AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Newman, Michael J.	United States District Court, Southern District of Ohio	11/18/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge-Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
200 West Second Street, Room 505 Dayton, Ohio 45402		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Board of Directors and Marketing Committee	Cincinnati Public Radio, Inc.
2.	Board of Directors	Federal Bar Association Foundation
3.	Board of Directors	Law and Leadership Institute
4.	Board of Directors	Greater Dayton Volunteer Lawyers Project (GDVLP)
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	University of Dayton School of Law, Adjunct Professor	$9,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	[redacted]-Teacher's Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Law & Economics Center	4/30/21	George Mason University Law School	Third Annual Antonin Scalia Forum	Lodging, Meals, travel expenses
2.	Law & Economics Center	8/7/21-8/13/21	Greenbrier Resort, White Silver Springs, West Virgnia	Analysis of legal cases and instruction on in-depth topics	No tuition. Lodging, meals, travel expenses
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Newman, Michael J.	11/18/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. Brokerage Account #1(H)									
2. - ishares S&P 500 Value ETF	A	Dividend	J	T	Buy	06/29/21	J		
3. - Gabelli Asset Fund	A	Dividend			Sold	06/24/21	J	A	
4. - iShares Trust MSCI EAFE Index	A	Dividend	J	T					
5. - SPDR S&P 500 ETF Trust	A	Dividend	K	T					
6. - Vanguard Total stock Market ETF	A	Dividend	J	T					
7. - US Bank	A	Interest	J	T					
8. - Vanguard FTSE All World Ex-USETF	A	Dividend	J	T					
9. - SPDR Euro Stoxx 50	A	Dividend	J	T					
10. - ishares Russell 1000 Growth etf	A	Dividend	J	T					
11. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	05/05/21	J		
12. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	06/29/21	J		
13. Brokerage Account #2 (H)									
14. - ishares S&P 500 Value ETF	A	Dividend	J	T	Buy	06/29/21	J		
15. - Gabelli Asset Fund	A	Dividend			Sold	06/24/21	J	A	
16. - iShares Trust MSCI EAFE Index	A	Dividend	J	T					
17. - SPDR S&P 500 ETF Trust	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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☐ NONE *(No reportable income, assets, or transactions.)*

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Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. - Vanguard Total stock Market ETF	A	Dividend	J	T					
19. - US Bank	A	Interest	J	T					
20. - Vanguard FTSE All World Ex-USETF	A	Dividend	J	T					
21. - SPDR Euro Stoxx 50	A	Dividend	J	T					
22. - iShares Russell 1000 Growth ETF	A	Dividend	J	T					
23. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	05/05/21	J		
24. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	06/29/21	J		
25. Brokerage Account #3(H)									
26. - ishares S&P 500 value etf	A	Dividend	J	T	Buy	06/29/21	J		
27. - Gabelli Asset Fund	A	Dividend			Sold	06/24/21	J	A	
28. - iShares Trust MSCI EAFE Index	A	Dividend	J	T					
29. - SPDR S&P 500 ETF Trust	A	Dividend	J	T					
30. - Vanguard Total stock Market ETF	A	Dividend	J	T					
31. - US Bank	A	Interest	J	T					
32. - Vanguard FTSE All World Ex-US ETF	A	Dividend	J	T					
33. - SPDR Euro Stoxx 50	A	Dividend	J	T					
34. - iShares Russell 1000 Growth ETF	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	05/05/21	J		
36. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	06/29/21	J		
37. Property in Beach Haven, New Jersey (Y)									
38. Brokerage Acccount #4(H)									
39. Private Bank Account US Bank	A	Interest	J	T					
40. 5/3 Bank Account Cash	A	Interest	L	T					
41. Lord Abbett Ultra Short Bond Fund	A	Dividend			Buy	03/12/21	L		
42. Lord Abbett Ultra Short Bond Fund	A	Dividend			Sold	11/22/21	J	A	
43. Lord Abbett Ultra Short Bond Fund	A	Dividend			Sold	11/30/21	J	A	
44. Lord Abbett Ultra Short Bond Fund	A	Dividend			Sold	12/31/21	L	A	

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Newman, Michael J.	11/18/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Michael J. Newman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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