AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Morrison, Sarah D.	United States District Court, Southern District of Ohio	08/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S District Court 85 Marconi Blvd Columbas, OH 43215		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1991	Ohio Public Employees Retirement Plan (defined benefit pension plan)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Self-employed (financial advisor)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Goldman Sachs	Personal Line of Credit	L
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. INDIVIDUAL ASSETS (H)									
2. USAA BANK (cash)	A	Interest	L	T					
3. HUNTINGTON BANK (cash)		None	K	T					
4. STRATOS WEALTH HOLDINGS LLC	E	Distribution	L	U					
5. ACCOUNT #1 (H)									
6. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
7. ALPHABET INC CL C (GOOG)		None	L	T					
8. ALPHABET INC CL A (GOOGL)		None	L	T					
9. APPLE INC (AAPL)	A	Dividend	L	T	Sold (part)	07/18/23	K		
10. CHEVRON CORP (CVX)	B	Dividend	K	T					
11. CIGNA CORP NEW (Cl)	A	Dividend	K	T					
12. COCA-COLA COMPANY (KO)	C	Dividend	L	T	Sold (part)	10/09/23	K		
13. COSTCO WHOLESALE CORP (COST)	A	Dividend	L	T	Buy	03/28/23	K		
14. ELI LILLY & CO (LLY)	A	Dividend	M	T					
15. EXXON MOBIL CORP (XOM)	A	Dividend	K	T	Sold (part)	03/28/23	K	D	
16. FISERV INC (FISV)		None	L	T					
17. GSK PLC SPONSORED ADR NEW (GSK)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Morrison, Sarah D.	08/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. HALLIBURTON COMPANY (HAL)	A	Dividend	K	T					
19. HEWLETT PACKARD ENTERPRISE COMPANY (HPE)	A	Dividend			Sold	03/28/23	K		
20. HP INC (HPQ)	A	Dividend			Sold	03/28/23	K		
21. INTEL CORP (INTC)	B	Dividend	L	T					
22. ITURAN LOCATION AND CONTROL LTD SHS (ITRN)	A	Dividend	K	T	Buy	07/18/23	K		
23. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T					
24. LVMH MOET HENNESSY LOUIS VUITTON ADR (LVMUY)	A	Dividend	K	T	Buy	03/28/23	L		
25. META PLATFORMS (META)		None	M	T					
26. MOHAWK INDUSTRIES INC (MHK)		None			Sold	03/28/23	K		
27. OCCIDENTAL PETROLEUM CORP (OXY)		None	L	T	Buy	12/15/23	K		
28.					Buy (add'l)	12/21/23	K		
29. OLIN CORP NEW (OLN)	A	Dividend			Sold	08/01/23	L		
30. PEPSICO INC (PEP)	B	Dividend	K	T					
31. QUEST DIAGNOSTICS INC (DGX)	A	Dividend	K	T					
32. RTX CORP (RTX)	A	Dividend	K	T					
33. SCHWAB CHARLES CORP (SCHW)	A	Dividend			Sold	05/08/23	K		
34. WALGREENS BOOTS ALLIANCE INC (WBA)	A	Dividend	K	T	Buy	06/29/23	K		

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35. WALMART INC (WMT)		None	K	T	Buy	12/15/23	K		
36. WELLS FARGO & CO NEW (WFC)	C	Dividend	M	T	Buy (add'l)	03/15/23	J		
37. DAVIS FUNDAMENTAL SELECT US EQUITY (DUSA)	D	Dividend	N	T					
38. DAVIS FUNDAMENTAL SELECT WORLDWIDE ETF (DWLD)	A	Dividend	K	T					
39. ACCOUNT #2 (H)									
40. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash) (X)	A	Interest	J	T					
41. ALIBABA GROUP (BABA)		None			Sold	11/17/23	J		
42. ACCOUNT #3 (H)									
43. ALPHABET INC CL A (GOOGL)		None	J	T					
44. AMAZON.COM INC (AMZN)		None	J	T					
45. ACCOUNT #4 (H)									
46. AMERICAN FUNDS, AMCAP FUND-R5E	A	Dividend	J	T	Buy (add'l)	10/09/23	J		
47. AMERICAN FUNDS, NEW PERSPECTIVE FUND-R5E		None	K	T	Buy (add'l)	10/09/23	J		
48. AMERICAN FUNDS, FUNDAMENTAL INVESTORS-R5E	A	Dividend	J	T	Buy (add'l)	10/09/23	J		
49. ACCOUNT #5 (H)									
50. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash)	A	Interest	K	T					
51. ALIBABA GROUP HOLDING LTD (BABA)		None			Sold (part)	07/13/23	J		

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52.						Sold	11/17/23	K		
53.	ALPHABET INC CL A (GOOGL)		None	K	T					
54.	APPLE INC (AAPL)	A	Dividend	L	T					
55.	BLACKSTONE MORTGAGE TRUST INC CL A (BXMT)	C	Dividend	K	T					
56.	HALLIBURTON COMPANY (HAL)	A	Dividend	K	T					
57.	TESLA INC (TSLA)		None	L	T	Buy	01/04/23	K		
58.	WHEELS UP EXPERIENCE INC CL A (UP)		None	K	T	Buy	07/13/23	K		
59.						Sold (part)	12/27/23	K		
60.	ZOETIS INC CL A (ZTS)	A	Dividend	K	T	Buy	04/03/23	K		
61.	ACCOUNT #6 (H)									
62.	LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash) (Y)									
63.	ALPHABET INC CL C (GOOG)		None	K	T					
64.	AMAZON.COM INC (AMZN)		None	K	T					
65.	APPLE INC (AAPL)	A	Dividend	L	T					
66.	COPART INC (CPRT)		None	K	T					
67.	ESTEE LAUDER CO INC (EL)	A	Dividend	J	T	Buy	11/17/23	J		
68.	DNB ASA SPON ADR (DNHBY)		None			Sold	02/10/23	K	D	

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69. HUNTINGTON BANCSHARES INC (HBAN)	B	Dividend			Sold	10/09/23	K		
70. JPMORGAN 100% US TREAS MMKT PREMIER (VHPXX) (cash eq.)	B	Dividend			Buy	03/15/23	L		
71.					Sold (part)	10/16/23	K		
72.					Sold	11/17/23	J		
73. ACCOUNT #7 (H)									
74. LPL FINANCIAL INSURED DEPOSIT ACCOUNT (cash) (X)	A	Interest	J	T					
75. CALL ALIBABA GRP HLDG $130 EXP 06/16/23 (BABA) (Y)									
76. CALL GSK PLC $38 EXP 01/19/24 (GSK) (Y)									
77. CALL INTEL CORP $37.50 EXP 01/19/24 INTC		None			Sold	11/03/23	J		
78. CALL WALGREENS BOOTS $40 EXP 01/19/24 (WBA) (Y)									
79. ACCOUNT #8 (H)									
80. AMERICAN FUNDS GROWTH FUND OF AMERICA 529-A (CGFAX)	B	Dividend	K	T					
81. ACCOUNT #9 (H)									
82. AMERICAN FUNDS GROWTH FUND OF AMERICA 529-A (CGFAX)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah D. Morrison

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544