AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
MOSS, RANDOLPH D.	U.S DISTRICT COURT, DISTRICT OF COLUMBIA	07/05/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
UNITED STATES DISTRICT JUDGE, ACTIVE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023

7. Chambers or Office Address

E. BARRETT PRETTYMAN UNITED STATES COURTHOUSE
333 CONSTITUTION AVENUE, NORTHWEST
WASHINGTON D.C. 20001

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	ADVISOR	AMERICAN LAW INSTITUTE
2.	BOARD MEMBER	THE HISTORICAL SOCIETY OF THE D.C. CIRCUIT
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	CHASE	CREDIT CARD OUSTANDING BALANCE AS OF 12/31/2023	K
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	07/05/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	FIDELITY [redacted] BROKERAGE ACCOUNT #1									
2.	FIDELITY TREASURY MONEY MARKET (FZFXX)	A	Interest	J	T					
3.										
4.	FIDELITY [redacted] REVOCABLE TRUST ACCOUNT									
5.										
6.	FIDELITY TREASURY MONEY MARKET (FZFXX)	C	Interest	K	T					
7.	VANGUARD INTERMEDIATE TERM TAX-EXEMPT FUND (VWIUX)	E	Dividend	P1	T					
8.	FIDELITY 500 INDEX FUND (FXAIX)	E	Dividend	P1	T					
9.	FIDELITY TOTAL MARKET INDEX FUND (FSKAX)	E	Dividend	P1	T					
10.	SPDR TR UNIT SER 1 ETF (SPY)	D	Dividend	P1	T					
11.	VANGUARD LTD TERM TAX-EXEMPT FUND (VMLUX)	D	Dividend	O	T					
12.	I-SHARES TR SHORT TREASURY BONDS (SHV)	E	Dividend			Sold	09/12/23	P1	C	
13.	I-SHARES TRUST 1-3 YEAR TREASURY BOND (SHY)	D	Dividend	P1	T	Buy	09/12/23	P1		
14.										
15.	FIDELITY [redacted] REVOCABLE TRUST ACCOUNT									
16.										
17.	FIDELITY TREASURY MONEY MARKET (FZFXX)	E	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	07/05/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. SCHWAB INTERNATIONAL INDEX FUND (SWISX)	E	Dividend	O	T	Buy	06/27/23	O		
19. VANGUARD LIMITED TERM T/E FUND (VMLUX)	D	Dividend	O	T					
20. EXXON MOBIL CORP COMMON STOCK (XOM)	D	Dividend	M	T					
21. FIDELITY CONTRAFUND (FCNTX)	D	Dividend			Sold	06/27/23	O	G	
22. VANGUARD 500 INDEX FUND (VFIAX)	D	Dividend	O	T					
23. VANGUARD INT TRM T/E ADM FD (VWIUX)	C	Dividend	M	T					
24. ISHARES RUSSELL 1000 GROWTH FUND (IWF)	D	Dividend	O	T					
25. ISHARES ULTRA SHORT TERM BOND ETF (ICSH)	D	Dividend	M	T					
26. UNION PAC CORP COMMON STOCK (UNP)	B	Dividend	L	T					
27. JP MORGAN ULTRA SHORT INCOME ETF (JPST)	E	Dividend	O	T					
28. CHEVRON CORP. (CVX)	B	Dividend	L	T					
29. VANGUARD MID-CAP GROWTH INDEX FUND (VOT)	A	Dividend	K	T					
30. ISHARES TR SHORT TREASURY BOND FUND (SHV)	E	Dividend			Sold	09/12/23	P1	C	
31. I-SHARES TRUST 1-3 YEAR TREASURY BOND (SHY)	D	Dividend	P1	T	Buy	09/12/23	P1		
32.									
33. FIDELITY IRA ROLLOVER #1									
34. FIDELITY CASH RESERVES	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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35. FIDELITY 500 INDEX FUND (FXAIX)	A	Dividend	J	T					
36. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	A	Dividend	J	T					
37.									
38. FIDELITY IRA ROLLOVER #2									
39. FIDELITY CASH RESERVES	D	Interest	M	T					
40. ISHARES CORE S&P TOTAL U.S. STOCK MARKET ETF (ITOT)	A	Dividend	K	T					
41. ISHARES TR S&P MIDCAP 400 (IJH)	C	Dividend	M	T					
42. ISHARES ULTRA SHORT TERM BOND ETF (ICSH)	E	Dividend	N	T	Sold (part)	09/13/23	O		
43. ISHARES 0-3 MONTH TREASURY BOND ETF (SGOV)	E	Dividend			Sold	09/20/23	O	C	
44. JP MORGAN ULTRA SHORT INCOME ETF (JPST)	E	Dividend	N	T					
45. SPDR SER TR PORTFOLIO S&P 500 (SPYV)	C	Dividend	M	T					
46. LAZARD GLOBAL LISTED INFRASTRUCTURE FUND (GLIFX)	D	Dividend	M	T					
47. BAIRD AGGREGATE BOND FUND INSTITUTIONAL (BAGIX)	D	Dividend	O	T	Buy	09/13/23	O		
48. ISHARES TRUST 1-3 YEAR TREASURY BOND ETF (SHY)	D	Dividend	O	T	Buy	09/13/23	O		
49.									
50. IBM COMMON STOCK	A	Dividend	K	T					
51. CHEVRON COMMON STOCK	A	Dividend	J	T					

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52.	CITIBANK CASH ACCOUNTS	A	Interest	M	T					
53.	NEWMONT MINING CORPORATION COMMON STOCK	A	Dividend	J	T					
54.	SOURCE CAPITAL INC. COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	07/05/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 52 - Citibank Cash Accounts. Filer's [redacted] as a trust beneficiary, recieved a final cash distribution from the [redacted] Trust in the amount of $11,878 on June 8th, 2023. This cash distribution is included in the year end balance for the Citibank Cash Accounts entry listed on Line 52 of Part VII.

Name of Person Reporting	Date of Report
MOSS, RANDOLPH D.	07/05/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ RANDOLPH D. MOSS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544