AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Nichols, Carl J.	U.S. District Court for the District of Columbia	08/04/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Court Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
333 Constitution Ave, NW Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	01/01/2021	THE ASPEN INSTITUTE, INC.
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	CITIBANK CHECKING ACCT	A	Interest	K	T					
2.	CITIBANK SAVINGS ACCT	B	Interest	J	T					
3.	MERRILL LYNCH DEPOSIT ACCT	A	Interest	L	T					
4.	CPG CARLYLE COMMITMENTS CLASS A	E	Distribution	N	T					
5.	PSRP SPECIAL SITUATIONS ENHANCED-LIQUIDITY	A	Distribution	L	T					
6.	PSRP PASSIVE US EQUITIES	A	Distribution	K	T					
7.	PSRP PASSIVE NON-US EQUITIES	A	Distribution	K	T					
8.	PSRP PASSIVE US BONDS	A	Distribution	J	T					
9.	MPPP SPECIAL SITUATIONS ENHANCED-LIQUIDITY	A	Distribution	K	T					
10.	MPPP PASSIVE US EQUITIES	A	Distribution	J	T					
11.	MPPP PASSIVE NON-US EQUITIES	A	Distribution	J	T					
12.	MPPP PASSIVE PASSIVE US BONDS	A	Distribution	J	T					
13.	AXA EQUITABLE LARGE CAP VALUE		None	L	T					
14.	AXA EQUITABLE INTERNATIONAL CORE VOLATILITY		None	K	T					
15.	AXA EQUITABLE LARGE CAP GROWTH		None	L	T					
16.	AXA EQUITABLE MODERATE ALLOCATION		None	K	T					
17.	AXA EQUITABLE 2000 MANAGED VOLATILITY		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	THIRD AVENUE VALUE FUND INSTL CLASS	A	Dividend	K	T					
19.	BLACKSTONE REAL ESTATE INCOME TRUST CLASS S	D	Distribution	M	T	Buy (add'l)	01/20/21	J		
20.	COLUMBIA EMERGING MARKETS FUND-1	A	Dividend	J	T					
21.	ACAP STRATEGIC FUND	D	Distribution	O	T	Buy (add'l)	11/30/21	J		
22.	ICAPITAL KKR PRIVATE MARKETS	E	Distribution	N	T	Spinoff (from line 24)	06/30/21	N		
23.	PMF TEI FUND, LP		None			Sold	03/11/21	J		
24.	ALTEGRIS KKR COMMITMENTS (71D499993)	C	Distribution	N	T	Buy (add'l)	06/30/21	J		
25.	BLACKSTONE REAL ESTATE INCOME TRUST INC CLASS S (71F319991)	D	Dividend	N	T	Buy	02/03/21	M		
26.	PARTNERS GROUP PRIVATE EQUITY MASTER FUNDTD	D	Distribution	M	T					
27.	IRONWOOD MULTI-STRATEGY FUND LLC (8EOB89997)	D	Dividend	M	T					
28.	VANECK VECTORS FALLEN ANGEL HIGH YIELD B EXECUTED 100% (ANGL)	A	Dividend	J	T	Buy (add'l)	11/17/21	J		
29.	AMER FUNDS NEW PERSPECTIVE FUND	C	Dividend	L	T	Buy (add'l)	12/22/21	J		
30.						Sold (part)	03/25/21	J	A	
31.	BLACKROCK AGE 17 YEARS		None			Sold	12/07/21	L	A	
32.	BLACKROCK AGE 18 YEARS		None			Sold	10/18/21	K	B	
33.	BLKROCK AGE 12-13 YEARS		None	L	T	Buy	02/26/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
34. BLKROCK AGE 19+ YEARS C		None			Buy (add'l)	01/05/21	K		
35.					Sold	07/16/21	J	A	
36. BLKROCK AGE 8-11 YEARS		None			Sold	02/26/21	L	D	
37.					Buy (add'l)	01/05/21	J		
38. BLACKSTONE PRIVATE CREDIT FUND	B	Dividend	L	T	Buy	09/28/21	L		
39. FRTEMP AGE 11-12 YEARS		None	L	T	Buy (add'l)	01/05/21	J		
40. FRANK TEMP AGE 19 YRS		None			Buy (add'l)	07/02/21	J		
41.					Sold	10/29/21	L	D	
42. FRANK TEMP AGE 20+		None	L	T	Buy	10/29/21	L		
43.					Sold (part)	11/04/21	K	A	
44. MFS AGE 18+ YEARS		None	L	T	Buy	01/05/21	L		
45.					Sold (part)	07/16/21	K	A	
46. MFS AGE 17 YEARS		None			Sold	07/16/21	L		
47. FRTEMP AGE 11-12 YEARS		None	L	T					
48. MFS AGE 17 YEARS		None			Sold	07/16/21	J	A	
49. BLKRK AGE 16 YRS		None			Sold	07/16/21	J	A	
50. OWL ROCK CORE INCOME		None	L	T	Buy	09/01/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
51.	VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	J	T	Buy	01/12/21	J		
52.	EATON VANCE FLOATING RATE FUND	A	Dividend	J	T	Buy (add'l)	11/01/21	J		
53.	ISHARES IBOXX$ HIGH YIEL CORPORATE BOND EXECUTED 100% (HYG)		None	K	T	Buy (add'l)	01/14/21	J		
54.	ISH USD HIGH YIELD CORPORATE BOND	C	Dividend	L	T	Sold (part)	10/07/21	K		
55.	ISHARES BROAD USD INVST GRADE	D	Dividend	N	T	Buy	09/14/21	L		
56.						Sold (part)	10/07/21	L		
57.	XTRACKERS USD HIGH YIELD CORPORATE BOND ETF (HYLB)	A	Dividend	M	T	Buy (add'l)	01/12/21	J		
58.	ISHARES TR CORE MSCI EAF ETF	D	Dividend	J	T	Buy (add'l)	10/07/21	L		
59.						Sold (part)	01/08/21	J		
60.	ISHARES INC CORE MSCI EMERGING MKTS ETF EXECUTED 100% (IEMG)	D	Dividend	L	T	Buy (add'l)	01/12/21	L		
61.						Sold (part)	01/08/21	J		
62.	ISHARES US TREASURY BOND ETF	B	Distribution			Sold	09/14/21	M		
63.	LORD ABBETT SHORT DURATION INCOME FD CL F FUND (LDLFX)	A	Dividend	J	T	Buy (add'l)	11/01/21	J		
64.	ISHARES IBOXX $ INVT GRADE CORP BD (LQD)	A	Interest	J	T	Buy (add'l)	01/12/21	J		
65.	MFS VALUE FD CL I FUND SUBJECT TO RED FEE. (MEIIX)	B	Dividend	L	T	Buy (add'l)	03/25/21	J		
66.	MFS AGE 10 TO 11 YEARS		None			Buy (add'l)	01/05/21	L		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
67.						Sold	02/26/21	L		
68.	INVESCO DEVELOPING MARKETS	A	Dividend	K	T	Buy	03/25/21	J		
69.	SHIRE ACQ INV IRELAND	A	Interest			Sold	01/22/21	J		
70.	TEMPLETON GLBL BOND	A	Dividend	J	T	Buy (add'l)	11/01/21	J		
71.	BLACKROCK LIQUIDITY FUND	A	Dividend			Sold	01/12/21	J	A	
72.	VANGUARD SMALL CAP GROWTH ETF PER ADVISORY AGREEMENT (VBK)	A	Dividend	L	T	Buy (add'l)	09/13/21	J		
73.						Sold (part)	01/08/21	K	C	
74.	VANGUARD TOTAL INTERNATL BOND (X)	C	Dividend	M	T	Sold (part)	09/14/21	K		
75.	VANGUARD INTRMDIATE-TERM CORPORATE BOND EXECUTED 100% (VCIT)	A	Dividend	J	T					
76.	VANGUARD SHORT-TERM CORPORATE BOND EXECUTED 100% (VCSH)	A	Dividend	J	T					
77.	VANGUARD VALUE ETF	D	Dividend	O	T	Sold (part)	01/12/21	L	D	
78.						Buy (add'l)	09/13/21	M		
79.	VANGUARD GROWTH ETF	B	Dividend	O	T	Sold (part)	01/12/21	L	D	
80.						Buy (add'l)	01/12/21	M		
81.	VANGUARD SHORT-TERM CORPORATE BOND	A	Dividend	J	T	Buy (add'l)	01/12/21	J		
82.	VANGUARD MORTGAGE-BACKED SEC (x)	C	Dividend			Buy (add'l)	09/14/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
83.					Sold	10/07/21	J		
84. US TREASURY NOTES	B	Interest			Sold	05/14/21	M	A	
85. US TRSY INFLATION NOTES	A	Interest			Sold	05/14/21	L	A	
86. US TREASURY BONDS	A	Interest			Buy (add'l)	03/12/21	K		
87.					Sold	05/14/21	K	A	
88. FNMA BONDS	C	Interest			Sold	05/14/21	M	B	
89.					Buy (add'l)	01/25/21	J		
90. FHLMC BONDS	C	Interest			Sold	05/14/21	M	B	
91.					Buy (add'l)	01/25/21	L		
92. US TRSY INFLATION BONDS	A	Interest			Sold	03/18/21	K	A	
93. ABBVIE INC BONDS	A	Int./Div.			Sold	05/14/21	K	A	
94. BANK OF MONTREAL BONDS	A	Interest			Sold	03/18/21	K	A	
95. TOYOTA MOTOR CREDIT CORP BONDS		None			Sold	03/18/21	K	A	
96.					Buy (add'l)	02/04/21	J		
97. AMERICAN EXPRESS CO BONDS	A	Interest			Sold	03/18/21	K	A	
98.					Buy (add'l)	02/01/21	J		
99. WALT DISNEY COMPANY BONDS		None			Sold	03/18/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
100. BERKSHIRE HATHAWAY INC BONDS	A	Interest			Sold	05/14/21	J	C	
101. EOG RESOURCES INC BONDS	A	Interest			Sold	03/18/21	K	A	
102.					Buy (add'l)	01/13/21	J		
103. JPMORGAN CHASE & CO BONDS	A	Interest			Sold	03/05/21	L	A	
104.					Buy (add'l)	02/24/21	J		
105. ABBOTT LABORATORIES BONDS	A	Int./Div.			Sold	03/18/21	J	A	
106. FIFTH THIRD BANCORP BONDS	A	Interest			Sold	03/18/21	J	A	
107. APPLE INC BONDS	B	Int./Div.			Sold	05/14/21	K	A	
108. GOLDMAN SACHS GROUP INC BONDS	B	Interest			Sold	05/14/21	L	A	
109. MARSH & MCLENNAN CO INC BONDS	A	Int./Div.			Sold	05/14/21	K	A	
110. DUKE ENERGY CORP BONDS		None			Sold	03/18/21	K	A	
111.					Buy (add'l)	01/13/21	J		
112. WELLS FARGO & COMPANY BONDS	A	Interest			Sold	05/14/21	L	A	
113.					Buy (add'l)	03/05/21	J		
114. MORGAN STANLEY BONDS	A	Interest			Sold	03/08/21	K	A	
115.					Buy (add'l)	03/05/21	K		
116. CAPITAL ONE FINANCIAL CO BONDS		None			Sold	03/18/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
117.					Buy (add'l)	01/13/21	J		
118. ENTERPRISE PRODUCTS BONDS	A	Interest			Sold	05/14/21	J	A	
119. VERIZON COMMUNICATIONS BONDS	A	Interest			Sold	03/19/21	K	A	
120.					Buy (add'l)	03/18/21	K		
121. MEDTRONIC INC BONDS	A	Interest			Sold	03/18/21	J	A	
122. CITIGROUP INC BONDS	A	Interest			Sold	05/14/21	K	A	
123. ORACLE CORP BONDS		None			Sold	05/14/21	J	A	
124. UNITED HEALTH CROUP INC BONDS		None			Sold	05/14/21	K	A	
125. UNITED TECHNOLOGIES CORP BONDS	A	Interest			Sold	05/14/21	K	A	
126. TORONTO-DOMINION BANK BONDS	A	Interest			Sold	05/14/21	K	A	
127. COCA-COLA BONDS	A	Interest			Sold	05/14/21	K	A	
128. LOCKHEED MARTIN CORP	A	Int./Div.			Sold	05/14/21	K	A	
129. TARGET CORP BONDS	A	Int./Div.			Sold	05/14/21	K	A	
130. FISERV INC BONDS	A	Interest			Sold	05/14/21	K	A	
131. SOUTHERN CO BONDS	A	Interest			Sold	05/14/21	J	A	
132. US BANCORP BONDS	A	Interest			Sold	05/14/21	K	A	
133. ILLINOIS TOOL WORKS INC BONDS	A	Interest			Sold	05/14/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
134. AT&T INC BONDS	B	Interest			Sold	05/14/21	L	B	
135. COSTCO WHOLESALE CORP BONDS		None			Sold	05/14/21	K	A	
136.					Buy (add'l)	01/13/21	J		
137. BP CAPITAL MARKETS BONDS	A	Interest			Sold	05/14/21	K	A	
138. CVS HEALTH CORP BONDS	A	Interest			Sold	05/14/21	L	A	
139. COMCAST CORP BONDS	A	Int./Div.			Sold	05/14/21	K	A	
140.					Buy (add'l)	01/13/21	J		
141. WALMART INC BONDS		None			Sold	05/14/21	K	A	
142.					Buy (add'l)	01/13/21	J		
143. DOWDUPONT INC BONDS	A	Interest			Sold	05/14/21	K	A	
144.					Buy (add'l)	01/13/21	J		
145. PFIZER INC BONDS	A	Interest			Sold	05/14/21	K	A	
146.					Buy (add'l)	01/13/21	J		
147. PNC FINANCIAL SERVICES BONDS	A	Interest			Sold	05/14/21	K	A	
148. HOME DEPOT INC BONDS	A	Dividend			Sold	05/14/21	K	A	
149. MCDONALDS CORP BONDS	A	Int./Div.			Sold	05/14/21	K	A	
150. NEXTERA ENERGY CAPITAL BONDS	A	Dividend			Sold	03/18/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
151.					Buy (add'l)	01/22/21	J		
152. METLIFE INC BONDS	A	Interest			Sold	05/14/21	K	A	
153. EXXON MOBIL CORP BONDS		None			Sold	05/14/21	K	A	
154.					Buy (add'l)	02/11/21	J		
155. KINDER MORGAN INC BONDS	A	Interest			Sold	05/14/21	J	A	
156. MICROSOFT CORP BONDS	A	Int./Div.			Sold	05/14/21	K	A	
157. VISA INC BONDS	A	Interest			Sold	05/14/21	J	A	
158. ANHEUSER-BUSCH BONDS	A	Interest			Sold	05/14/21	J	A	
159.					Buy (add'l)	01/20/21	J		
160. SHELL INTERNATIONAL BONDS		None			Sold	05/14/21	K	A	
161. AMERICAN INTL GROUP BONDS	A	Interest			Sold	05/14/21	J	A	
162. CHEVRON CORP BONDS	A	Dividend			Sold	05/14/21	J	A	
163. PEPSICO BONDS	A	Interest			Sold	05/14/21	J	A	
164.									
165.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Nichols, Carl J.	08/04/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carl J. Nichols

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544