AO 10
Rev. 3/2023

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)*

| 1. Person Reporting (last name, first, middle initial) | 2. Court or Organization | 3. Date of Report |
|---|---|---|
| Newman, Michael J. | United States District Court, Southern District of Ohio | 5/5/2023 |
| **4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)** | **5a. Report Type (check appropriate type)** | **6. Reporting Period** |
| District Judge-Active | ☐ Nomination Date<br>☐ Initial ☑ Annual ☐ Final<br>**5b.** ☐ Amended Report | 01/01/2022 **to** 12/31/2022 |
| **7. Chambers or Office Address** | | |
| 200 West Second Street, Room 505<br>Dayton, Ohio 45402 | | |

***IMPORTANT NOTES:*** ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

## I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

| | POSITION | NAME OF ORGANIZATION/ENTITY |
|---|---|---|
| 1. | Board of Directors | Cincinnati Public Radio, Inc. |
| 2. | Board of Directors | Federal Bar Association Foundation |
| 3. | Board of Directors | Law and Leadership Institute |
| 4. | Board of Directors | Greater Dayton Volunteer Lawyers Project (GDVLP) |
| 5. | Adjunct Professor | University of Dayton Law School |

## II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements )*

☑ NONE *(No reportable agreements.)*

| | DATE | PARTIES AND TERMS |
|---|---|---|
| 1. | | |
| 2. | | |
| 3. | | |

## III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

### A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE AND TYPE | INCOME (yours, not spouse's) |
|---|---|---|---|
| 1. | 2022 | University of Dayton School of Law, Adjunct Professor | $9,000.00 |
| 2. | | | |
| 3. | | | |
| 4. | | | |

### B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

| | DATE | SOURCE |
|---|---|---|
| 1. | 2022 | [redacted] |
| 2. | | |
| 3. | | |
| 4. | | |

## IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable reimbursements.)*

| | SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|---|---|---|---|---|---|
| 1. | Federal Bar Association | 4/28/2022-4/30/2022 | Washington, D.C. | FBA Leadership Training / Mid-year Meeting | Lodging, meals, travel |
| 2. | Federal Judges' Association | 4/30/2022-5/3 2022 | Arlington | Federal Judges' Association Quadrennial | Lodging, meals |
| 3. | George Mason University Foundation | 5/12/2022-5/13/2022 | Arlington, VA | Attend Annual Dinner | Lodging, meals, travel |
| 4. | George Mason University Foundation | 7/24/2022-7/31/2022 | London, England | Non-FJC Educational Seminar or Program | Lodging, meals, travel |

| | | | | | |
|---|---|---|---|---|---|
| 5. | The Ohio State Bar Foundation | 8/4/2022-8/6/2022 | Kansas City, MO | Speaker at Foundation Conference | Lodging, meals, travel |

| Name of Person Reporting | Date of Report |
|---|---|
| **Newman, Michael J.** | 5/5/2023 |

## V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

| | SOURCE | DESCRIPTION | VALUE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

## VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

| | CREDITOR | DESCRIPTION | VALUE CODE |
|---|---|---|---|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Newman, Michael J.** | 5/5/2023 |

## VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 1. Brokerage Account #1(H) | | | | | | | | | |
| 2. - ishares S&P 500 Value ETF | A | Dividend | J | T | | | | | |
| 3. - iShares Trust MSCI EAFE Index | A | Dividend | | | Sold | 3/25/22 | J | A | |
| 4. - SPDR S&P 500 ETF Trust | A | Dividend | | | Sold (part) | 8/23/22 | J | D | |
| 5. | | | | | Sold | 12/12/22 | J | B | |
| 6. - Vanguard Total stock Market ETF | A | Dividend | | | Sold (part) | 7/26/22 | J | B | |
| 7. | | | | | Sold | 10/25/22 | J | B | |
| 8. - US Bank | A | Interest | J | T | | | | | |
| 9. - Vanguard FTSE All World Ex-USETF | A | Dividend | | | Sold | 06/21/22 | J | A | |
| 10. - SPDR Euro Stoxx 50 | A | Dividend | | | Sold | 01/20/22 | J | A | |
| 11. - ishares Russell 1000 Growth etf | A | Dividend | | | Sold (part) | 7/26/22 | J | B | |
| 12. | | | | | Sold | 8/23/22 | J | C | |
| 13. Vanguard Small Cap Value ETF | A | Dividend | | | Sold | 8/23/22 | J | A | |
| 14. Brokerage Account #2 (H) | | | | | | | | | |
| 15. - ishares S&P 500 Value ETF | A | Dividend | J | T | | | | | |
| 16. - iShares Trust MSCI EAFE Index | A | Dividend | | | Sold | 03/25/22 | J | A | |
| 17. - SPDR S&P 500 ETF Trust | A | Dividend | | | Sold (part) | 8/23/22 | J | D | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
| 3 Value Method Codes (See Column C2) | Q =Appraisal | R =Cost (Real Estate Only) | S =Assessment | T =Cash Market | |
| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
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| **Newman, Michael J.** | 5/5/2023 |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A | B | | C | | D | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Description of Assets (including trust assets) | Income during reporting period | | Gross value at end of reporting period | | Transactions during reporting period | | | | |
| Place "(X)" after each asset exempt from prior disclosure | (1) Amount Code 1 (A-H) | (2) Type (e g , div , rent, or int ) | (1) Value Code 2 (J-P) | (2) Value Method Code 3 (Q-W) | (1) Type (e g , buy, sell, redemption) | (2) Date mm/dd/yy | (3) Value Code 2 (J-P) | (4) Gain Code 1 (A-H) | |
| 18. | | | | | Sold | 12/12/22 | J | B | |
| 19. - Vanguard Total stock Market ETF | A | Dividend | | | Sold (part) | 7/26/22 | J | B | |
| 20. | | | | | Sold | 10/25/22 | J | B | |
| 21. - US Bank | A | Interest | J | T | | | | | |
| 22. - Vanguard FTSE All World Ex-USETF | A | Dividend | | | Sold | 6/21/22 | J | A | |
| 23. - SPDR Euro Stoxx 50 | A | Dividend | | | Sold | 01/20/22 | J | A | |
| 24. - iShares Russell 1000 Growth ETF | A | Dividend | | | Sold (part) | 7/26/22 | J | B | |
| 25. | | | | | Sold | 08/23/22 | J | C | |
| 26. Vanguard Small Cap Value ETF | A | Dividend | | | Sold | 08/23/22 | J | A | |
| 27. Brokerage Account #3(H) | | | | | | | | | |
| 28. - ishares S&P 500 value etf | A | Dividend | J | T | | | | | |
| 29. - iShares Trust MSCI EAFE Index | A | Dividend | | | Sold | 3/25/22 | J | A | |
| 30. - SPDR S&P 500 ETF Trust | A | Dividend | | | Sold (part) | 08/23/22 | J | D | |
| 31. | | | | | Sold | 12/12/22 | J | B | |
| 32. - Vanguard Total stock Market ETF | A | Dividend | | | Sold (part) | 07/26/22 | J | B | |
| 33. | | | | | Sold | 10/25/22 | J | B | |
| 34. - US Bank | A | Interest | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
| | P3 =$25,000,001 - $50,000,000 | | P4 =More than $50,000,000 | | |
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| | U =Book Value | V =Other | W =Estimated | | |

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☐ NONE *(No reportable income, assets, or transactions.)*

| A<br>Description of Assets (including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period<br>(1) Amount Code 1 (A-H) | B<br>(2) Type (e g , div , rent, or int ) | C<br>Gross value at end of reporting period<br>(1) Value Code 2 (J-P) | C<br>(2) Value Method Code 3 (Q-W) | D<br>Transactions during reporting period<br>(1) Type (e g , buy, sell, redemption) | D<br>(2) Date mm/dd/yy | D<br>(3) Value Code 2 (J-P) | D<br>(4) Gain Code 1 (A-H) | |
|---|---|---|---|---|---|---|---|---|---|
| 35. - Vanguard FTSE All World Ex-US ETF | A | Dividend | | | Sold | 6/21/22 | J | A | |
| 36. - SPDR Euro Stoxx 50 | A | Dividend | | | Sold | 01/20/22 | J | A | |
| 37. - iShares Russell 1000 Growth ETF | A | Dividend | | | Sold (part) | 7/26/22 | J | B | |
| 38. | | | | | Sold | 08/23/22 | J | C | |
| 39. Vanguard Small Cap Value ETF | A | Dividend | | | Sold | 8/23/22 | J | A | |
| 40. Brokerage Acccount #4(H) | | | | | | | | | |
| 41. Private Bank Account US Bank | A | Interest | J | T | | | | | |

| | | | | | |
|---|---|---|---|---|---|
| 1 Income Gain Codes: (See Columns B1 and D4) | A =$1,000 or less | B =$1,001 - $2,500 | C =$2,501 - $5,000 | D =$5,001 - $15,000 | E =$15,001 - $50,000 |
| | F =$50,001 - $100,000 | G =$100,001 - $1,000,000 | H1 =$1,000,001 - $5,000,000 | H2 =More than $5,000,000 | |
| 2 Value Codes (See Columns C1 and D3) | J =$15,000 or less | K =$15,001 - $50,000 | L =$50,001 - $100,000 | M =$100,001 - $250,000 | |
| | N =$250,001 - $500,000 | O =$500,001 - $1,000,000 | P1 =$1,000,001 - $5,000,000 | P2 =$5,000,001 - $25,000,000 | |
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| | U =Book Value | V =Other | W =Estimated | | |

| Name of Person Reporting | Date of Report |
|---|---|
| **Newman, Michael J.** | 5/5/2023 |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

| Name of Person Reporting | Date of Report |
|---|---|
| **Newman, Michael J.** | 5/5/2023 |

## IX. CERTIFICATION.

**I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.**

**I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.**

**Signature: s/ Michael J. Newman**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)**

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544