AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Moody, Jr., James S.	U.S. District Court, Middle District of Florida	03/13/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge - Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court 801 North Florida Avenue Tampa, FL 33602		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (reportable assets are listed in Part VII)
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Merrill Lynch	Margin Loan	N
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Moody, Jr., James S.	03/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Individual Assets (H)									
2. Truist (cash)	A	Interest	K	T					
3. Moody Investments Family Limited Partnership - [redacted]	G	Int./Div.	P2	U					
4. Missouri Midway, LLC		None	K	U					
5. Investment Property #1, Longboat Key, FL	E	Rent	O	W					
6. Investment Property #2, Celo, NC		None	O	W					
7. Investment Property #4, Highlands, NC - [redacted]	E	Rent	P1	W					
8. Investment Property #5, Plant City, FL	F	Rent	O	W					
9. Investment Property #6, Tampa, FL - [redacted]	E	Rent	N	W					
10. Investment Property #7, Tampa FL - [redacted]	E	Rent	N	W					
11. Investment Property #8, Tampa FL - [redacted]	E	Rent	N	W					
12. Investment Property #9, Tampa FL - [redacted]	E	Rent	O	W					
13. Investment Property #10, Tampa FL - [redacted]	E	Rent	N	W					
14. Investment Property #11, Tampa FL - [redacted]	E	Rent	N	W					
15. Investment Property #12, Treasure Island, FL (50% interest) - [redacted]	E	Rent	M	W					
16. Investment Property #13, Treasure Island, FL (50% interest) - [redacted]	E	Rent	M	W					
17. Investment Property #15, Highlands, NC - [redacted]		None	P1	W					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Gulf to Bay Partners, LLC (real estate, Clearwater, FL) (9% interest)	D	Rent	N	W					
19.									
20. Account #1 - [redacted] (H)									
21. ML Bank Deposit Program (cash)	D	Int./Div.	O	T					
22. Akoustis Technologies (AKTS)		None	J	T					
23. Alector (ALEC) (transfer in)		None	K	T					
24. Aurora Innovation Inc (AUR)		None	J	T					
25. Canopy Growth Corp (CGC)		None	J	T					
26. Carnival Corp (CCL) (transfer)		None	L	T					
27. Editas Medicine Inc Shs (EDIT)		None	K	T					
28. Eventbrite Inc Reg Shs Cl A (EB)		None	K	T					
29. Everspin Technologies (MRAM)		None	K	T					
30. Fathom Digital MFG Corp (FATH)		None	J	T					
31. Genius Sports Ltd (GENI)		None	K	T	Buy	10/23/23	J		
32. Gossamer Bio Inc (GOSS)		None	K	T					
33. Homology Medicines INC (FIXX)		None	J	T					
34. Invitae Corp (NVTA)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
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3. Value Method Codes (See Column C2)
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35. Norwegian Cruise Line Holdings Ltd (NCHL)		None	L	T					
36. OKTA Inc Reg SHS (OKTA)		None	M	T	Sold (part)	01/23/23	L		
37.					Buy (add'l)	01/23/23	L		
38.					Sold (part)	03/17/23	L		
39. Pliant Therapeutics Inc (PLRX)		None	K	T					
40. Regions Financial Corp (RF)		None	K	T					
41. Rubicon Technologies (RBT)		None	J	T					
42. Sana Biotechnology Inc (SANA)		None	J	T					
43. Solid Biosciences Inc (SLDB)		None	J	T					
44. Sorrento Therapeutics (SRNE)		None	J	T					
45. Tempo Automation (TMPO)		None	J	T					
46. Truist Financial Corp (TFC)		None	L	T					
47. Vicarious Surgical Inc (RBOT)		None	J	T					
48. Wells Fargo & CO (WFC)	B	Dividend	L	T					
49. Wynn Resorts, Limited (WYNN)		None	M	T					
50. Yext Inc (YEXT)		None	J	T					
51. Calamos Strat Tot Return (CSQ)	D	Dividend	K	T	Buy (add'l)	02/01/23	J		

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52. WT03 Aurora Innovations Inc (AUROW) (Y)									
53. WT04 Fathom Digital Manufacturing (FATHW)		None	J	T					
54. WT Rubicon Technologies (RBTCW)		None	J	T					
55. PLRX CALL EXP 1/19/2024		None	J	T					
56.									
57. Account #2 - [redacted] (H)									
58. ML Bank Deposit Program (cash)	A	Interest	N	T					
59. Akero Therapeutics Inc (AKRO)		None	J	T	Buy (add'l)	10/11/23	K		
60. Alector Inc (ALEC)		None	J	T					
61. Aurora Innovation Inv (AUR)		None	J	T					
62. Bank of America Corp (BAC)	D	Dividend	N	T					
63. BP PLC (BP)	A	Dividend	J	T	Sold (part)	01/23/23	K	B	
64. Canopy Growth Corp (CGC)		None	J	T					
65. Cibus Inc (CBUS) (Y)									
66. C3 AI (AI)		None	K	T					
67. Fisker Inc (FSR)		None	J	T					
68. Tilray Inc Reg Shs-2 (TLRY) (Y)									

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69. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
70. Yiren Digital Ltd (YRD)		None	J	T					
71. Zuora Inc CL A (ZUO)	A	Dividend	K	T					
72. Calamos Strat Tot Return (CSQ)	D	Dividend	K	T					
73.									
74. Account #3 (H) - [redacted]									
75. ML Bank Deposit Program (cash) (Y)									
76. BP PLC (BP)	A	Dividend	J	T					
77. Exxon Mobil Corp (XOM)	A	Dividend	K	T					
78. Valero Energy Corp (VLO)	A	Dividend	K	T					
79. Wells Fargo & Co (WFC)	A	Dividend	J	T					
80. TSLA PUT EXP 2/16/2024		None	J	T					
81. CRSPR PUT EXP 2/16/2024		None	J	T					
82. RCL PUT EXP 2/16/2024		None	J	T					
83. BEAM PUT EXP 1/19/2024		None	J	T					
84.									
85. Account #4 - [redacted] (H)									

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86. ML Bank Deposit Program (cash)	A	Interest	J	T					
87. BP PLC (BP)	A	Dividend	J	T					
88. Exxon Mobil Corp (XOM)	A	Dividend	J	T					
89. Travelers Cos Inc (TRV)	A	Dividend	J	T					
90. Truist Finl Corp (TFC)	A	Dividend	J	T					
91. Valero Energy Corp (VLO)	A	Dividend	J	T					
92. Wells Fargo & Co (WFC)	A	Dividend	J	T					
93.									
94. Account #5 - [redacted] (H)									
95. ML Bank Deposit Program (cash)	A	Interest	M	T					
96. ADC Therapeutics SA (ADCT)		None	J	T					
97. Ardelyx Inc Shs (ARDX)		None	K	T					
98. Avidity Biosciences Inc (RNA)		None	J	T					
99. Carnival Corp (CCL)		None	K	T					
100. Desktop Metal Inc. (DM)		None	J	T					
101. Editas Medicine Inc Shs (EDIT)		None	K	T					
102. Fathom Digital MFG Corp (FATH) (Y)									

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103. Gossamer Bio Inc (GOSS)		None	J	T					
104. Homology Medicines Inc. (FIXX)		None	J	T					
105. Invitae Corp (NVTA)		None	J	T					
106. Karyopharm Therapeutics (KPTI)		None	J	T					
107. Norwegian Cruis Line Holdings LTD (NCL)		None	M	T					
108. Pliant Therapeutics Inc (PLRX)		None	K	T					
109. Precision Biosciences (DTIL)		None	J	T					
110. Regions Financial Corp (RF)		None	K	T					
111. Sorrento Therapeutics (SRNE)		None	J	T					
112. Vicarious Surgical Inc (RBOT) (Y)									
113. Wynn Resorts, Limited (WYNN)		None	N	T					
114. Calamos Strat Tot Return (CSQ)	B	Dividend	J	T	Buy (add'l)	02/01/23	J		
115. WT Aurora Innovations Inc (AUROW)		None			Sold	01/12/23	J		
116. WT Fathom Digital Manufacturing (FATHW) (Y)									
117.									
118. Account #6 - [redacted] (H)									
119. ML Bank Deposit Program (cash)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)
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Moody, Jr., James S.	03/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120. Alector Inc (ALEC)		None	K	T					
121. Cibus Inc (CBUS) (Y)									
122. Fisker Inc (FSR)		None	J	T					
123. Harpoon Therapeutics (HARP)		None	J	T					
124. Lumentum Holdings Inc (LITE)		None	M	T	Buy (add'l)	03/20/23	L		
125.					Sold (part)	12/18/23	K		
126. Okta Inc (OKTA)		None	M	T	Buy (add'l)	06/15/23	M		
127.					Sold (part)	09/18/23	L		
128.					Sold (part)	09/18/23	L	D	
129.					Buy (add'l)	10/23/23	M		
130.					Sold	12/18/23	M	D	
131.					Buy (add'l)	12/18/23	M		
132. Pagerduty Inc (PD)		None	K	T	Buy	04/24/23	K		
133. Passage Bio Inc (PASG)		None	J	T					
134. Prevail Therapuetics (PRVL) (Y)									
135. Wynn Resorts LTD (WYNN)	A	Dividend	L	T	Buy (add'l)	06/28/23	M		
136.					Buy (add'l)	08/21/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Moody, Jr., James S.	03/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. OKTA CALL EXP 2/16/2024		None	J	T					
138. LITE CALL EXP 1/19/2024		None	J	T					
139. CRSPR PUT EXP 1/19/2024		None	J	T					
140. LITE PUT EXP 2/16/2024		None	J	T					
141. TSLA PUT EXP 2/16/2024		None	J	T					
142. COHR PUT EXP 2/16/2024		None	J	T					
143.									
144. Account #7 - [redacted] (H) Closed 2022									
145.									
146. Account #8 - [redacted] (H)									
147. Proctor & Gamble (PG)	A	Dividend	K	T					
148. Starbucks Corp (SBUX)	A	Dividend	K	T					
149. Travelers Cos Inc (TRV)	A	Dividend	K	T	Sold (part)	03/02/23	J	B	
150.					Sold (part)	11/27/23	J	B	
151. Valero Energy Corp (VLO)	A	Dividend	J	T					
152. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T	Sold	05/25/23	J	A	
153.									

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

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Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. Account #9 - [redacted] (H)									
155. ML Bank Deposit Program	A	Interest	L	T					
156. Biomarin Pharmaceutical Inc (BMRN)		None	L	T					
157. BLF Treasury Trust (cash)	A	Interest	K	T					
158. Carnival Corp (CCL)		None	L	T	Buy (add'l)	09/22/23	K		
159. Coherent Corp (COHR)		None			Sold (part)	01/23/23	L		
160.					Buy (add'l)	01/23/23	M		
161.					Sold (part)	02/21/23	K		
162.					Buy (add'l)	02/22/23	K		
163.					Sold	07/24/23	M		
164.					Sold	12/18/23	M	D	
165.					Buy (add'l)	12/18/23	M		
166. Cyber-Ark Software Ltd (CYBR)		None	N	T	Sold (part)	02/21/23	L		
167.					Sold	02/21/23	L		
168.					Sold (part)	05/22/23	M	D	
169.					Buy (add'l)	05/22/23	M		
170.					Sold (part)	05/22/23	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
171. Lumentum Holdings Inc (LITE)		None	L	T	Sold	01/23/23	L		
172.					Buy	01/23/23	L		
173.					Sold	12/18/23	K		
174.					Buy	12/18/23	K		
175. PENN Entertainment Inc (PENN)		None	L	T	Buy	07/31/23	K		
176.					Sold (part)	12/18/23	K		
177.					Buy (add'l)	12/18/23	K		
178. Revolution Medicines Inc (RVMD)		None	J	T					
179. Royal Caribbean Cruises Ltd (RCL)		None	M	T	Sold (part)	01/23/23	L		
180.					Buy (add'l)	01/23/23	L		
181.					Sold (part)	02/21/23	L		
182.					Sold (part)	02/21/23	L	D	
183.					Sold (part)	05/22/23	M	D	
184.					Buy (add'l)	09/22/23	L		
185.					Sold (part)	11/20/23	L		
186. Teladoc Health Inc (TDOC)		None	K	T	Buy	01/18/23	K		
187. Tesla Inc (TSLA)		None	M	T	Buy (add'l)	02/02/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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188.					Sold (part)	02/21/23	L		
189.					Buy (add'l)	02/22/23	K		
190.					Sold (part)	06/20/23	K		
191.					Buy (add'l)	07/24/23	K		
192.					Sold (part)	07/24/23	L		
193.					Sold (part)	12/18/23	M	E	
194. Truist Financial Corp (TFC)	D	Dividend	M	T	Buy	03/14/23	L		
195.					Buy (add'l)	03/20/23	K		
196.					Buy (add'l)	05/08/23	K		
197.					Buy (add'l)	08/21/23	K		
198. Wynn Resorts LTD (WYNN)	C	Dividend	N	T	Sold (part)	01/23/23	L		
199.					Sold (part)	01/23/23	L		
200.					Sold (part)	01/23/23	L		
201.					Buy (add'l)	01/23/23	M		
202.					Sold (part)	03/20/23	M	C	
203.					Buy (add'l)	03/20/23	M		
204.					Sold (part)	03/20/23	M		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
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M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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3. Value Method Codes (See Column C2)
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205.					Sold (part)	04/24/23	M	D	
206.					Buy (add'l)	04/24/23	M		
207.					Buy (add'l)	04/24/23	M		
208.					Buy (add'l)	09/22/23	L		
209. PENN CALL EXP 2/16/2024		None	J	T					
210. RVMD CALL EXP 1/19/2024		None	J	T					
211. WYNN CALL EXP 2/16/2024		None	J	T					
212. LITE CALL EXP 2/16/2024		None	J	T					
213. RCL CALL EXP 1/19/2024		None	K	T					
214. TSLA CALL EXP 2/16/2024		None	J	T					
215. TFC CALL EXP 1/19/2024		None	J	T					
216. COHR CALL EXP 2/16/2024		None	J	T					
217. CYBR CALL EXP 1/19/2024		None	L	T					
218. BMRN CALL EXP 1/19/2024		None	J	T					
219.									
220. Account #10 - [redacted] (H)									
221. ML Bank Deposit Program (cash)	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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222. Akero Therapeutics Inc (AKRO)		None	K	T					
223.					Sold (part)	04/24/23	K	E	
224.					Buy (add'l)	04/24/23	K		
225.					Sold	06/20/23	K	E	
226.					Buy	06/26/23	K		
227.					Sold	08/21/23	L	D	
228.					Buy	08/21/23	K		
229. Carnival Corp (CCL)		None	K	T					
230. Coherent Corp (COHR)		None	M	T	Buy (add'l)	01/23/23	L		
231.					Sold (part)	01/23/23	L		
232.					Sold (part)	02/21/23	L		
233.					Buy (add'l)	02/22/23	L		
234. Crispr Therapeutics AG (CRSP)		None	M	T					
235. Editas Medicine Inc (EDIT)		None	K	T					
236. Okta Inc (OTKA)		None	M	T	Sold (part)	09/18/23	K	B	
237.					Buy (add'l)	09/18/23	M		
238. On Semiconductor CRP Com (ON)		None	M	T	Buy	09/18/23	L		

1. Income Gain Codes: (See Columns B1 and D4)
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B =$1,001 - $2,500
C =$2,501 - $5,000
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E =$15,001 - $50,000
F =$50,001 - $100,000
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J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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239. PENN Entertainment Inc (PENN)		None	L	T	Buy	07/19/23	K		
240.					Sold (part)	12/18/23	K	B	
241. Wynn Resorts Ltd (WYNN)	A	Dividend	L	T	Buy (add'l)	01/23/23	M		
242.					Sold (part)	01/23/23	L		
243.					Sold (part)	01/23/23	L		
244.					Sold (part)	03/20/23	M	C	
245.					Buy (add'l)	04/24/23	M		
246.									
247. Account #11 - [redacted] (H)									
248. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
249. Annaly Capital (NLY) (Y)									
250. BP PLC (BP)	A	Dividend	J	T					
251. Canopy Growth (CGC) (Y)									
252. Carnival Corp Paired Shs (CCL)		None	J	T					
253. Coherent Corp (COHR)		None	J	T					
254. Editas Medicine Inc Shs (EDIT)		None	J	T					
255. Norweigan Crusie Line (NCLH)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000
F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000
N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market
U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
256. Starbucks Corp (SBUX)	A	Dividend	J	T					
257. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
258. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
259. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
260. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
261. OKTA CALL EXP 2/16/2024		None	J	T					
262. PENN CALL EXP 2/16/2024		None	J	T					
263. ON CALL EXP 1/19/2024		None	J	T					
264. ON CALL EXP 2/16/2024		None	J	T					
265. WYNN CALL EXP 2/16/2024		None	J	T					
266. COHR CALL EXP 2/16/2024		None	J	T					
267. CRSPR CALL EXP 2/16/2024		None	J	T					
268.									
269. Account #12 - [redacted] (H)									
270. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
271. Annaly Capital (NLY) (Y)									
272. BP PLC (BP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
273. Canopy Growth (CGC) (Y)									
274. Carnival Corp Paired Shs (CCL)		None	J	T					
275. Coherent Corp (COHR)		None	J	T					
276. Editas Medicine Inc Shs (EDIT)		None	J	T					
277. Norweigan Crusie Line (NCLH)		None	J	T					
278. Starbucks Corp (SBUX)	A	Dividend	J	T					
279. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
280. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
281. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
282. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
283.									
284. Account #13 - [redacted] (H)									
285. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
286. Annaly Capital (NLY) (Y)									
287. BP PLC (BP)	A	Dividend	J	T					
288. Canopy Growth (CGC) (Y)									
289. Carnival Corp Paired Shs (CCL)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
290. Coherent Corp (COHR)		None	J	T					
291. Editas Medicine Inc Shs (EDIT)		None	J	T					
292. Norweigan Crusie Line (NCLH)		None	J	T					
293. Starbucks Corp (SBUX)	A	Dividend	J	T					
294. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
295. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
296. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
297. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
298.									
299. Account #14 - [redacted] (H)									
300. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
301. Annaly Capital (NLY) (Y)									
302. BP PLC (BP)	A	Dividend	J	T					
303. Canopy Growth (CGC) (Y)									
304. Carnival Corp Paired Shs (CCL)		None	J	T					
305. Coherent Corp (COHR)		None	J	T					
306. Editas Medicine Inc Shs (EDIT)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
307. Norweigan Crusie Line (NCLH)		None	J	T					
308. Starbucks Corp (SBUX)	A	Dividend	J	T					
309. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
310. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
311. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
312. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
313.									
314. Account #15 - [redacted] (H)									
315. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
316. Annaly Capital (NLY) (Y)									
317. BP PLC (BP)	A	Dividend	J	T					
318. Canopy Growth (CGC) (Y)									
319. Carnival Corp Paired Shs (CCL)		None	J	T					
320. Coherent Corp (COHR)		None	J	T					
321. Editas Medicine Inc Shs (EDIT)		None	J	T					
322. Norweigan Crusie Line (NCLH)		None	J	T					
323. Starbucks Corp (SBUX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period: (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period: (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period: (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
324. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
325. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					
326. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
327. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
328.									
329. Account #16 - [redacted] (H)									
330. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
331. Annaly Capital (NLY) (Y)									
332. BP PLC (BP)	A	Dividend	J	T					
333. Canopy Growth (CGC) (Y)									
334. Carnival Corp Paired Shs (CCL)	A	None	J	T					
335. Coherent Corp (COHR)		None	J	T					
336. Editas Medicine Inc Shs (EDIT)		None	J	T					
337. Norweigan Crusie Line (NCLH)		None	J	T					
338. Starbucks Corp (SBUX)	A	Dividend	J	T					
339. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
340. Vivendi SHS UNSP ADR (VIVHY)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
341. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
342. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
343.									
344. Account #17 - [redacted] (H)									
345. Anheuser-Busch Inbev ADR (BUD)	A	Dividend	J	T					
346. BP PLC (BP)	A	Dividend	J	T					
347. Canopy Growth (CGC) (Y)									
348. Carnival Corp Paired Shs (CCL)		None	J	T					
349. Coherent Corp (COHR)		None	J	T					
350. Editas Medicine Inc Shs (EDIT)		None	J	T					
351. Norweigan Crusie Line (NCLH)		None	J	T					
352. Starbucks Corp (SBUX)	A	Dividend	J	T					
353. Valero Energy Corp (VLO)	A	Dividend	J	T					
354. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T					
355. Invesco (QQQ)	A	Dividend	J	T	Buy	10/20/23	J		
356. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
357.									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
358. Account #18 - [redacted] (H)									
359. Carnival Corp (CCL)		None	J	T					
360. Exxon Mobil (XOM)	A	Dividend	J	T					
361. Calamos Strat Tot Return (CSQ)	A	Dividend	J	T	Buy (add'l)	10/20/23	J		
362. Truist Financial Corp (TFC)	A	Dividend	J	T	Buy	03/14/23	J		
363.									
364. Account #19 - [redacted] (H)									
365. ML Bank Deposit Program	A	Interest	K	T					
366. AGNC Investment Corp (AGNC)	E	Dividend	M	T	Buy (add'l)	09/26/23	M		
367. Akoutis Technologies Inc (AKTS) (Y)									
368. Annaly Capital Management, Inc	E	Dividend	M	T					
369. Applovin Corp (APP)		None	K	T					
370. Ares Capital Corporation (ARCC)	E	Dividend	N	T					
371. Broadcom Inc (AVGO)	C	Dividend	M	T					
372. Canopy Growth Corp (CGC)		None	J	T					
373. Kinder Morgan Inc (KMI)	C	Dividend	L	T					
374. Nkarta Inc (NKTX)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
375. Solid Biosciences Inc (SLBD)		None	J	T					
376. Two Harbors Investment Corp (TWO)	E	Dividend	N	T	Buy (add'l)	05/02/23	L		
377.					Buy (add'l)	05/04/23	L		
378.					Buy (add'l)	09/26/23	L		
379. Valero Energy Corporation (VLO)	C	Dividend	M	T					
380. Zuora Inc (ZUO)		None	K	T					
381. Calamos (CSQ)	E	Dividend	M	T					
382. Columbia Dividend	D	Dividend	N	T					
383.									
384. Separate transactions occurring in 2023									
385. CYBR		None			Buy (add'l)	01/13/23	L		
386. ON		None			Buy	01/23/23	L		
387. MELI		None			Sold	01/23/23	L		
388. OKTA		None			Sold	01/23/23	M		
389. OKTA		None			Buy	01/23/23	M		
390. PD		None			Sold	01/23/23	K		
391. HRTX		None			Buy (add'l)	01/23/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
392. CYBR		None			Buy (add'l)	01/13/23	L		
393. TSLA		None			Buy (add'l)	01/23/23	K		
394. BP		None			Sold	01/23/23	K	D	
395. CYBR CALL		None			Sold	01/18/23	J		
396. OKTA CALL		None			Sold	01/23/23	J		
397. MRAM CALL		None			Sold	01/23/23	J		
398. BMRN PUT		None			Sold	01/23/23	J		
399. NTNX PUT		None			Sold	01/23/23	J		
400. WYNN PUT		None			Sold	01/23/23	J		
401. CYBR CALL		None			Sold	01/23/23	J		
402. WYNN CALL		None			Sold	01/23/23	J		
403. BEAM CALL		None			Sold	01/23/23	J		
404. CRSPR CALL		None			Sold	01/23/23	J		
405. COHR CALL		None			Sold	01/23/23	J		
406. AKRO CALL		None			Sold	01/23/23	J		
407. AKRO CALL		None			Sold	01/23/23	J		
408. MU CALL		None			Sold	01/23/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
409. TDOC CALL		None			Sold	01/18/23	J		
410. RCL CALL		None			Sold	01/23/23	J		
411. VMW CALL		None			Sold	01/23/23	J		
412. RCL CALL		None			Sold	01/23/23	J		
413. WYNN CALL		None			Sold	01/23/23	J		
414. LITE CALL		None			Sold	01/23/23	J		
415. CYBR CALL		None			Sold	01/23/23	J		
416. CYBR CALL		None			Sold	01/23/23	J		
417. CRSPR CALL		None			Sold	01/23/23	J		
418. COHR CALL		None			Sold	01/23/23	J		
419. MU CALL		None			Sold	01/23/23	J		
420. MU CALL		None			Sold	01/23/23	J		
421. OKTA CALL		None			Sold	01/23/23	J		
422. BMRN PUT		None			Sold	01/23/23	J		
423. PD PUT		None			Sold	01/23/23	J		
424. NTNX PUT		None			Sold	01/23/23	J		
425. WYNN PUT		None			Sold	01/23/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
426. LITE PUT		None			Sold	01/23/23	J		
427. RCL PUT		None			Sold	01/23/23	J		
428. TSLA		None			Buy (add'l)	02/02/23	K		
429. TSLA CALL		None			Sold	01/30/23	J		
430. OKTA CALL		None			Sold	01/30/23	J		
431. TSLA CALL		None			Sold	02/01/23	J		
432. CYBR		None			Sold (part)	02/21/23	M	E	
433. CYBR		None			Sold	02/21/23	M		
434. TSLA		None			Sold	02/21/23	K		
435. CYBR		None			Buy	02/22/23	M		
436. PRST		None			Sold	02/24/23	J		
437. SPRO		None			Sold	02/24/23	J		
438. HRTX		None			Sold (part)	02/24/23	J		
439. SPRO		None			Sold	02/24/23	J		
440. ARCT		None			Sold	02/24/23	L		
441. HRTX		None			Sold	02/24/23	J		
442. PRLX CALL		None			Sold	02/22/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
443. BMRN PUT		None			Sold	02/22/23	J		
444. WYNN PUT		None			Sold	02/22/23	J		
445. OKTA PUT		None			Sold	02/22/23	J		
446. TSLA PUT		None			Sold	02/22/23	J		
447. ON CALL		None			Sold	02/22/23	J		
448. CYBR CALL		None			Sold	02/22/23	J		
449. COHR CALL		None			Sold	02/22/23	J		
450. CRSPR CALL		None			Sold	02/22/23	J		
451. BRMN PUT		None			Sold	02/22/23	J		
452. TSLA PUT		None			Sold	02/22/23	J		
453. WYNN PUT		None			Sold	02/22/23	J		
454. CYBR PUT		None			Sold	02/22/23	J		
455. LITE PUT		None			Sold	02/22/23	J		
456. RCL PUT		None			Sold	02/22/23	J		
457. RCL PUT		None			Sold	02/22/23	J		
458. MU CALL		None			Sold	02/22/23	J		
459. WYNN CALL		None			Sold	02/22/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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460. CRSPR CALL		None			Sold	02/22/23	J		
461. LITE CALL		None			Sold	02/22/23	J		
462. TSLA CALL		None			Sold	02/22/23	J		
463. CSRPR CALL		None			Sold	02/22/23	J		
464. PLRX CALL		None			Sold	02/22/23	J		
465. OKTA CALL		None			Sold	02/22/23	J		
466. RNA CALL		None			Sold	02/22/23	J		
467. CYBR		None			Buy (add'l)	03/20/23	M		
468. TSLA		None			Buy (add'l)	03/20/23	K		
469. BEAM		None			Buy (add'l)	03/20/23	K		
470. C3AI		None			Buy	03/20/23	J		
471. AMZN		None			Buy	03/20/23	L		
472. RF		None			Buy (add'l)	03/20/23	K		
473. OSTK		None			Buy (add'l)	03/20/23	J		
474. OKTA		None			Sold (part)	03/20/23	L		
475. OKTA		None			Sold (part)	03/20/23	L		
476. OKTA		None			Sold (part)	03/20/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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477. OKTA		None			Buy (add'l)	03/20/23	L		
478. CYBR		None			Buy (add'l)	03/20/23	M		
479. RCL		None			Buy (add'l)	03/20/23	M		
480. TSLA		None			Buy (add'l)	03/20/23	K		
481. SNV		None			Buy	03/29/23	K		
482. MRAM CALL		None			Sold	03/20/23	J		
483. WYNN PUT		None			Sold	03/20/23	J		
484. TSLA CALL		None			Sold	03/20/23	J		
485. CYBR CALL		None			Sold	03/20/23	J		
486. OKTA PUT		None			Sold	03/20/23	J		
487. OKTA PUT		None			Sold	03/20/23	J		
488. MU CALL		None			Sold	03/20/23	J		
489. TSLA CALL		None			Sold	03/20/23	J		
490. CYBR CALL		None			Sold	03/20/23	J		
491. BEAM CALL		None			Sold	03/20/23	J		
492. COHR CALL		None			Sold	03/20/23	J		
493. AKRO CALL		None			Sold	03/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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494. TFC CALL		None			Sold	03/14/23	J		
495. MU CALL		None			Sold	03/20/23	J		
496. WYNN CALL		None			Sold	03/20/23	J		
497. TFC CALL		None			Sold	03/20/23	J		
498. RF CALL		None			Sold	03/20/23	J		
499. LITE CALL		None			Sold	03/20/23	J		
500. TSLA CALL		None			Sold	03/20/23	J		
501. CRSPR CALL		None			Sold	03/20/23	J		
502. AMZN CALL		None			Sold	03/20/23	J		
503. CRSPR CALL		None			Sold	03/20/23	J		
504. COHR CALL		None			Sold	03/20/23	J		
505. OKTA CALL		None			Sold	03/20/23	J		
506. WYNN PUT		None			Sold	03/20/23	J		
507. PD PUT		None			Sold	03/20/23	J		
508. NTXT PUT		None			Sold	03/20/23	J		
509. TSLA PUT		None			Sold	03/20/23	J		
510. OKTA PUT		None			Sold	03/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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511. PD PUT		None			Sold	03/20/23	J		
512. PD PUT		None			Sold	03/21/23	J		
513. OKTA PUT		None			Sold	03/21/23	J		
514. OKTA PUT		None			Sold	03/21/23	J		
515. NTNX		None			Buy	04/24/23	K		
516. OKTA		None			Buy (add'l)	04/24/23	L		
517. MU		None			Sold	04/24/23	L		
518. MU		None			Buy	04/24/23	L		
519. AMZN		None			Sold	04/24/23	L	D	
520. AMZN		None			Buy	04/24/23	M		
521. CRSPR		None			Sold (part)	04/24/23	K		
522. CRSPR		None			Buy (add'l)	04/24/23	L		
523. MU		None			Sold (part)	04/24/23	L		
524. MU		None			Buy (add'l)	04/24/23	L		
525. VMW		None			Sold	04/24/23	M		
526. VMW		None			Buy	04/24/23	M		
527. NTNX		None			Buy	04/24/23	K		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
528. TFC CALL		None			Sold	04/13/23	J		
529. SNV CALL		None			Buy (add'l)	04/13/23	K		
530. SNV CALL		None			Sold	04/13/23	J		
531. PLRX CALL		None			Sold	04/24/23	J		
532. WYNN PUT		None			Sold	04/24/23	J		
533. OKTA PUT		None			Sold	04/24/23	J		
534. WYNN CALL		None			Sold	04/24/23	J		
535. ON CALL		None			Sold	04/24/23	J		
536. CYBR CALL		None			Sold	04/24/23	J		
537. CYBR CALL		None			Sold	04/24/23	J		
538. CRSPR CALL		None			Sold	04/24/23	J		
539. MU CALL		None			Sold	04/24/23	J		
540. AKRO CALL		None			Sold	04/24/23	J		
541. BEAM CALL		None			Sold	04/25/23	J		
542. TSLA CALL		None			Sold	04/06/23	J		
543. RCL CALL		None			Sold	04/06/23	J		
544. TSLA CALL		None			Sold	04/06/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
545. CYBR CALL		None			Sold	04/06/23	J		
546. VMW CALL		None			Sold	04/24/23	J		
547. TFC CALL		None			Sold	04/24/23	J		
548. WYNN CALL		None			Sold	04/24/23	J		
549. WYNN CALL		None			Sold	04/24/23	J		
550. WYNN CALL		None			Sold	04/24/23	J		
551. TDCO CALL		None			Sold	04/24/23	J		
552. MU CALL		None			Sold	04/24/23	J		
553. LITE CALL		None			Sold	04/24/23	J		
554. MU CALL		None			Sold	04/24/23	J		
555. CRSPR CALL		None			Sold	04/24/23	J		
556. AMZN		None			Sold	04/24/23	J		
557. OKTA PUT		None			Sold	04/24/23	J		
558. PD CALL		None			Sold	04/24/23	J		
559. BMRN PUT		None			Sold	04/24/23	J		
560. WYNN PUT		None			Sold	04/24/23	J		
561. TSLA PUT		None			Sold	04/24/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
562. OKTA CALL		None			Sold	04/24/23	J		
563. PLRX CALL		None			Sold	04/24/23	J		
564. TFC		None			Buy (add'l)	05/08/23	L		
565. WYNN PUT		None			Sold	05/22/23	J		
566. CRSPR		None			Sold (part)	05/22/23	M		
567. ON		None			Sold	05/22/23	L	D	
568. OKTA CALL		None			Sold	05/22/23	J		
569. COHR CALL		None			Sold	05/22/23	J		
570. AKRO CALL		None			Sold	05/22/23	J		
571. TFC CALL		None			Sold	05/22/23	J		
572. TFC CALL		None			Sold	05/22/23	J		
573. PLRX CALL		None			Sold	05/23/23	J		
574. RCL PUT		None			Sold	05/22/23	J		
575. TSLA PUT		None			Sold	05/22/23	J		
576. CRSPR PUT		None			Sold	05/22/23	J		
577. RCL PUT		None			Sold	05/22/23	J		
578. BMRN PUT		None			Sold	05/22/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
579. AMZN PUT		None			Sold	05/22/23	J		
580. OKTA PUT		None			Sold	05/22/23	J		
581. WYNN PUT		None			Sold	05/22/23	J		
582. MU		None			Buy	05/22/23	L		
583. UAL		None			Buy	05/22/23	K		
584. WYNN CALL		None			Sold	05/22/23	J		
585. TSLA CALL		None			Sold	05/22/23	J		
586. LITE CALL		None			Sold	05/22/23	J		
587. MU CALL		None			Sold	05/22/23	J		
588. CRSPR CALL		None			Sold	05/22/23	J		
589. CYBR CALL		None			Sold	05/22/23	J		
590. COHR CALL		None			Sold	05/22/23	J		
591. UAL CALL		None			Sold	05/22/23	J		
592. AMZN		None			Sold	05/22/23	M	D	
593. MU		None			Sold	05/22/23	L		
594. UAL		None			Sold	05/22/23	K		
595. PLRX CALL		None			Buy (add'l)	05/04/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
596. PLRX CALL		None			Sold	05/23/23	J		
597. MRAM		None			Sold	05/31/23	K		
598. C3AI		None			Sold	06/12/23	J		
599. MRAM		None			Sold	06/20/23	K		
600. WYNN		None			Buy (add'l)	06/20/23	M		
601. C3AI		None			Sold	06/20/23	J		
602. MU		None			Sold	06/20/23	K	A	
603. NTNX		None			Sold	06/20/23	K	B	
604. TSLA		None			Sold (part)	06/20/23	K		
605. OKTA CALL		None			Sold	06/26/23	J		
606. TSLA PUT		None			Sold	06/26/23	J		
607. NTNX PUT		None			Sold	06/26/23	J		
608. OKTA PUT		None			Sold	06/26/23	J		
609. C3AI PUT		None			Sold	06/26/23	J		
610. MU		None			Buy	06/26/23	L		
611. WYNN CALL		None			Sold	06/26/23	J		
612. ATVI CALL		None			Sold	06/26/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
613. WYNN CALL		None			Sold	06/26/23	J		
614. MU CALL		None			Sold	06/26/23	J		
615. CYBR CALL		None			Sold	06/26/23	J		
616. BEAM CALL		None			Sold	06/26/23	J		
617. CABA		None			Sold	06/15/23	K	C	
618. CRSPR		None			Sold (part)	06/20/23	K		
619. MU		None			Sold	06/20/23	L		
620. TSLA		None			Sold (part)	06/20/23	K		
621. VMW		None			Sold	06/20/23	M		
622. PRST		None			Sold	06/22/23	J		
623. OKTA CALL		None			Sold	06/26/23	J		
624. CRSPR		None			Buy (add'l)	06/26/23	L		
625. MU		None			Buy	06/26/23	L		
626. WYNN CALL		None			Sold	06/26/23	J		
627. TSLA CALL		None			Sold	06/26/23	J		
628. WYNN CALL		None			Sold	06/26/23	J		
629. TDOC CALL		None			Sold	06/26/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
630. MU CALL		None			Sold	06/26/23	J		
631. CRSPR CALL		None			Sold	06/26/23	J		
632. CRSPR CALL		None			Sold	06/26/23	J		
633. CRSPR CALL		None			Sold	06/26/23	J		
634. MU		None			Buy	06/26/23	L		
635. CRSPR		None			Buy (add'l)	06/26/23	L		
636. MU CALL		None			Sold	06/26/23	J		
637. CRSPR CALL		None			Sold	06/26/23	J		
638. TDOC CALL		None			Sold	06/26/23	J		
639. WYNN CALL		None			Sold	06/26/23	J		
640. TSLA CALL		None			Sold	06/26/23	J		
641. RCL PUT		None			Sold	06/26/23	J		
642. RCL PUT		None			Sold	06/26/23	J		
643. TSLA PUT		None			Sold	06/26/23	J		
644. TSLA PUT		None			Sold	06/26/23	J		
645. OKTA CALL		None			Sold	06/26/23	J		
646. CRSPR		None			Buy (add'l)	06/26/23	L		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
647. OKTA		None			Buy (add'l)	06/26/23	M		
648. WYNN PUT		None			Sold	07/10/23	J		
649. SNV		None			Buy (add'l)	07/10/23	K		
650. PENN PUT		None			Sold	07/31/23	J		
651. BMRN		None			Buy	07/24/23	K		
652. OKTA CALL		None			Sold	07/24/23	J		
653. OKTA CALL		None			Sold	07/24/23	J		
654. TSLA PUT		None			Sold	07/24/23	J		
655. COHR PUT		None			Sold	07/24/23	J		
656. AMZN PUT		None			Sold	07/24/23	J		
657. TSLA		None			Buy (add'l)	07/24/23	K		
658. WYNN CALL		None			Sold	07/24/23	J		
659. WYNN CALL		None			Sold	07/24/23	J		
660. LITE CALL		None			Sold	07/24/23	J		
661. TSLA CALL		None			Sold	07/24/23	J		
662. MU CALL		None			Sold	07/24/23	J		
663. CYBR CALL		None			Sold	07/24/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
V =Other
W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
664. CRSPR CALL		None			Sold	07/24/23	J		
665. OSTK		None			Sold	07/24/23	J	B	
666. UAL		None			Sold	07/24/23	K		
667. PENN PUT		None			Sold	07/31/23	J		
668. CCL CALL		None			Sold	08/14/23	J		
669. CALL CALL		None			Sold	08/14/23	J		
670. BMRN		None			Buy	07/19/23	K		
671. BMRN CALL		None			Sold	08/09/23	J		
672. CCL CALL		None			Sold	08/14/23	J		
673. CCL CALL		None			Sold	08/14/23	J		
674. OKTA CALL		None			Sold	08/21/23	J		
675. PENN		None			Buy	08/21/23	K		
676. RCL PUT		None			Sold	08/21/23	J		
677. SNV		None			Buy (add'l)	08/21/23	K		
678. TSLA CALL		None			Sold	08/21/23	J		
679. TDOC CALL		None			Sold	08/21/23	J		
680. LITE CALL		None			Sold	08/21/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
681. CYBR CALL		None			Sold	08/21/23	J		
682. CRSPR CALL		None			Sold	08/21/23	J		
683. CRSPR CALL		None			Sold	08/21/23	J		
684. ATVI CALL		None			Sold	08/21/23	J		
685. PENN		None			Buy (add'l)	08/23/23	K		
686. MRAM		None			Buy	08/21/23	K		
687. MRAM CALL		None			Sold	08/21/23	J		
688. PENN		None			Buy (add'l)	08/21/23	K		
689. ON PUT		None			Sold	08/21/23	J		
690. TSLA PUT		None			Sold	08/21/23	J		
691. OKTA PUT		None			Sold	08/21/23	J		
692. RCL PUT		None			Sold	08/21/23	J		
693. ATVI PUT		None			Sold	08/21/23	J		
694. CYBR CALL		None			Sold	08/21/23	J		
695. AKRO CALL		None			Sold	08/21/23	J		
696. RCL CALL		None			Sold	08/21/23	J		
697. RFC		None			Buy (add'l)	08/25/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
698. SNV CALL		None			Sold	08/29/23	J		
699. COHR		None			Buy	09/14/23	K		
700. OKTA		None			Sold (part)	09/18/23	L		
701. C3AI		None			Buy (add'l)	09/18/23	K		
702. COHR		None			Buy (add'l)	09/18/23	L		
703. MU		None			Buy	09/18/23	L		
704. TSLA CALL		None			Sold	09/18/23	J		
705. ON CALL		None			Sold	09/18/23	J		
706. MU CALL		None			Sold	09/18/23	J		
707. OKTA CALL		None			Sold	09/19/23	J		
708. OKTA		None			Buy (add'l)	08/29/23	L		
709. OKTA CALL		None			Sold	08/29/23	J		
710. COHR		None			Buy (add'l)	09/08/23	L		
711. COHR		None			Buy (add'l)	09/13/23	K		
712. COHR		None			Buy (add'l)	09/14/23	L		
713. TSLA CALL		None			Sold	09/18/23	J		
714. MU CALL		None			Sold	09/18/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
715. BMRN CALL		None			Sold	09/19/23	J		
716. COHR CALL		None			Sold	09/18/23	J		
717. OKTA		None			Sold (part)	09/18/23	L		
718. OKTA		None			Sold (part)	09/18/23	L		
719. OKTA		None			Buy (add'l)	09/18/23	M		
720. OKTA CALL		None			Sold	09/18/23	J		
721. TSLA PUT		None			Sold	09/18/23	J		
722. OKTA PUT		None			Sold	09/18/23	J		
723. LITE CALL		None			Sold	09/18/23	J		
724. RVMD CALL		None			Sold	09/19/23	J		
725. COHR		None			Buy (add'l)	09/19/23	L		
726. COHR		None			Buy (add'l)	09/19/23	K		
727. COHR		None			Buy (add'l)	09/19/23	L		
728. OKTA PUT		None			Sold	09/22/23	J		
729. RCL CALL		None			Sold	09/22/23	J		
730. CCL CALL		None			Sold	09/22/23	J		
731. WYNN CALL		None			Sold	09/25/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
732. RCL		None			Buy (add'l)	10/19/23	K		
733. MRAM CALL		None			Sold	10/23/23	J		
734. RCL		None			Buy (add'l)	10/23/23	K		
735. ON PUT		None			Sold	10/23/23	J		
736. TSLA PUT		None			Sold	10/23/23	J		
737. NTNX PUT		None			Sold	10/23/23	J		
738. CCL		None			Buy (add'l)	10/23/23	J		
739. ON CALL		None			Sold	10/23/23	J		
740. WYNN CALL		None			Sold	10/23/23	J		
741. MU CALL		None			Sold	10/23/23	J		
742. RCL CALL		None			Sold	10/23/23	J		
743. OKTA CALL		None			Sold	10/23/23	J		
744. CYBR CALL		None			Sold	10/23/23	J		
745. CYBR CALL		None			Sold	10/23/23	J		
746. TSLA CALL		None			Sold	10/23/23	J		
747. PENN CALL		None			Sold	10/23/23	J		
748. RCL CALL		None			Sold	10/24/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
749. TSLA CALL		None			Sold	10/24/23	J		
750. RCL		None			Buy (add'l)	10/25/23	K		
751. RCL		None			Buy (add'l)	10/25/23	K		
752. OKTA		None			Buy (add'l)	10/23/23	M		
753. TSLA		None			Buy (add'l)	10/23/23	M		
754. OKTA CALL		None			Sold	10/23/23	J		
755. AMD PUT		None			Sold	10/23/23	J		
756. ATVI CALL		None			Buy	10/23/23	J		
757. WYNN CALL		None			Sold	10/23/23	J		
758. WYNN CALL		None			Sold	10/23/23	J		
759. MU CALL		None			Sold	10/23/23	J		
760. LITE CALL		None			Sold	10/23/23	J		
761. CRSPR CALL		None			Sold	10/23/23	J		
762. TSLA CALL		None			Sold	10/23/23	J		
763. CYBR CALL		None			Sold	10/23/23	J		
764. OKTA CALL		None			Sold	10/24/23	J		
765. TSLA		None			Buy (add'l)	10/25/23	M		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
766. ON		None			Buy	11/01/23	K		
767. ON		None			Buy	11/01/23	K		
768. CYBR PUT		None			Sold	11/20/23	J		
769. BEAM PUT		None			Sold	11/20/23	J		
770. C3AI CALL		None			Sold	11/20/23	J		
771. NLY		None			Sold (part)	11/20/23	K		
772. ON CALL		None			Sold	11/20/23	J		
773. CYBR CALL		None			Sold	11/20/23	J		
774. BEAM		None			Sold	11/20/23	K		
775. CYBR		None			Sold (part)	11/20/23	M	E	
776. CYBR		None			Sold (part)	11/20/23	M	E	
777. LITE CALL		None			Sold	11/20/23	J		
778. CRSPR PUT		None			Sold	11/20/23	J		
779. RCL PUT		None			Sold	11/20/23	J		
780. NTNX PUT		None			Sold	11/20/23	J		
781. AMD PUT		None			Sold	11/20/23	J		
782. RCL CALL		None			Sold	11/20/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
783. TFC CALL		None			Sold	11/20/23	J		
784. BMRN CALL		None			Sold	11/20/23	J		
785. CRSPR		None			Sold	11/20/23	M		
786. NTNX		None			Sold	11/20/23	K	D	
787. WYNN PUT		None			Sold	12/18/23	J		
788. TSLA PUT		None			Sold	12/18/23	J		
789. MU PUT		None			Sold	12/18/23	J		
790. CRSPR PUT		None			Sold	12/18/23	J		
791. RCL PUT		None			Sold	12/18/23	J		
792. OKTA		None			Sold (part)	12/18/23	M		
793. OKTA		None			Buy (add'l)	12/18/23	M		
794. OKTA CALL		None			Sold	12/18/23	J		
795. PENN CALL		None			Sold	12/18/23	J		
796. ON CALL		None			Sold	12/18/23	J		
797. WYNN CALL		None			Sold	12/18/23	J		
798. COHR CALL		None			Sold	12/18/23	J		
799. CRSPR CALL		None			Sold	12/18/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
800. MU		None			Sold	12/18/23	M	D	
801. RCL		None			Sold (part)	12/18/23	M		
802. SYN		None			Sold (part)	12/18/23	L	A	
803. TSLA		None			Sold (part)	12/18/23	L		
804. OKTA		None			Sold (part)	12/18/23	M		
805. OKTA CALL		None			Sold	12/18/23	J		
806. OKTA CALL		None			Sold	12/18/23	J		
807. LITE PUT		None			Sold	12/18/23	J		
808. TSLA PUT		None			Sold	12/18/23	J		
809. COHR PUT		None			Sold	12/18/23	J		
810. MU PUT		None			Sold	12/18/23	J		
811. OKTA PUT		None			Sold	12/18/23	J		
812. PENN CALL		None			Sold	12/18/23	J		
813. PENN CALL		None			Sold	12/18/23	J		
814. WYNN CALL		None			Sold	12/18/23	J		
815. WYNN CALL		None			Sold	12/18/23	J		
816. LITE CALL		None			Sold	12/18/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Moody, Jr., James S.	03/13/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
817. TSLA CALL		None			Sold	12/18/23	J		
818. COHR CALL		None			Sold	12/18/23	J		
819. MU		None			Sold	12/18/23	M	C	
820. SYN		None			Sold (part)	12/18/23	K	B	
821. WYNN CALL		None			Sold	12/18/23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Moody, Jr., James S.	03/13/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: This entry represents a limited partnership interest. The entity was duly registered in the state of Florida. Its business offices and operations are located in another state and run by an individual whose information is not subject to this disclosure. All holdings in which the filer, or any other individual subject to this disclosure, has a personal ownership share are separately identified in Part VII.

Part VII, Column B: Lines reflecting no income are so reported because none (no income) was attributed to those particular holdings during the reporting period.

Each put or call transaction is an independent contract creating the right, but not the obligation, to make future sales or purchases of specific assets. They are not fixed assets, therefore either purchases or sales of individual puts and calls can be used to either open or close contracts; they do not generate income. Options devalue based upon market conditions and expiration dates, therefore they routinely do not have value at the end of a reporting period; this occurs without any type of reportable transaction. Those options which have value at the end of the reporting period are reflected accordingly in Column C. Purchases and sales of any optioned holdings are reported separately from these contracts.

Part VII: I am providing all information to be fully transparent and in compliance of the statute. The report is organized by listing the assets of each account as of 12/31/2023 together with the transactions involving those assets that accrued during the year. All other transactions are listed at the end of the report (lines 385-821).

Part VII: (Y) represents an asset that is unreportable.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James S. Moody, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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