expired | 09/16/22 | J | | |
| 393. PUT BMRN EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 394. | | | | | Expired | 10/21/22 | J | | |
| 395. PUT BMRN EXP 12/16/22 | | None | | | Buy | 10/27/22 | J | | |
| 396. | | | | | Expired | 12/16/22 | J | | |
| 397. PUT TSLA EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 398. | | | | | Sold | 05/20/22 | J | | |
| 399. CALL TSLA 750 EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 400. | | | | | Expired | 07/15/22 | J | | |
| 401. PUT TSLA EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 402. | | | | | Expired | 09/16/22 | J | | |
| 403. PUT TSLA EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 404. | | | | | Sold (part) | 09/22/22 | J | | |
| 405. | | | | | Sold | 10/21/22 | J | | |
| 406. PUT TSLA EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 407. PUT CYBR 155 EXP 5/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 408. | | | | | Sold | 05/19/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 409. PUT CYBR 160 EXP 5/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 410. | | | | | Sold | 05/13/22 | J | | |
| 411. CALL CYBR EXP 07/15/22 | | None | | | Buy | 06/02/22 | J | | |
| 412. | | | | | Expired | 07/15/22 | J | | |
| 413. PUT VMW EXP 06/17/22 | | None | | | Buy | 05/23/22 | J | | |
| 414. | | | | | Expired | 06/17/22 | J | | |
| 415. PUT CRSP 70 EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 416. | | | | | Expired | 07/15/22 | J | | |
| 417. PUT CRSP 65 EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 418. | | | | | Expired | 07/15/22 | J | | |
| 419. PUT CRSP 65 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 420. | | | | | Expired | 08/19/22 | J | | |
| 421. PUT CRSP 70 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 422. | | | | | Sold | 08/19/22 | J | | |
| 423. PUT CRSP 75 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 424. | | | | | Sold | 08/19/22 | J | | |
| 425. PUT CRSP EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 426. | | | | | Expired | 09/16/22 | J | | |
| 427. PUT CRSP EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 428. | | | | | Sold | 10/21/22 | J | | |
| 429. PUT FISV EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 430. | | | | | Sold | 10/03/22 | J | | |
| 431. PUT RCL EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 432. | | | | | Sold | 10/21/22 | J | | |
| 433. CALL RCL 55 EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 434. | | | | | Sold | 11/18/22 | J | | |
| 435. CALL RCL 50 EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 436. | | | | | Sold | 11/18/22 | J | | |
| 437. PUT RCL EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 438. PUT NTNX EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 439. Account #7 (H) | | | | | | | | | |
| 440. ML Bank Deposit Program (cash) | A | Interest | | | Closed | 12/19/22 | M | | |
| 441. Agilon Health (AGL) | | None | | | Sold | 12/13/22 | J | | |
| 442. Airbnb Inc (ABNB) | | None | | | Buy (add'l) | 01/18/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 443. | | | | | Sold (part) | 03/17/22 | J | A | |
| 444. | | | | | Sold (part) | 05/18/22 | J | | |
| 445. | | | | | Sold | 08/22/22 | J | | |
| 446. ASML Hldg (ASML) | A | Dividend | | | Buy | 01/06/22 | J | | |
| 447. | | | | | Sold (part) | 10/03/22 | J | | |
| 448. | | | | | Sold | 12/13/22 | J | | |
| 449. Bill Com Hldgs Inc (BILL) | | None | | | Buy | 01/18/22 | J | | |
| 450. | | | | | Sold | 12/13/22 | J | | |
| 451. Block Inc (SQ) | | None | | | Buy | 01/18/22 | J | | |
| 452. | | | | | Sold (part) | 07/07/22 | J | | |
| 453. | | | | | Sold | 12/13/22 | J | | |
| 454. Carvana Co (CVNA) (Y) | | | | | | | | | |
| 455. Chewy Inc (CHWY) | | None | | | Sold | 12/13/22 | J | A | |
| 456. CloudFlare Inc (NET) | | None | | | Sold | 12/13/22 | J | | |
| 457. Coupang Inc (CPNG) (X) | | None | | | Sold | 12/13/22 | J | | |
| 458. Coinbase Global Inc (COIN) | | None | | | Buy | 01/18/22 | J | | |
| 459. | | | | | Buy (add'l) | 05/11/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
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| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 460. | | | | | Sold | 12/13/22 | J | | |
| 461. Datadog Inc (DDOG) | | None | | | Sold | 12/13/22 | J | | |
| 462. Dexcom Inc (DXCM) (Y) | | | | | | | | | |
| 463. Doordash Inc (DASH) | | None | | | Buy (add'l) | 01/18/22 | J | | |
| 464. | | | | | Sold | 12/13/22 | J | | |
| 465. Doximity Inc (DOCS) | | None | | | Buy | 01/18/22 | J | | |
| 466. | | | | | Buy (add'l) | 02/09/22 | J | | |
| 467. | | | | | Sold | 12/13/22 | J | | |
| 468. Gitlab Inc (GTLB) (X) | | None | | | Sold | 12/13/22 | J | | |
| 469. Goodrx Holdings Inc (GDRX) (Y) | | | | | | | | | |
| 470. Grab Holdings LTD (GRAB) | | None | | | Buy | 01/18/22 | J | | |
| 471. | | | | | Buy (add'l) | 01/27/22 | J | | |
| 472. | | | | | Sold | 12/13/22 | J | | |
| 473. Guardant Health Inc (GH) | | None | | | Buy | 01/18/22 | J | | |
| 474. | | | | | Sold | 12/13/22 | J | | |
| 475. Illumina Inc (ILMN) | | None | | | Buy | 02/03/22 | J | | |
| 476. | | | | | Buy (add'l) | 10/25/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 477. | | | | | Sold | 12/13/22 | J | | |
| 478. Mercadolibre Inc (MELI) | | None | | | Sold | 12/13/22 | J | | |
| 479. Moderna Inc (MRNA) (Y) | | | | | | | | | |
| 480. Mongodb Inc (MDB) | | None | | | Sold | 10/03/22 | J | | |
| 481. Okta Inc (OKTA) | | None | | | Sold | 01/21/22 | J | | |
| 482. Peloton Interactive Inc (PTON) (Y) | | | | | | | | | |
| 483. Pinterest Inc (PINS) | | None | | | Sold | 02/09/22 | J | | |
| 484. Rivian Automotive Inc (RIVN) (Y) | | | | | | | | | |
| 485. Roblox Corp (RBLX) | | None | | | Sold | 12/13/22 | J | | |
| 486. Rty Pharma (RPRX) | A | Dividend | | | Buy | 01/18/22 | J | | |
| 487. | | | | | Sold | 12/13/22 | J | A | |
| 488. Sea LTD (SE) (Y) | | | | | | | | | |
| 489. Shopify Inc (SHOP) | | None | | | Buy (add'l) | 05/23/22 | J | | |
| 490. | | | | | Sold | 12/13/22 | J | | |
| 491. Snap Inc (SNAP) | | None | | | Sold (part) | 03/10/22 | J | | |
| 492. | | | | | Sold | 05/31/22 | J | | |
| 493. Snowflake Inc (SNOW) | | None | | | Sold | 12/13/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 494. Spotify Tech (SPOT) | | None | | | Sold | 03/22/22 | J | | |
| 495. Trade (the) Desk Inc (TTD) | | None | | | Buy (add'l) | 02/10/22 | J | | |
| 496. | | | | | Sold | 12/13/22 | J | | |
| 497. Twilo Inc (TWLO) | | None | | | Sold (part) | 02/17/22 | J | | |
| 498. | | | | | Sold | 03/11/22 | J | | |
| 499. Twitter Inc (TWTR) | | None | | | Buy (add'l) | 01/18/22 | J | | |
| 500. | | | | | Sold (part) | 03/25/22 | J | | |
| 501. | | | | | Sold | 04/26/22 | J | A | |
| 502. Uber Technologies (UBER) | | None | | | Buy | 04/25/22 | J | | |
| 503. | | | | | Buy (add'l) | 04/28/22 | J | | |
| 504. | | | | | Buy (add'l) | 06/09/22 | J | | |
| 505. | | | | | Sold | 12/13/22 | J | | |
| 506. Unity Software Inc (U) | | None | | | Sold (part) | 09/15/22 | J | | |
| 507. | | | | | Sold | 10/25/22 | J | | |
| 508. Veeva Sys Inc (VEEV) | | None | | | Buy | 01/18/22 | J | | |
| 509. | | | | | Sold (part) | 06/07/22 | J | | |
| 510. | | | | | Sold (part) | 08/29/22 | J | | |

| | | | | | |
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| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 511. | | | | | Sold | 10/13/22 | J | | |
| 512. Wayfair Inc (W) | | None | | | Sold | 12/13/22 | J | | |
| 513. Zillow Group Inc (Z) | | None | | | Sold | 02/14/22 | J | | |
| 514. Zoom Video (ZM) | | None | | | Sold (part) | 08/09/22 | J | | |
| 515. | | | | | Sold | 08/23/22 | J | | |
| 516. Zoominfo Technologies (ZI) | | None | | | Buy | 03/23/22 | J | | |
| 517. | | | | | Buy (add'l) | 08/23/22 | J | | |
| 518. | | | | | Sold | 12/13/22 | J | | |
| 519. 10x Genomics Inc (TXG) | | None | | | Buy | 01/18/22 | J | | |
| 520. | | | | | Buy (add'l) | 02/17/22 | J | | |
| 521. | | | | | Sold | 12/13/22 | J | | |
| 522. Ishares Core S&P U.S. Growth (IUSG) | | None | | | Sold | 01/18/22 | L | | |
| 523. Account #8 (H) | | | | | | | | | |
| 524. Proctor & Gamble (PG) | A | Dividend | K | T | | | | | |
| 525. Starbucks Corp (SBUX) | A | Dividend | K | T | | | | | |
| 526. Travelers Cos Inc (TRV) | A | Dividend | K | T | | | | | |
| 527. Valero Energy Corp (VLO) | A | Dividend | J | T | Sold (part) | 09/23/22 | J | B | |

| | | | | | |
|---|---|---|---|---|---|
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
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| 528. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | | | | | |
| 529. Account #9 (H) | | | | | | | | | |
| 530. ML Bank Deposit Program | A | Interest | L | T | | | | | |
| 531. BLF Treasury Trust (cash) | A | Interest | M | T | Open | 12/02/22 | M | | |
| 532. Activision Blizzard Inc (ATVI) | A | Dividend | K | T | | | | | |
| 533. ADC Therapuetics SA ACT (ADCT) | | None | J | T | Buy | 02/09/22 | K | | |
| 534. Alector Inc (ALEC) | | None | K | T | Buy (add'l) | 04/20/22 | J | | |
| 535. BP PLC (BP) | B | Dividend | K | T | | | | | |
| 536. Canopy Growth Corp (CGC) | | None | J | T | Buy | 01/26/22 | K | | |
| 537. | | | | | Sold (part) | 02/22/22 | K | C | |
| 538. | | | | | Buy (add'l) | 02/25/22 | K | | |
| 539. | | | | | Buy (add'l) | 06/23/22 | J | | |
| 540. | | | | | Sold (part) | 07/18/22 | K | D | |
| 541. Carnival Corp (CCL) | | None | K | T | | | | | |
| 542. Coherent Corp (COHR) | | None | M | T | Buy (add'l) | 01/26/22 | M | | |
| 543. | | | | | Sold (part) | 02/22/22 | M | | |
| 544. | | | | | Buy (add'l) | 02/25/22 | M | | |

| | | | | | |
|---|---|---|---|---|---|
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| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 545. | | | | | Sold (part) | 08/22/22 | L | | |
| 546. | | | | | Buy (add'l) | 08/24/22 | L | | |
| 547. Crispr Therapeutics AG (CRSP) | | None | L | T | Buy (add'l) | 01/26/22 | K | | |
| 548. | | | | | Sold (part) | 03/21/22 | L | | |
| 549. | | | | | Buy (add'l) | 03/23/22 | L | | |
| 550. | | | | | Sold (part) | 06/21/22 | M | | |
| 551. | | | | | Sold | 07/18/22 | L | D | |
| 552. | | | | | Buy | 08/19/22 | M | | |
| 553. | | | | | Sold (part) | 08/23/22 | M | | |
| 554. Cyber-Ark Software Ltd (CYBR) | | None | M | T | Sold (part) | 03/21/22 | M | | |
| 555. | | | | | Buy (add'l) | 03/23/22 | M | | |
| 556. | | | | | Sold (part) | 04/18/22 | N | | |
| 557. | | | | | Buy (add'l) | 05/12/22 | M | | |
| 558. | | | | | Buy (add'l) | 05/18/22 | M | | |
| 559. | | | | | Sold (part) | 06/02/22 | M | | |
| 560. | | | | | Sold (part) | 08/22/22 | M | A | |
| 561. | | | | | Buy (add'l) | 08/24/22 | M | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 562. | | | | | Sold (part) | 09/19/22 | M | D | |
| 563. | | | | | Buy (add'l) | 09/21/22 | M | | |
| 564. Fiserv Inc (FISV) | | None | | | Sold (part) | 07/18/22 | L | | |
| 565. | | | | | Buy (add'l) | 07/20/22 | L | | |
| 566. | | | | | Sold | 08/22/22 | L | | |
| 567. Gossamer Bio Inc (GOSS) | | None | J | T | Buy | 02/09/22 | K | | |
| 568. | | | | | Sold (part) | 07/18/22 | K | C | |
| 569. | | | | | Buy (add'l) | 07/20/22 | K | | |
| 570. | | | | | Sold (part) | 08/22/22 | K | D | |
| 571. | | | | | Buy (add'l) | 08/24/22 | K | | |
| 572. Lumentum Holdings Inc (LITE) | | None | L | T | Buy | 04/19/22 | K | | |
| 573. Mercadolibre Inc (MELI) | | None | L | T | Buy (add'l) | 01/26/22 | K | | |
| 574. | | | | | Sold (part) | 08/03/22 | L | | |
| 575. | | | | | Buy (add'l) | 09/20/22 | L | | |
| 576. Micron Technololgy Inc (MU) | A | Dividend | L | T | Buy | 03/18/22 | M | | |
| 577. Norwegian Cruise Line (NCLH) | | None | L | T | Buy (add'l) | 01/26/22 | K | | |
| 578. Nutanix Inc (NTNX) | | None | | | Buy | 01/26/22 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 579. | | | | | Sold (part) | 08/22/22 | L | | |
| 580. | | | | | Buy (add'l) | 08/24/22 | K | | |
| 581. | | | | | Sold | 09/19/22 | K | | |
| 582. Ping Identity Hldg Corp (PING) | | None | | | Buy | 01/26/22 | L | | |
| 583. | | | | | Sold | 08/22/22 | L | D | |
| 584. Royal Caribbean Group | | None | L | T | Buy | 02/25/22 | L | | |
| 585. | | | | | Buy (add'l) | 05/25/22 | L | | |
| 586. | | | | | Buy (add'l) | 07/20/22 | K | | |
| 587. | | | | | Sold (part) | 09/19/22 | M | A | |
| 588. | | | | | Buy (add'l) | 09/21/22 | L | | |
| 589. | | | | | Buy (add'l) | 11/23/22 | L | | |
| 590. Teladoc Health Inc (TDOC) | | None | | | Buy (add'l) | 05/25/22 | K | | |
| 591. | | | | | Sold | 12/12/22 | L | | |
| 592. Tesla Inc (TSLA) | | None | | | Buy | 05/20/22 | L | | |
| 593. | | | | | Buy (add'l) | 05/25/22 | L | | |
| 594. | | | | | Sold (part) | 07/18/22 | M | | |
| 595. | | | | | Sold | 08/22/22 | M | E | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 596. United Airlines Hldgs (UAL) | | None | K | T | | | | | |
| 597. Vmware Inc (VMW) | | None | M | T | | | | | |
| 598. Wells Fargo & Co (WFC) | B | Dividend | L | T | | | | | |
| 599. Wynn Resorts LTD (WYNN) | | None | M | T | | | | | |
| 600. CALL CYBR EXP 1/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 601. CALL ALEC EXP 1/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 602. CALL CRSP EXP 1/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 603. CALL WYNN EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 604. | | | | | Expired | 02/18/22 | J | | |
| 605. CALL VMW EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 606. | | | | | Expired | 02/18/22 | J | | |
| 607. CALL PING EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 608. | | | | | Expired | 03/18/22 | J | | |
| 609. CALL MELI EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 610. | | | | | Expired | 02/18/22 | J | | |
| 611. CALL IIVI 70 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 612. | | | | | Sold | 02/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 613. CALL IIVI 65 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 614. | | | | | Sold | 02/18/22 | J | | |
| 615. CALL CRSP 65 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 616. | | | | | Expired | 02/18/22 | J | | |
| 617. CALL CRSP 70 EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 618. | | | | | Expired | 02/18/22 | J | | |
| 619. CALL CRSP 65 EXP 03/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 620. | | | | | Sold | 03/18/22 | J | | |
| 621. CALL CRSP 75 EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 622. | | | | | Expired | 03/18/22 | J | | |
| 623. CALL CYBR EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 624. | | | | | Expired | 02/18/22 | J | | |
| 625. CALL CGC EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 626. | | | | | Sold | 02/18/22 | J | | |
| 627. CALL NCLH EXP 02/18/22 | | None | | | Buy | 01/24/22 | J | | |
| 628. | | | | | Expired | 02/18/22 | J | | |
| 629. CALL UAL EXP 2/18/22 | | None | | | Buy | 01/24/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 630. | | | | | Expired | 02/18/22 | J | | |
| 631. CALL ADCT EXP 03/18/22 | | None | | | Buy | 02/07/22 | J | | |
| 632. | | | | | Expired | 03/18/22 | J | | |
| 633. CALL GOSS EXP 03/18/22 | | None | | | Buy | 02/07/22 | J | | |
| 634. | | | | | Expired | 03/18/22 | J | | |
| 635. CALL NTNX EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 636. | | | | | Expired | 03/18/22 | J | | |
| 637. CALL WFC EXP 04/14/22 | | None | | | Buy | 02/23/22 | J | | |
| 638. | | | | | Expired | 04/14/22 | J | | |
| 639. CALL WYNN EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 640. | | | | | Expired | 03/18/22 | J | | |
| 641. CALL IIVl EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 642. | | | | | Expired | 03/18/22 | J | | |
| 643. CALL RCL EXP 03/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 644. | | | | | Expired | 03/18/22 | J | | |
| 645. CALL CYBR 150 EXP 03/18/22 | | None | | | Buy | 02/24/22 | J | | |
| 646. | | | | | Sold | 03/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 647. CALL CYBR 155 EXP 03/18/22 | | None | | | Buy | 02/24/22 | J | | |
| 648. | | | | | Sold | 03/18/22 | J | | |
| 649. CALL CRSP EXP 4/14/22 | | None | | | Buy | 02/23/22 | J | | |
| 650. | | | | | Buy (add'l) | 03/21/22 | J | | |
| 651. | | | | | Expired | 04/14/22 | J | | |
| 652. CALL CYBR EXP 4/14/22 | | None | | | Buy | 02/23/22 | J | | |
| 653. | | | | | Buy (add'l) | 03/21/22 | J | | |
| 654. | | | | | Sold | 04/14/22 | J | | |
| 655. CALL VMW EXP 3/18/22 | | None | | | Buy | 02/23/22 | J | | |
| 656. | | | | | Expired | 03/18/22 | J | | |
| 657. CALL IIVI EXP 04/14/22 | | None | | | Buy | 02/23/22 | J | | |
| 658. | | | | | Expired | 04/14/22 | J | | |
| 659. CALL PING EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 660. | | | | | Expired | 05/20/22 | J | | |
| 661. CALL VMW EXP 05/20/22 | | None | | | Buy | 03/21/22 | K | | |
| 662. | | | | | Expired | 05/20/22 | K | | |
| 663. CALL GOSS EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 664. | | | | | Expired | 05/20/22 | J | | |
| 665. CALL IIVI 80 EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 666. | | | | | Expired | 05/20/22 | J | | |
| 667. CALL CRSP 75 EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 668. | | | | | Expired | 05/20/22 | J | | |
| 669. CALL RCL EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 670. | | | | | Expired | 05/20/22 | J | | |
| 671. CALL CGC EXP 05/20/22 | | None | | | Buy | 03/21/22 | J | | |
| 672. | | | | | Expired | 05/20/22 | J | | |
| 673. CALL MU EXP 05/20/22 | | None | | | Buy | 03/22/22 | J | | |
| 674. | | | | | Expired | 05/20/22 | J | | |
| 675. CALL WYNN EXP 5/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 676. | | | | | Expired | 05/20/22 | J | | |
| 677. CALL TDOC EXP 05/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 678. | | | | | Expired | 05/20/22 | J | | |
| 679. CALL IIVI 70 EXP 05/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 680. | | | | | Expired | 05/20/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 681. CALL CRSP 70 EXP 05/20/22 | | None | | | Buy | 04/18/22 | J | | |
| 682. | | | | | Expired | 05/20/22 | J | | |
| 683. CALL UAL EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 684. | | | | | Expired | 07/15/22 | J | | |
| 685. CALL MU EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 686. | | | | | Expired | 07/15/22 | J | | |
| 687. CALL PING EXP 06/17/22 | | None | | | Buy | 05/23/22 | J | | |
| 688. | | | | | Expired | 06/17/22 | J | | |
| 689. CALL CRSP EXP 06/17/22 | | None | | | Buy | 05/23/22 | J | | |
| 690. | | | | | Sold | 06/17/22 | J | | |
| 691. CALL TDOC EXP 07/15/22 | | None | | | Buy | 05/23/22 | J | | |
| 692. | | | | | Expired | 07/15/22 | J | | |
| 693. CALL RCL EXP 07/15/22 | | None | | | Buy | 05/25/22 | J | | |
| 694. | | | | | Expired | 07/15/22 | J | | |
| 695. CALL PING EXP 08/19/22 | | None | | | Buy | 06/21/22 | J | | |
| 696. | | | | | Sold | 08/19/22 | J | | |
| 697. CALL CGC EXP 08/19/22 | | None | | | Buy | 06/21/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 698. | | | | | Expired | 08/19/22 | J | | |
| 699. CALL CRSP EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 700. | | | | | Sold | 07/18/22 | J | | |
| 701. CALL FISV EXP 07/15/22 | | None | | | Buy | 06/21/22 | J | | |
| 702. | | | | | Sold | 07/18/22 | J | | |
| 703. CALL TDOC 50 EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 704. | | | | | Expired | 08/19/22 | J | | |
| 705. CALL TDOC 55 EXP 9/16/22 | | None | | | Buy | 07/18/22 | J | | |
| 706. | | | | | Expired | 09/16/22 | J | | |
| 707. CALL UAL EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 708. | | | | | Expired | 08/19/22 | J | | |
| 709. CALL RCL EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 710. | | | | | Expired | 08/19/22 | J | | |
| 711. CALL IIVI EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 712. | | | | | Sold | 08/19/22 | J | | |
| 713. CALL CYBR EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 714. | | | | | Sold | 08/19/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 715. CALL GOSS EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 716. | | | | | Sold | 08/19/22 | J | | |
| 717. CALL TSLA EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 718. | | | | | Sold | 08/19/22 | J | | |
| 719. CALL MU EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 720. | | | | | Expired | 08/19/22 | J | | |
| 721. CALL FISV EXP 08/19/22 | | None | | | Buy | 07/18/22 | J | | |
| 722. | | | | | Sold | 08/19/22 | J | | |
| 723. CALL MU EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 724. | | | | | Expired | 09/16/22 | J | | |
| 725. CALL IIVI EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 726. | | | | | Expired | 09/16/22 | J | | |
| 727. CALL GOSS EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 728. | | | | | Expired | 09/16/22 | J | | |
| 729. CALL CYBR EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 730. | | | | | Sold | 09/16/22 | J | | |
| 731. CALL CRSP EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 732. | | | | | Expired | 09/16/22 | J | | |
| 733. CALL RCL EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 734. | | | | | Sold | 09/16/22 | J | | |
| 735. CALL NTNX EXP 09/16/22 | | None | | | Buy | 08/22/22 | J | | |
| 736. | | | | | Sold | 09/16/22 | J | | |
| 737. CALL MELI EXP 10/21/22 | | None | | | Buy | 09/16/22 | J | | |
| 738. | | | | | Expired | 10/21/22 | J | | |
| 739. CALL RCL EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 740. | | | | | Expired | 10/21/22 | J | | |
| 741. CALL TDOC EXP 11/18/22 | | None | | | Buy | 09/19/22 | J | | |
| 742. | | | | | Expired | 11/18/22 | J | | |
| 743. CALL CYBR EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 744. | | | | | Expired | 10/21/22 | J | | |
| 745. CALL CRSP 75 EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 746. | | | | | Expired | 10/21/22 | J | | |
| 747. CALL CRSP 70 EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 748. | | | | | Expired | 10/21/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
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| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 749. CALL GOSS EXP 10/21/22 | | None | | | Buy | 09/19/22 | J | | |
| 750. | | | | | Expired | 10/21/22 | J | | |
| 751. CALL MELI EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |
| 752. | | | | | Expired | 12/16/22 | J | | |
| 753. CALL CYBR 160 EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 754. | | | | | Expired | 11/18/22 | J | | |
| 755. CALL CYBR 155 EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 756. | | | | | Expired | 11/18/22 | J | | |
| 757. CALL MU EXP 11/18/22 | | None | | | Buy | 10/24/22 | J | | |
| 758. | | | | | Expired | 11/18/22 | J | | |
| 759. CALL ALEC EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |
| 760. | | | | | Expired | 12/16/22 | J | | |
| 761. CALL CRSP EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |
| 762. | | | | | Expired | 12/16/22 | J | | |
| 763. CALL GOSS 15 EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |
| 764. | | | | | Expired | 12/16/22 | J | | |
| 765. CALL GOSS 17.5 EXP 12/16/22 | | None | | | Buy | 10/24/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 766. | | | | | Expired | 12/16/22 | J | | |
| 767. CALL TDOC EXP 01/20/23 | | None | | | Buy | 11/21/22 | J | | |
| 768. | | | | | Sold | 12/13/22 | J | | |
| 769. CALL CYBR EXP 01/20/23 (Y) | | | | | | | | | |
| 770. CALL COHR EXP 01/20/23 (Y) | | | | | | | | | |
| 771. CALL WYNN 85 EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 772. CALL WYNN 80 EXP 01/20/23 | | None | J | T | Buy | 11/21/22 | J | | |
| 773. CALL WYNN 90 EXP 01/20/23 (Y) | | | | | | | | | |
| 774. CALL UAL EXP 01/20/23 (Y) | | | | | | | | | |
| 775. CALL RCL EXP 01/20/23 (Y) | | | | | | | | | |
| 776. CALL MU EXP 01/20/23 (Y) | | | | | | | | | |
| 777. CALL CYBR EXP 01/20/23 (Y) | | | | | | | | | |
| 778. CALL TDOC EXP 01/20/23 (Y) | | | | | | | | | |
| 779. CALL CRSP EXP 02/17/23 (Y) | | | | | | | | | |
| 780. CALL RCL 55 EXP 01/20/23 (Y) | | | | | | | | | |
| 781. CALL MELI EXP 01/20/23 | | None | J | T | Buy | 12/19/22 | J | | |
| 782. CALL CRSP EXP 01/20/23 (Y) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 783. CALL VMW EXP 01/20/23 | | None | J | T | Buy | 12/19/22 | J | | |
| 784. Account #10 (H) | | | | | | | | | |
| 785. ML Bank Deposit Program (cash) | A | Interest | M | T | | | | | |
| 786. Activision Blizzard (ATVI) | A | Dividend | K | T | | | | | |
| 787. Akero Therapeutics Inc (AKRO) | | None | M | T | Buy | 01/31/22 | K | | |
| 788. Alector Inc (ALEC) | | None | K | T | | | | | |
| 789. Beam Therapeutics Inc (BEAM) | | None | K | T | | | | | |
| 790. Biomarin Pharmaceuticals (BMRN) | | None | | | Buy | 01/25/22 | L | | |
| 791. | | | | | Sold | 07/19/22 | L | C | |
| 792. Carnival Corp (CCL) | | None | K | T | | | | | |
| 793. Coherent Corp (COHR) | | None | L | T | Sold (part) | 02/22/22 | M | C | |
| 794. | | | | | Sold (part) | 08/22/22 | M | | |
| 795. | | | | | Buy (add'l) | 08/24/22 | M | | |
| 796. Crispr Therapeutics AG (CRSP) | | None | M | T | Buy (add'l) | 01/12/22 | K | | |
| 797. | | | | | Buy (add'l) | 03/24/22 | L | | |
| 798. | | | | | Sold (part) | 03/22/22 | L | | |
| 799. | | | | | Sold (part) | 06/21/22 | M | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 800. | | | | | Buy (add'l) | 07/20/22 | L | | |
| 801. | | | | | Sold (part) | 07/18/22 | L | | |
| 802. Cyber Ark Software Ltd (CYBR) | | None | | | Sold (part) | 03/22/22 | M | | |
| 803. | | | | | Sold (part) | 08/22/22 | M | D | |
| 804. | | | | | Buy (add'l) | 08/24/22 | M | | |
| 805. | | | | | Sold | 09/19/22 | M | D | |
| 806. C3 AI Inc Cl A (AI) | | None | J | T | | | | | |
| 807. Gossamer Bio Inc (GOSS) | | None | J | T | Buy | 01/31/22 | K | | |
| 808. | | | | | Buy (add'l) | 07/20/22 | K | | |
| 809. | | | | | Sold (part) | 07/18/22 | K | D | |
| 810. | | | | | Sold (part) | 08/22/22 | L | D | |
| 811. | | | | | Buy (add'l) | 08/24/22 | L | | |
| 812. | | | | | Buy (add'l) | 11/23/22 | J | | |
| 813. Micron Technology Inc. (MU) | A | Dividend | K | T | Buy | 05/24/22 | L | | |
| 814. Norwegian Cruise Line (NCHL) | | None | K | T | | | | | |
| 815. Nutanix Inc (NTNX) | | None | | | Sold (part) | 08/22/22 | K | | |
| 816. | | | | | Buy (add'l) | 08/24/22 | K | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 817. | | | | | Sold (part) | 09/12/22 | J | | |
| 818. | | | | | Sold (part) | 09/19/22 | K | | |
| 819. | | | | | Buy (add'l) | 09/23/22 | K | | |
| 820. | | | | | Sold | 10/24/22 | K | | |
| 821. On Semiconductor CRP Com (ON) | | None | | | Buy | 03/03/22 | L | | |
| 822. | | | | | Sold (part) | 08/22/22 | L | B | |
| 823. | | | | | Buy (add'l) | 09/27/22 | L | | |
| 824. | | | | | Sold (part) | 10/24/22 | L | D | |
| 825. | | | | | Buy (add'l) | 10/26/22 | L | | |
| 826. | | | | | Sold | 11/22/22 | L | D | |
| 827. Ping Identity Hldg Corp (PING) | | None | | | Buy | 01/26/22 | L | | |
| 828. | | | | | Sold | 08/22/22 | L | D | |
| 829. Regions Finl Corp (RF) | A | Dividend | J | T | | | | | |
| 830. Sana Biotech Inc (SANA) | | None | J | T | | | | | |
| 831. Teladoc Health Inc (TDOC) | | None | | | Buy (add'l) | 05/25/22 | K | | |
| 832. | | | | | Sold | 11/22/22 | L | | |
| 833. Tesla Inc (TSLA) | | None | | | Buy | 01/14/22 | M | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 834. | | | | | Buy (add'l) | 02/23/22 | M | | |
| 835. | | | | | Buy (add'l) | 05/25/22 | L | | |
| 836. | | | | | Sold | 08/22/22 | N | | |
| 837. Wells Fargo & Co (WFC) | B | Dividend | K | T | | | | | |
| 838. Wynn Resorts Ltd (WYNN) | | None | M | T | | | | | |
| 839. CALL NTNX EXP 1/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 840. CALL IIVI EXP 1/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 841. CALL CYBR EXP 01/21/2022 | | None | | | Expired | 01/21/22 | J | | |
| 842. CALL CRSP EXP 02/18/22 | | None | | | Buy | 01/11/22 | J | | |
| 843. | | | | | Expired | 02/18/22 | J | | |
| 844. CALL TSLA 02/18/22 | | None | | | Buy | 01/13/22 | J | | |
| 845. | | | | | Expired | 02/18/22 | J | | |
| 846. CALL WYNN EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 847. | | | | | Expired | 02/18/22 | J | | |
| 848. CALL PING EXP 03/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 849. | | | | | Expired | 03/18/22 | J | | |
| 850. CALL IIVI EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 851. | | | | | Sold | 02/18/22 | J | | |
| 852. CALL CRSP 70 EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 853. | | | | | Expired | 02/18/22 | J | | |
| 854. CALL CRSP 65 EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 855. | | | | | Expired | 02/18/22 | J | | |
| 856. CALL CYBR EXP 02/18/22 | | None | | | Buy | 01/25/22 | J | | |
| 857. | | | | | Expired | 02/18/22 | J | | |
| 858. CALL NTNX EXP 03/18/22 | | None | | | Buy | 02/24/22 | J | | |
| 859. | | | | | Expired | 03/18/22 | J | | |
| 860. CALL WYNN EXP 03-18-22 | | None | | | Buy | 02/24/22 | J | | |
| 861. | | | | | Expired | 03/18/22 | J | | |
| 862. CALL IlVI EXP 03-18-22 | | None | | | Buy | 02/24/22 | J | | |
| 863. | | | | | Expired | 03/18/22 | J | | |
| 864. CALL CYBR EXP 03-18-22 | | None | | | Buy | 02/24/22 | J | | |
| 865. | | | | | Sold | 03/18/22 | J | | |
| 866. CALL CRSP EXP 03-18-22 | | None | | | Buy | 02/24/22 | J | | |
| 867. | | | | | Sold | 03/18/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 868. CALL CRSP 70 EXP 04-14-22 | | None | | | Buy | 02/24/22 | J | | |
| 869. | | | | | Expired | 04/14/22 | J | | |
| 870. CALL GOSS EXP 03-18-22 | | None | | | Buy | 02/24/22 | J | | |
| 871. | | | | | Expired | 03/18/22 | J | | |
| 872. CALL IIVI EXP 04-14-22 | | None | | | Buy | 02/24/22 | J | | |
| 873. | | | | | Expired | 04/14/22 | J | | |
| 874. CALL ON EXP 04/14/22 | | None | | | Buy | 03/02/22 | J | | |
| 875. | | | | | Expired | 04/14/22 | J | | |
| 876. CALL PING EXP 05/20/22 | | None | | | Buy | 03/23/22 | J | | |
| 877. | | | | | Expired | 05/20/22 | J | | |
| 878. CALL GOSS EXP 05/20/22 | | None | | | Buy | 03/23/22 | J | | |
| 879. | | | | | Expired | 05/20/22 | J | | |
| 880. CALL NTNX EXP 05/20/22 | | None | | | Buy | 03/23/22 | J | | |
| 881. | | | | | Expired | 05/20/22 | J | | |
| 882. CALL IIVI EXP 05/20/22 | | None | | | Buy | 03/23/22 | J | | |
| 883. | | | | | Expired | 05/20/22 | J | | |
| 884. CALL CRSP 69 EXP 04/14/22 | | None | | | Buy | 03/23/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 885. | | | | | Expired | 04/14/22 | J | | |
| 886. CALL WYNN EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 887. | | | | | Expired | 05/20/22 | J | | |
| 888. CALL TSLA EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 889. | | | | | Expired | 05/20/22 | J | | |
| 890. CALL TDOC EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 891. | | | | | Expired | 05/20/22 | J | | |
| 892. CALL IIVI EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 893. | | | | | Expired | 05/20/22 | J | | |
| 894. CALL CRSP EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 895. | | | | | Expired | 05/20/22 | J | | |
| 896. CALL BMRN EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 897. | | | | | Expired | 05/20/22 | J | | |
| 898. CALL ON EXP 05/20/22 | | None | | | Buy | 04/19/22 | J | | |
| 899. | | | | | Expired | 05/20/22 | J | | |
| 900. CALL PING EXP 06/17/22 | | None | | | Buy | 05/24/22 | J | | |
| 901. | | | | | Expired | 06/17/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 902. CALL ON EXP 06/17/22 | | None | | | Buy | 05/24/22 | J | | |
| 903. | | | | | Expired | 06/17/22 | J | | |
| 904. CALL IIVI EXP 06/17/22 | | None | | | Buy | 05/24/22 | J | | |
| 905. | | | | | Expired | 06/17/22 | J | | |
| 906. CALL GOSS EXP 07/15/22 | | None | | | Buy | 05/24/22 | J | | |
| 907. | | | | | Sold | 07/18/22 | J | | |
| 908. CALL CRSP EXP 06/17/22 | | None | | | Buy | 05/24/22 | J | | |
| 909. | | | | | Sold | 06/21/22 | J | | |
| 910. CALL TDOC EXP 07/15/22 | | None | | | Buy | 05/24/22 | J | | |
| 911. | | | | | Sold | 07/15/22 | J | | |
| 912. CALL BMRN EXP 06/17/22 | | None | | | Buy | 05/24/22 | J | | |
| 913. | | | | | Expired | 06/17/22 | J | | |
| 914. CALL PING EXP 07/15/22 | | None | | | Buy | 06/22/22 | J | | |
| 915. | | | | | Sold | 07/15/22 | J | | |
| 916. CALL NTNX EXP 08/19/22 | | None | | | Buy | 06/22/22 | J | | |
| 917. | | | | | Sold | 08/22/22 | J | | |
| 918. CALL CRSP EXP 07/15/22 | | None | | | Buy | 06/22/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 919. | | | | | Sold | 07/18/22 | J | | |
| 920. CALL BMRN EXP 07/15/22 | | None | | | Buy | 06/22/22 | J | | |
| 921. | | | | | Sold | 07/18/22 | J | | |
| 922. CALL TDOC EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 923. | | | | | Expired | 08/19/22 | J | | |
| 924. CALL TDOC EXP 09/16/22 | | None | | | Buy | 07/19/22 | J | | |
| 925. | | | | | Expired | 09/16/22 | J | | |
| 926. CALL PING EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 927. | | | | | Sold | 08/22/22 | J | | |
| 928. CALL IIVI EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 929. | | | | | Sold | 08/22/22 | J | | |
| 930. CALL CYBR EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 931. | | | | | Sold | 08/22/22 | J | | |
| 932. CALL CRSP EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 933. | | | | | Sold | 08/22/22 | J | | |
| 934. CALL GOSS EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 935. | | | | | Sold | 08/22/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 936. CALL TSLA EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 937. | | | | | Sold | 08/22/22 | J | | |
| 938. CALL MU EXP 08/19/22 | | None | | | Buy | 07/19/22 | J | | |
| 939. | | | | | Sold | 08/22/22 | J | | |
| 940. CALL COHR EXP 09/16/22 | | None | | | Buy | 08/23/22 | J | | |
| 941. | | | | | Expired | 09/16/22 | J | | |
| 942. CALL GOSS EXP 09/16/22 | | None | | | Buy | 08/23/22 | J | | |
| 943. | | | | | Expired | 09/16/22 | J | | |
| 944. CALL CYBR EXP 09/16/22 | | None | | | Buy | 08/23/22 | J | | |
| 945. | | | | | Sold | 09/19/22 | J | | |
| 946. CALL CRSP EXP 09/16/22 | | None | | | Buy | 08/23/22 | J | | |
| 947. | | | | | Expired | 09/16/22 | J | | |
| 948. CALL NTNX EXP 09/16/22 | | None | | | Buy | 08/23/22 | J | | |
| 949. | | | | | Sold | 09/12/22 | J | | |
| 950. CALL WYNN EXP 11/18/22 | | None | | | Buy | 09/22/22 | J | | |
| 951. | | | | | Expired | 11/18/22 | J | | |
| 952. CALL TDOC EXP 11/18/22 | | None | | | Buy | 09/22/22 | J | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 953. | | | | | Expired | 11/18/22 | J | | |
| 954. CALL CRSP EXP 10/21/22 | | None | | | Buy | 09/22/22 | J | | |
| 955. | | | | | Expired | 10/21/22 | J | | |
| 956. CALL GOSS EXP 11/18/22 | | None | | | Buy | 09/22/22 | J | | |
| 957. | | | | | Expired | 11/18/22 | J | | |
| 958. CALL ON EXP 10/21/22 | | None | | | Buy | 09/22/22 | J | | |
| 959. | | | | | Sold | 10/24/22 | J | | |
| 960. CALL MU EXP 11/18/22 | | None | | | Buy | 10/25/22 | J | | |
| 961. | | | | | Expired | 11/18/22 | J | | |
| 962. CALL CRSP EXP 12/16/22 | | None | | | Buy | 10/25/22 | J | | |
| 963. | | | | | Buy (add'l) | 10/27/22 | J | | |
| 964. | | | | | Expired | 12/16/22 | J | | |
| 965. CALL ON EXP 11/18/22 | | None | | | Buy | 10/25/22 | J | | |
| 966. | | | | | Sold | 11/18/22 | J | | |
| 967. CALL BEAM EXP 12/16/22 | | None | | | Buy | 10/25/22 | J | | |
| 968. | | | | | Expired | 12/16/22 | J | | |
| 969. CALL AKRO 55 EXP 01/20/23 | | None | J | T | Buy | 11/22/22 | J | | |

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

| Name of Person Reporting | Date of Report |
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## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 970. CALL AKRO 50 EXP 01/20/23 | | None | J | T | Buy | 11/22/22 | J | | |
| 971. CALL COHR EXP 01/20/23 (Y) | | | | | | | | | |
| 972. CALL WYNN 85 EXP 01/20/23 | | None | J | T | Buy | 11/22/22 | J | | |
| 973. CALL WYNN 80 EXP 01/20/23 | | None | J | T | Buy | 11/22/22 | J | | |
| 974. CALL MU EXP 01/20/23 (Y) | | | | | | | | | |
| 975. CALL GOSS 15 EXP 01/20/23 (Y) | | | | | | | | | |
| 976. CALL GOSS 12.5 EXP 01/20/23 | | None | J | T | Buy | 11/22/22 | J | | |
| 977. CALL BEAM 01/20/23 (Y) | | | | | | | | | |
| 978. CALL CRSP 60 EXP 02/17/23 (Y) | | | | | | | | | |
| 979. CALL CRSP 50 EXP 01/20/23 (Y) | | | | | | | | | |
| 980. Account #11 (H) | | | | | | | | | |
| 981. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 982. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 983. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 984. Coherent Corp (COHR) | | None | J | T | | | | | |
| 985. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 986. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 987. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 988. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 989. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 990. Account #12 (H) | | | | | | | | | |
| 991. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 992. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 993. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 994. Coherent Corp (COHR) | | None | J | T | | | | | |
| 995. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 996. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 997. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 998. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 999. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 1000. Account #13 (H) | | | | | | | | | |
| 1001. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 1002. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 1003. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

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|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1004. Coherent Corp (COHR) | | None | J | T | | | | | |
| 1005. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 1006. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 1007. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 1008. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 1009. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 1010. Account #14 (H) | | | | | | | | | |
| 1011. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 1012. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 1013. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 1014. Coherent Corp (COHR) | | None | J | T | | | | | |
| 1015. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 1016. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 1017. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 1018. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 1019. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 1020. Account #15 (H) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1021. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 1022. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 1023. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 1024. Coherent Corp (COHR) | | None | J | T | | | | | |
| 1025. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 1026. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 1027. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 1028. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 1029. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 1030. Account #16 (H) | | | | | | | | | |
| 1031. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 1032. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 1033. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 1034. Coherent Corp (COHR) | | None | J | T | | | | | |
| 1035. Editas Medicine Inc Shs (EDIT) | | None | J | T | Buy | 01/12/22 | J | | |
| 1036. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 1037. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1038. Vivendi SHS UNSP ADR (VIVHY) (Y) | | | | | | | | | |
| 1039. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy (add'l) | 01/12/22 | J | | |
| 1040. Account #17 (H) | | | | | | | | | |
| 1041. Anheuser-Busch Inbev ADR (BUD) | A | Dividend | J | T | | | | | |
| 1042. BP PLC (BP) | A | Dividend | J | T | | | | | |
| 1043. Carnival Corp Paired Shs (CCL) (Y) | | | | | | | | | |
| 1044. Coherent Corp (COHR) | | None | J | T | | | | | |
| 1045. Editas Medicine Inc Shs (EDIT) (Y) | | | | | | | | | |
| 1046. Norweigan Crusie Line (NCLH) | | None | J | T | | | | | |
| 1047. Starbucks Corp (SBUX) | A | Dividend | J | T | | | | | |
| 1048. Valero Energy Corp (VLO) | A | Dividend | J | T | | | | | |
| 1049. Calamos Strat Tot Return (CSQ) | A | Dividend | J | T | Buy | 01/12/22 | J | | |
| 1050. Account #18 (H) | | | | | | | | | |
| 1051. Carnival Corp (CCL) (Y) | | | | | | | | | |
| 1052. Exxon Mobil (XOM) | A | Dividend | J | T | | | | | |
| 1053. Calamos Strat Tot Return (CSQ) (Y) | | | | | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: This entry represents a limited partnership interest. The entity was duly registered in the state of Florida. Its business offices and operations are located in another state and run by an individual whose information is not subject to this disclosure. As a limited partner, the filer has no knowledge of, and no control over, the specific assets or activities of the limited partnership; his interest is reported per page 24 of the Alphabetical Reference. All holdings in which the filer, or any other individual subject to this disclosure, has a personal ownership share are separately identified in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts; they do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII, lines 70, 139, 151, 201, 204, 769, 770, and 773-777: These assets became unreportable without corresponding reportable transactions (Y) following reportable buys of "J" on 11/21/22.

Part VII, line 231: This asset became unreportable without a corresponding reportable transaction (Y) following a reportable partial sale of "J" on 8/3/22 without gain, and a reportable buy(add'l) of "J" on 9/20/22.

Part VII, lines 454, 1045, amd 1053: These assets became unreportable without corresponding reportable transactions (Y) following reportable buys of "J" on 1/12/22.

Part VII, line 462: This asset became unreportable without a corresponding reportable transaction (Y) following a reportable sale of "J" on 1/7/22 without gain, and a reportable buy of "J" on 2/9/22.

Part VII, line 482: This asset became unreportable without a corresponding reportable transaction (Y) following a reportable buy of "J" on 11/19/22.

Part VII, line 488: This asset became unreportable without a corresponding reportable transaction (Y) following a reportable buy(add'l) of "J" on 11/18/22.

Part VII, line 778: This asset became unreportable without a corresponding reportable transaction (Y) following a reportable buy of "J" on 12/13/22.

Part VII, lines 779, 780, and 782: These assets became unreportable without corresponding reportable transactions (Y) following reportable buys of "J" on 12/19/22.

Part VII, lines 971, 974, and 975: These assets became unreportable without corresponding reportable transactions (Y) following reportable buys of "J" on 11/22/22.

Part VII, lines 977-979: These assets became unreportable without corresponding reportable transactions (Y) following reportable buys of "J" on 12/20/22.

| Name of Person Reporting | Date of Report |
|---|---|
| **Moody, Jr., James S.** | 09/29/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ James S. Moody, Jr.**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
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