AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MOSS, RANDOLPH D.	U.S DISTRICT COURT, DISTRICT OF COLUMBIA	08/06/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
UNITED STATES DISTRICT JUDGE, ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022

7. Chambers or Office Address

E. BARRETT PRETTYMAN UNITED STATES COURTHOUSE
333 CONSTITUTION AVENUE, NORTHWEST
WASHINGTON D.C. 20001

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	LIMITED PARTNER	S.C. FUNDAMENTAL PARTNERSHIP
2.	ADVISOR	AMERICAN LAW INSTITUTE
3.	BOARD MEMBER	THE HISTORICAL SOCIETY OF THE D.C. CIRCUIT
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	04/2022	TRUSTEE FEES PAID FROM THE CASSILLY [redacted] TRUST
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	CHASE	CREDIT CARD OUSTANDING BALANCE AS OF 12/31/2022	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	08/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. FIDELITY [redacted] BROKERAGE ACCOUNT #1									
2. FIDELITY TREASURY MONEY MARKET (FZFXX)	A	Interest	J	T					
3.									
4. FIDELITY [redacted] REVOCABLE TRUST ACCOUNT									
5.									
6. FIDELITY TREASURY MONEY MARKET (FZFXX)	B	Interest	L	T					
7. VANGUARD INTERMEDIATE TERM TAX-EXEMPT FUND (VWIUX)	E	Dividend	O	T					
8. FIDELITY 500 INDEX FUND (FXAIX)	E	Dividend	P1	T					
9. FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	E	Dividend	P1	T					
10. SPDR TR UNIT SER 1 ETF (SPY)	D	Dividend	O	T					
11. I-SHARES 0-3 MONTH TREASURY BOND ETF (SGOV) (X)	D	Dividend			Sold	10/19/22	P1	B	
12. VANGUARD LTD TERM TAX-EXEMPT FUND (VMLUX)	D	Dividend	N	T					
13. I-SHARES TR SHORT TREASURY BONDS (SHV)	D	Dividend	P1	T	Buy	10/19/22	P1		
14.									
15. FIDELITY [redacted] REVOCABLE TRUST ACCOUNT									
16.									
17. FIDELITY TREASURY MONEY MARKET (FZFXX)	B	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	08/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18.	VANGUARD LIMITED TERM T/E FUND (VMLUX)	D	Dividend	O	T					
19.	EXXON MOBIL CORP COMMON STOCK (XOM)	D	Dividend	M	T					
20.	FIDELITY CONTRAFUND (FCNTX)	F	Dividend	N	T					
21.	VANGUARD 500 INDEX FUND (VFIAX)	D	Dividend	N	T					
22.	VANGUARD INT TRM T/E ADM FD (VWIUX)	C	Dividend	M	T					
23.	ISHARES RUSSELL 1000 GROWTH FUND (IWF)	D	Dividend	O	T					
24.	ISHARES ULTRA SHORT TERM BOND ETF (ICSH)	A	Dividend	M	T					
25.	UNION PAC CORP COMMON STOCK (UNP)	B	Dividend	L	T					
26.	JP MORGAN ULTRA SHORT INCOME ETF (JPST)	D	Dividend	O	T					
27.	CHEVRON CORP. (CVX)	B	Dividend	L	T					
28.	VANGUARD MID-CAP GROWTH INDEX FUND (VOT)	A	Dividend	K	T					
29.	ISHARES TR 0-3 MONTH TREASURY FUND (SGOV)	D	Dividend			Buy (add'l)	04/18/22	O		
30.	ISHARES TR 0-3 MONTH TREASURY FUND (SGOV)	D	Dividend			Sold	10/19/22	P1	B	
31.	ISHARES TR SHORT TREASURY BOND FUND (SHV)	D	Dividend	P1	T	Buy	10/19/22	P1		
32.										
33.	FIDELITY IRA ROLLOVER #1									
34.	FIDELITY CASH RESERVES	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35.	FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend	J	T					
36.	ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	A	Dividend	J	T					
37.										
38.	FIDELITY IRA ROLLOVER #2									
39.	FIDELITY CASH RESERVES	A	Interest	L	T					
40.	FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend			Sold	05/17/22	L	E	
41.	FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	A	Dividend			Sold	05/17/22	M	E	
42.	ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	A	Dividend	K	T					
43.	ISHARES TR S&P MIDCAP 400 (IJH)	A	Dividend	M	T					
44.	ISHARES ULTRA SHORT TERM BOND ETF (ICSH)	D	Dividend	P1	T					
45.	ISHARES 0-3 MONTH TREASURY BOND ETF (SGOV)	D	Dividend	O	T					
46.	JP MORGAN ULTRA SHORT INCOME ETF (JPST)	D	Dividend	N	T					
47.	SPDR SER TR PORTFOLIO S&P 500 (SPYV)	C	Dividend	M	T					
48.	LAZARD GLOBAL LISTED INFRASTRUCTURE FUND (GLIFX)	E	Dividend	M	T	Buy	05/17/22	M		
49.										
50.	IBM COMMON STOCK	A	Dividend	K	T					
51.	CHEVRON COMMON STOCK	A	Dividend	J	T					

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52. UTAH 529 EDUCATIONAL SAVINGS PLAN AGE-BASED MODERATE	A	Int./Div.			Distributed	12/31/22	K	A	
53. CITIBANK CASH ACCOUNTS	A	Interest	M	T					
54. SC FUNDAMENTAL PARTNERSHIP	A	Distribution			Distributed	12/31/22	J	A	
55. NEWMONT MINING CORPORATION COMMON STOCK	A	Dividend	J	T					
56. SOURCE CAPITAL INC. COMMON STOCK	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	08/06/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 52 - The Utah 529 Educational Savings Plan was fully distributed and closed down in 2022. The amount of the final distribution was $18,276.
Part VII, Line 54 - The investment in the SC Fundamental Partnership was closed in 2022. A final distribution of $906 was recieved along with a final K-1 tax form indicating a 0% capital balance at year-end.

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	08/06/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ RANDOLPH D. MOSS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544