AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nagala, Sarala V.	United States District Court for the District of Connecticut	09/05/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States District Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Abraham Ribicoff Federal Building 450 Main Street Hartford, CT 06103		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #1 (no reportable assets)
2.	Fellow	Pauli Murray College, Yale University
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2012	Munger, Tolles & Olson LLP 401(k) Profit Sharing Retirement Plan with former law firm (reportable assets are listed in Part VII)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2022	[redacted] (salary)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	UC Berkeley School of Law	September 19-21, 2022	Berkeley, CA	Judges in Residence Program	Transportation, meals, lodging
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Jeanne Hoistad	Timeshare points	$3,125.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Nagala, Sarala V.	09/05/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Individual Assets (H)									
2.	Bank of America (cash)	A	Interest	M	T					
3.	First National Bank of Omaha (cash)	A	Interest	M	T					
4.	Stanford FCU (cash) (Y)									
5.	Account #1 (H)									
6.	Vanguard Target Retirement 2050 Investor Cl (VFIFX)	A	Dividend	K	T					
7.	Account #2 (H)									
8.	Vanguard Target Retirement 2050 Inv Fund (VFIFX)	A	Dividend	M	T					
9.	Account #3 (H)									
10.	TIAA Traditional - Target-Date Plus 2050		None	K	T					
11.	TIAA Traditional Annuity - Retirement Choice Plus (Y)									
12.	Vanguard Real Estate Index Institutional	A	Dividend	J	T					
13.	Vanguard Total Stock Market Index Fund Institutional Plus	A	Dividend	L	T	Buy (add'l)	03/31/22	J		
14.						Sold (part)	08/03/22	J		
15.						Sold (part)	11/01/22	J		
16.	Vanguard Developed Markets Index Institutional Plus Class	A	Dividend	K	T	Sold (part)	03/31/22	J		
17.						Sold (part)	05/02/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Nagala, Sarala V.	09/05/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. Vanguard Emerging Markets Stock Index Institutional Plus	A	Dividend	J	T	Buy (add'l)	03/31/22	J		
19. T. Rowe Price Retirement 2045 Fund	D	Dividend	L	T					
20. Account #4 (H)									
21. Franklin High Yield Tax Free Inc Cl A1	B	Dividend	K	T					
22. Account #5 (H)									
23. Wells Fargo Money Market Bank Deposit Sweep Fund (cash eq.) (Y)									
24. Account #6 (H)									
25. Fidelity Government Money Market Fund (SPAXX) (cash eq.) (Y)									
26. Fidelity Small Cap Discovery Fund (FSCRX)	D	Dividend	L	T					
27. Fidelity Contrafund (FCNTX)	C	Dividend	N	T					
28. Fidelity Select Software Fund (FSCSX)		None	K	T					
29. Fidelity Nasdaq Composite Index Fund ETF (ONEQ) (Y)									
30. Berkshire Hathaway B (BRKB)		None	L	T					
31. Shopify (SHOP) (Y)									
32. Account #7 (H)									
33. Fidelity Government Money Market Fund (SPAXX) (cash eq.) (X)	A	Dividend			Sold	01/02/22	J		
34. Vanguard Total Bond Market Index Admiral Cl (VBLTX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. Vanguard FTSE All World Ex U S Index Admiral Cl (VFWAX)	A	Dividend	J	T					
36. Vanguard Total Stock Market Index Admiral Cl (VTSAX)	B	Dividend	M	T	Buy (add'l)	01/05/22	J		
37. Amazon.com Inc (AMZN)	A	Dividend	J	T					
38. Doordash Inc (DASH) (Y)									
39. Draftkings Inc (DKNG) (Y)									
40. Mercadolibre Inc (MELI) (Y)									
41. Paypal Holdings Inc (PYPL) (Y)									
42. Salesforce.com Inc (CRM)		None	J	T					
43. Shopify Inc Cl A (SHOP)		None	J	T					
44. Zoom Video Communications Inc Cl A (ZM) (Y)									
45. Account #8 (H)									
46. Fidelity 529 CT Portfolio 2036		None	K	T					
47. Account #9 (H)									
48. Fidelity 529 CT Portfolio 2036		None	K	T	Buy (add'l)	07/15/22	J		
49. Account #10 (H)									
50. College Save Bank of ND 529 Aggressive Growth Portfolio - Direct		None	J	T					
51. Account #11 (H)									

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A =$1,000 or less
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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52.	College Save Bank of ND 529 Aggressive Growth Portfolio - Direct		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V, line 1: Value information is approximate.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 45 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarala V. Nagala

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544