AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Morrison, Sarah D.	United States District Court, Southern District of Ohio	08/15/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Court Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S District Court 85 Marconi Blvd Columbas, OH 43215		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1991	Ohio Public Employees Retirement Plan (defined benefit pension plan)
2.		
3.		

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/15/2022

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2021	Self-employed (financial advisor)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. KEY BANK (cash)	A	Interest			Closed	07/28/21	K		
3. USAA BANK (cash)	A	Interest	L	T					
4. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE #1	A	Dividend			Redeemed	10/26/21	J		
5. NORTHWESTERN MUTUAL WHOLE LIFE INSURANCE #2	A	Dividend			Redeemed	10/26/21	J		
6. HUNTINGTON BANK (cash)		None	L	T					
7. STRATOS WEALTH HOLDINGS LLC	D	Distribution	L	U					
8. ACCOUNT #1 (H)									
9. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
10. GOLDMAN SACHS MONEY MKT (Cash equivalent)	A	Interest	L	T	Buy	08/31/21	K		
11. ALPHABET INC CL C (GOOG)		None	L	T	Buy	02/05/21	L		
12.					Sold (part)	12/23/21	J	C	
13. ALPHABET INC CL A (GOOGL)	A	Dividend	L	T	Buy	02/05/21	L		
14.					Sold (part)	12/23/21	J	C	
15. APPLE INC (AAPL)	A	Dividend	L	T	Buy	03/08/21	K		
16. CARRIER GLOBAL CORP (CARR)	A	Dividend			Sold	05/26/21	K	C	
17. CHEVRON CORP (CVX)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/15/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. CIGNA CORP NEW (Cl)	A	Dividend	K	T	Buy	03/10/21	K		
19. COCA-COLA COMPANY (KO)	C	Dividend	L	T					
20. ELI LILLY & CO LLY	A	Dividend	L	T	Buy	06/17/21	K		
21. EXXON MOBIL CORP (XOM)	B	Dividend	K	T	Sold (part)	05/06/21	J	C	
22. INTEL CORP (INTC)	B	Dividend	K	T					
23. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T	Buy (add'l)	02/05/21	K		
24. METAPLATFORMS (FB)		None	L	T	Buy	04/06/21	L		
25. MODERNA INC (MRNA)		None			Buy	03/03/21	J		
26.					Sold	05/06/21	K	C	
27. NEW ORIENTAL EDUCATION & TECH GROUP (EDU)		None			Buy (add'l)	04/20/21	K		
28.					Buy (add'l)	05/25/21	K		
29.					Sold	12/20/21	J		
30. PEPSICO INC (PEP)		None	K	T	Buy	10/20/21	K		
31. QUEST DIAGNOSTICS INC (DGX)	A	Dividend	K	T					
32. RAYTHEON TECHNOLOGIES (RTX)	A	Dividend	K	T					
33. ROCKET COS INC CL A (RKT)		None			Buy	05/06/21	K		
34.					Buy (add'l)	05/11/21	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.						Sold	12/23/21	K		
36.	SCHWAB CHARLES CORP NEW (SCHW)	A	Dividend	K	T	Buy (add'l)	02/05/21	K		
37.						Sold (part)	05/06/21	K	D	
38.						Sold (part)	12/23/21	K	D	
39.	VIATRIS INC (VTRS)	B	Dividend			Buy (add'l)	02/05/21	K		
40.						Buy (add'l)	02/24/21	K		
41.						Buy (add'l)	03/03/21	J		
42.						Sold	12/23/21	L		
43.	VROOM INC (VRM)		None			Buy	05/26/21	K		
44.						Sold	10/05/21	K		
45.	WELLS FARGO & CO NEW (WFC)	A	Dividend	L	T					
46.	DAVIS FUNDAMENTAL SELECT US EQUITY (DUSA)	C	Dividend	N	T	Sold (part)	05/26/21	M	E	
47.	DAVIS FUNDAMENTAL SELECT WORLDWIDE ETF (DWLD)	A	Dividend	L	T	Buy	02/05/21	M		
48.	DAVIS FUNDAMENTAL SELECT INTL ETF (DINT)	B	Dividend	L	T	Buy	05/26/21	L		
49.	ACCOUNT #2 (H)									
50.	LPL INSURED CASH ACCOUNT (CASH)		None	J	T	Open	06/30/21	J		
51.	NEW ORIENTAL EDUCATION & TECHNOLOGY GROUP INC (SEM)		None			Buy	07/01/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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52.					Sold	12/20/21	J		
53. ACCOUNT #3 (H)									
54. LPL INSURED CASH ACCOUNT (CASH)		None	J	T	Open	07/01/21	J		
55. NEW ORIENTAL EDUCATION & TECHNOLOGY GROUP INC (SEM)		None			Buy	07/01/21	J		
56.					Sold	12/20/21	J		
57. ACCOUNT #4 (H)									
58. OHIO DC LIFEPATH 2035	C	Int./Div.	L	T					
59. ACCOUNT #5 (H)									
60. NWMUTUAL MSA/BLACKROCK GOVT MONEY MARKET	A	Dividend			Sold	01/25/21	O		
61. ACCOUNT #6 (H)									
62. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
63. ALIBABA GROUP HOLDING LTD (BABA)		None	K	T	Buy (add'l)	12/06/21	K		
64. ALPHABET INC CL A (GOOGL)		None	K	T					
65. APPLE INC (AAPL)	A	Dividend	L	T	Buy (add'l)	03/04/21	J		
66.					Sold (part)	12/23/21	K		
67. DAVIS FUNDAMENTAL SELECT WORLDWIDE (DWLD)	A	Dividend	L	T					
68. ACCOUNT #7 (H)									

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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69. JPMORGAN CHASE BANK (cash)	A	Dividend	K	T					
70. ALPHABET INC CL C (GOOG)		None	K	T					
71. APPLE INC (AAPL)	A	Dividend	K	T					
72. BRISTOL MYERS SQUIBB (BMY)	A	Dividend			Sold	02/05/21	K		
73. COPART INC (CPRT)		None	K	T					
74. DNB ASA SPON ADR (DNHBY)	C	Dividend	K	T					
75. ELECTRAMECCANICA VEHS NEW (SOLO)		None			Buy	06/02/21	J		
76.					Sold	07/06/21	J		
77. HUNTINGTON BANCSHARES INC (HBAN)	A	Dividend	K	T	Buy (add'l)	08/30/21	J		
78. NEW ORIENTAL EDUCATION & TECHNOLOGY GROUP INC SPON ADR (EDU)		None			Buy	05/27/21	K		
79.					Sold	12/20/21	J		
80. SCHWAB CHARLES CORP (SCHW)	A	Dividend	K	T	Sold (part)	05/26/21	J	C	
81.					Sold (part)	12/23/21	J		
82. UP EXPERIENCE INC CL A (UP)		None	J	T	Buy	08/12/21	J		
83. ACCOUNT #8 (H)									
84. AMERICAN FUNDS, GROWTH FUND OF AMERICA 529-A	A	Dividend	J	T	Buy	09/01/21	J		

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85.					Buy (add'l)	10/01/21	J		
86.					Buy (add'l)	11/01/21	J		
87.					Buy (add'l)	12/01/21	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Account #5 is a NWM Individual Retirement Variable Annuity. The filer can select only the level of risk (aggressive) for this unit-based investment. Additional information on this investment is listed in Part VII to the best of the filer's information and belief.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 6 and 7: These items were inadvertently omitted from prior reports. Line 7 has no underlying assets.

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/15/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah D. Morrison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544