AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2024

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Neumann, Stacey D.	U.S. District Court, Maine	07/31/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2024 **to** 12/31/2024
7. Chambers or Office Address		
Margaret Chase Smith Federal Building and Courthouse 202 Harlow Street Bangor, ME 04401		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Director (through July 31, 2024)	Murray, Plumb & Murray
2.	Member (through July 31, 2024)	Woodman Building Associates, LLC
3.	Commissioner (through May 31, 2024)	Maine Commission on Governmental Ethics and Election Practices
4.	Adjunct Faculty Member (through January 31, 2024)	Harvard Law School
5.	Member (through July 31, 2024)	Maine State Bar Association Board of Governors
6.	Member (through July 31, 2024)	Maine Association of Criminal Defense Lawyers Board of Directors
7.	Member (through July 31, 2024)	Scarborough Little League Board of Directors

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	07/31/2024	Woodman Building Associates: Promissory Note on Building Equity Buy-out from former Law Partners
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 07/31/2024	Murray, Plumb & Murray: income	$99,038.88
2. 10/1/2024	Woodman Building Associates, LLC: Building Equity Buy-Out	$2,344.72
3. 07/31/2024	Airbnb: rental income	$2,882.84
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2024	University of New England
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Neumann, Stacey D.	07/31/2025

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	American Education Services	Student Loan	J
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Neumann, Stacey D.	07/31/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	U.S. Savings Bonds	A	Interest	J	T					
2.	Eastern Bank Cash Accounts	A	Interest	K	T					
3.	Virginia Invest529 Age-based 2027 Portfolio	A	Int./Div.	L	T					
4.	NGNDT Ishares 2029 Enroll D	C	Int./Div.	K	T					
5.	BRBDT BLKRK Balanced Direct	C	Int./Div.	K	T					
6.	NGLDT 2033 Enroll D	C	Int./Div.	K	T					
7.	BFIDT Fix Inc. Direct	C	Int./Div.	K	T					
8.	AZJWD Matching Grant 0-15 Year	A	Int./Div.	J	T					
9.	SRANT Alfond Grant 2033 Enroll	A	Int./Div.	J	T					
10.	AZJUJ Alfond Grant 2029 Enroll	A	Int./Div.	J	T					
11.	NAAHR BLKRK Eqty Indx Direct	A	Int./Div.	J	T					
12.	NGDDT Ishares Dvsfd Eqty Direct	A	Int./Div.	J	T					
13.	NAAHN Blackrock 2027 Enroll	A	Int./Div.	J	T					
14.	NGSDT Ishares 2025 Enroll D	A	Int./Div.	J	T					
15.	NHACC Alfond Grant 2041 Enroll	A	Int./Div.	J	T					
16.	NGIDT Ishares 2041 Enroll	A	Int./Div.	J	T					
17.	Alphabet Inc Cl C		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Neumann, Stacey D.	07/31/2025

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. AT&T Inc.	A	Dividend	J	T					
19. Campbell Soup Company	A	Dividend	J	T					
20. Roku Inc Set A	A	Dividend	J	T					
21. VTIVX Vanguard target retirement 2045 Investor CL	B	Int./Div.	M	T					
22. GOODX Goodhaven	A	Int./Div.	J	T					
23. American Funds 2045 Target Date Fund R6	C	Dividend	M	T					
24. Maryland 529 Portfolio 2030	B	Int./Div.	K	T					
25. Vanguard Total Market Bond Index Fund	A	Int./Div.	J	T					
26. Vanguard Total Stock Market Index Fund	A	Int./Div.	J	T					
27. Vanguard Total International Bond Index Fund	A	Int./Div.	J	T					
28. Vanguard Total International Stock Index Fund	A	Int./Div.	J	T					
29. Nevada Short Term Reserves	A	Int./Div.	J	T					
30. Vanguard Dividend Appreciation ETF	A	Int./Div.	J	T					
31. Franklin Senior Loan ETF	A	Int./Div.	J	T					
32. SPDR Series Trust S&P Dividend ETF	A	Int./Div.	K	T					
33. Merck & Company Inc.	A	Dividend	J	T					
34. Organon & Co	A	Dividend	J	T					

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35. AT&T	A	Dividend	J	T					
36. Parnassus Core Equity Investor	A	Int./Div.	K	T					
37. Vang TOT BD MKT ADM	C	Int./Div.	K	T					
38. Vang MIDCAP IDX adm	C	Int./Div.	L	T					
39. VANG SM Cap IDX admin	C	Int./Div.	L	T					
40. Vang Tot INtl STK AD	C	Int./Div.	L	T					
41. Vang Tot STK Mkt Adm	C	Int./Div.	L	T					
42. Strategic Advisers FID Us Total	C	Int./Div.	K	T					
43. Fidelity SAI Emerg Markets Index	A	Int./Div.	J	T					
44. Strategic Advisors FID Emerging	A	Int./Div.	J	T					
45. Fidelity Sauneflation Focused Fd	A	Int./Div.	J	T					
46. Strategic Advisors Fidelity Cor.	A	Int./Div.	J	T					
47. Fidelity SAI High INcome Fund	A	Int./Div.	J	T					
48. Fidelity Advisor Strategic Inc CL 1	A	Int./Div.	J	T					
49. Strategic Advisors Fidelity INTL	A	Int./Div.	J	T					
50. Fidelity SAI US Treasury Bond INd	A	Int./Div.	J	T					
51. Fidelity SAI Low Duration Income	A	Int./Div.	J	T					

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52. Fidelity SAI Short Term Bond Fund	A	Int./Div.	J	T					
53. Fidelity Total Market Bond Index Fund	A	Int./Div.	J	T					
54. Fidelity Total Stock Market Index Fund	A	Int./Div.	J	T					
55. Fidelity Total International Bond Index Fund	A	Int./Div.	J	T					
56. Fidelity Total International Stock Index Fund	A	Int./Div.	J	T					
57. Fidelity Fidelity Capital & Income	A	Int./Div.	J	T					
58. Airbnb Oxford County, Maine	C	Rent	J	W					
59. Vanguard 529 - 500 Index	A	Int./Div.	J	T	Open	05/14/24	J		
60.									
61.									
62.									
63.									
64.									
65.									
66.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Income from the Maine Commission on Governmental Ethics and Election Practices was no longer reportable in Part III-A in 2024.

Moved Airbnb income from Part III-A to Part VII.

Moved Woodman Building Associates Income from Part III-A to Part VII. [I think it belongs in IIIA. it is not rent]

Name of Person Reporting	Date of Report
Neumann, Stacey D.	07/31/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacey D. Neumann

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544