AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
NORRIS, MARK S.	US DISTRICT COURT - WESTERN DISTRICT OF TENNESSEE	12/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 to 12/31/2021
7. Chambers or Office Address		
167 N MAIN ST ROOM 907 MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	PRESIDENT/IMMEDIATE PAST PRESIDENT	TN SOCIETY SONS OF THE AMERICAN REVOLUTION
2.	TRUSTEE/DIRECTOR	TN HISTORICAL SOCIETY
3.	DIRECTOR	UNVERSITY OF TENNESSEE HEALTH SCIENCE CENTER ADVISORY BOARD
4.	MEMBER	BAYOU FARM PROPERTIES, LLC
5.	MEMBER	PENNY FARM, LLC
6.	MEMBER	LOBO FARMS, LLC
7.	TRUSTEE	NATIONAL SOCIETY SONS OF THE AMERICAN REVOLUTION

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	TN CONSOLIDATED RETIREMENT SYSTEM: PENSION
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	BAYOU FARM PROPERTIES, LLC, FARMING (NET)	$128,326.00
2.	2021	PENNY FARM LLC, FARMING (NET)	$570.00
3.	2021	LOBO FARMS LLC, FARMING & RENTAL (NET)	$30,124.00
4.	2021	CATTLE SALES, FARMING (GROSS)	$3,440.00
5.	2021	TN CONSOLIDATED RETIREMENT SYSTEM (PENSION)	$19,963.98

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	SELF-EMPLOYED, PLANT MAINTENANCE & RENTAL
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN LOBO FARMS, LLC	M
2.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN BAYOU FARM PROPERTIES, LLC	M
3.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN PENNY FARM, LLC	M
4.			
5.			

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	RAYMOND JAMES IRA (H)									
2.	-ACAP STRATEGIC FUND CLASS W N/ L	A	Dividend	M	T					
3.	-ALGER SMALL CAP FOCUS FUND	A	Dividend	K	T	Buy (add'l)	09/22/21	J		
4.	-BARCLAYS BANK PLC 3Y US EQ	A	Interest	J	T	Buy	12/22/21	J		
5.	-CITIGROUP GLBL MKTS HLDGS 5Y	B	Int./Div.	K	T	Buy	05/26/21	K		
6.	-FEDERATED STRAT VAL DIV FND INST SHARES	B	Dividend	K	T					
7.	-FIRST TRUST RJ MIDCAP SEL	A	Int./Div.	L	T	Buy	11/16/21	K		
8.	-FIRST TRUST RIVERFRONT DYNAMIC EUR ETF	A	Dividend	K	T					
9.	-TRAN CAP FOCUSED FUND (FKA LATEEF FOCUSED GRWTH FND)	A	Dividend	L	T					
10.	-GS FIN CORP .4Y US EQ CONTINGENT COUPON CALLABLE YD NOTE	B	Interest			Redeemed	03/10/21	K	A	
11.	-ISHARES TR MSCI MN VOL ETF	A	Dividend	K	T					
12.	-FED TREAS OB FND INST CLASS IS MM	A	Interest	M	T					
13.	-PIONEER MULTI ASSET ULTRA SHT INC FND CLASS Y	B	Dividend	L	T					
14.	-PRINCIPAL MDCP FND INST SHRS	A	Dividend	K	T					
15.	-VANGUARD INDX FNDS S&P 500 ETF SHS NEW	A	Dividend	L	T					
16.	-RJ BANK DEPOSIT PROGRAM	A	Interest	N	T					
17.	-T ROWE PRICE US EQUITY RES FND	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. -PIMCO LOW DURATION FND	A	Dividend	J	T					
19. -AQR LARGE CAP DEF STYL FND	A	Dividend	K	T					
20. -BAIRD AGG BOND FUND INSTL	A	Dividend	J	T	Buy (add'l)	02/04/21	J		
21. -CLEARBRIDGE DIV STRAT FND	A	Dividend			Sold	02/04/21	J	C	
22. -ISHARES TR IBOXX INV CP ETF	A	Int./Div.			Buy (add'l)	05/11/21	J		
23.					Sold	07/16/21	K	A	
24. -SPDR PORTFOLIO ST CORP BND	A	Int./Div.	K	T	Sold (part)	01/22/21	J	A	
25.					Buy (add'l)	05/11/21	J		
26.					Buy (add'l)	07/29/21	J		
27.					Buy (add'l)	09/22/21	J		
28.					Buy (add'l)	11/15/21	J		
29. -SPDR HEALTH CARE SEL SEC FND	A	Int./Div.	K	T	Buy (add'l)	01/22/21	J		
30.					Sold (part)	05/11/21	J	A	
31.					Sold (part)	07/29/21	J	A	
32.					Buy (add'l)	09/22/21	J		
33. -SPDR CONSUMER DISCRETIONARY SEL SEC FND	A	Int./Div.	K	T	Buy (add'l)	01/22/21	J		
34.					Buy (add'l)	05/11/21	J		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	07/29/21	J	A	
36.					Buy (add'l)	09/22/21	J		
37.					Sold (part)	11/15/21	J	B	
38. -SPDR COMMUNCIATIONS SEL SECTOR FND	A	Int./Div.	K	T	Buy (add'l)	01/22/21	J		
39.					Sold (part)	05/11/21	J	A	
40.					Sold (part)	07/29/21	J	A	
41.					Buy (add'l)	09/22/21	J		
42.					Buy (add'l)	11/15/21	J		
43. -2022 RJ ANALYSTS BEST PICKS SRS 1	A	Int./Div.	K	T	Buy	12/17/21	K		
44. -VANGUARD INTER-TERM CORP BOND ETF	B	Int./Div.	L	T	Sold (part)	01/22/21	J	A	
45.					Buy (add'l)	05/11/21	J		
46.					Buy (add'l)	07/16/21	K		
47.					Sold (part)	07/29/21	J	A	
48.					Buy (add'l)	09/22/21	J		
49.					Buy (add'l)	11/15/21	J		
50. -VANGUARD FTSE ALL-WORLD EX-US ETF	A	Int./Div.	K	T	Buy (add'l)	05/11/21	J		
51.					Buy (add'l)	07/29/21	J		

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52.					Buy (add'l)	09/22/21	J		
53. -SPDR TECH SEL SECTOR FND	A	Int./Div.	L	T	Buy (add'l)	01/22/21	J		
54.					Buy (add'l)	05/11/21	J		
55.					Sold (part)	07/29/21	J	B	
56.					Buy (add'l)	09/21/21	J		
57.					Buy (add'l)	09/22/21	J		
58.					Sold (part)	11/15/21	J	B	
59. -VANGUARD FTSE PACIFIC ETF	A	Int./Div.	K	T	Sold (part)	01/22/21	J	A	
60.					Buy (add'l)	05/11/21	J		
61.					Buy (add'l)	07/29/21	J		
62.					Buy (add'l)	09/22/21	J		
63.					Buy (add'l)	11/15/21	J		
64. -AMERICAN MUTAL FUND CLASS F2	A	Dividend	K	T	Buy (add'l)	02/05/21	J		
65. -CHAMPLAIN MID CAP FND	A	Dividend	J	T	Sold (part)	02/04/21	J	A	
66. -FEDERATED ST INCOME FD	A	Dividend	J	T	Buy (add'l)	02/05/21	J		
67. -DODGE & COX INCOME FUND	A	Dividend	J	T	Sold (part)	02/04/21	J	A	
68. -HARDING LOEVNER EMERG MARKETS	A	Dividend			Sold (part)	02/04/21	J	A	

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69.						Sold	05/12/21	J	C	
70.	-JOHN HANCOCK DISC VAL MDCP FND	A	Dividend	J	T	Sold (part)	02/05/21	J	A	
71.	-LOOMIS SAYLES GRWT FND CL Y	A	Dividend	K	T	Sold (part)	02/04/21	J	A	
72.	-METRO WEST TTL RET BND FND	A	Dividend	K	T	Buy (add'l)	02/04/21	J		
73.	-MFS RESEARCH FND CL I	A	Dividend	K	T	Buy (add'l)	02/05/21	J		
74.	-PGIM ABS RET BND FND	A	Dividend			Sold	02/04/21	J	A	
75.	-T ROWE PRICE SM CAP VAL FND	A	Dividend	J	T	Sold (part)	02/04/21	J	A	
76.	-BANK OF MONTREAL SRS 1 CL F DUE 12/20/21	A	Interest			Redeemed	12/20/21	K	C	
77.	-PACIFIC FUNDS SH DUR INC FND	A	Dividend	L	T					
78.	-JP MORGAN SM CAP EQ FND	A	Dividend	J	T	Sold (part)	02/04/21	J	A	
79.	-T ROWE PRICE OVERSEAS STOCK FD	A	Dividend	J	T	Sold (part)	02/04/21	J	A	
80.	-JOHN HANCOCK INTL GRW FUND	A	Dividend	J	T	Sold (part)	02/05/21	J	A	
81.	-TRANS AMER HY BOND FUND	A	Dividend	J	T	Buy (add'l)	02/05/21	J		
82.						Buy (add'l)	02/16/21	J		
83.	-ISHARES TR 3 - 7 YR TREAS BD	A	Int./Div.	K	T	Sold (part)	01/22/21	J	A	
84.						Buy (add'l)	05/11/21	J		
85.						Buy (add'l)	07/29/21	J		

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86.					Buy (add'l)	09/22/21	J		
87.					Buy (add'l)	11/15/21	J		
88. -ISHARES TR MSCI AC ASIA	A	Int./Div.			Sold (part)	01/22/21	J	A	
89.					Buy (add'l)	05/11/21	J		
90.					Sold (part)	07/29/21	J	B	
91.					Buy (add'l)	09/22/21	J		
92.					Sold	11/15/21	J	A	
93. -SPDR FIN SEL SECTOR FUND	A	Int./Div.	K	T	Sold (part)	01/22/21	J	A	
94.					Sold (part)	05/11/21	J	B	
95.					Buy (add'l)	07/29/21	J		
96.					Buy (add'l)	09/22/21	J		
97.					Sold (part)	11/15/21	J	B	
98. -SPDR IND SEL SECTOR FD	A	Int./Div.	J	T	Buy (add'l)	01/22/21	J		
99.					Sold (part)	05/11/21	K	D	
100.					Buy (add'l)	07/29/21	J		
101.					Buy (add'l)	09/22/21	J		
102.					Sold (part)	11/15/21	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. -ISHARES TR RUSSELL 2000 ETF	A	Int./Div.			Sold (part)	01/22/21	J	A	
104.					Sold	05/11/21	J	D	
105. -SPDR ENERGY SEL SECTOR FD	A	Int./Div.	J	T	Sold (part)	05/11/21	J	B	
106.					Buy (add'l)	07/29/21	J		
107.					Sold (part)	09/21/21	J	A	
108.					Buy (add'l)	09/22/21	J		
109.					Sold (part)	11/15/21	J	A	
110. -PIMCO LOW DURATION FUND	A	Int./Div.	J	T	Buy	02/04/21	J		
111. -T ROWE PRICE US EQ RESEARCH	A	Int./Div.	K	T	Buy	02/04/21	J		
112. -ALLSPRING EMERG MKS EQ FND	A	Int./Div.	J	T	Buy	05/13/21	J		
113. -ISHARES TR US FIN SVC	A	Int./Div.	J	T	Buy	05/11/21	J		
114.					Buy (add'l)	07/29/21	J		
115.					Buy (add'l)	09/22/21	J		
116.					Sold (part)	11/15/21	J	A	
117. -ISHARES TR CORE MSCI EURO	A	Int./Div.	K	T	Buy	05/11/21	J		
118.					Buy (add'l)	07/29/21	J		
119.					Buy (add'l)	09/22/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Buy (add'l)	11/15/21	J		
121. -VINEBROOK HOMES TRUST, INC CL A	C	Int./Div.	L	T	Buy	07/07/21	L		
122. RAYMOND JAMES INVESTMENTS (H)									
123. -RJ BANK DEPOSIT PROGRAM	A	Interest	O	T					
124. -ALTRIA GROUP INC	B	Dividend	K	T					
125. -BRISTOL MYERS SQUIBB CO	A	Dividend	J	T					
126. -FEDEX CORP	A	Dividend	K	T					
127. -MERCK & COMPANY INC	A	Dividend	J	T					
128. -PACIFIC FUNDS CORE INCOME FND	A	Dividend	K	T					
129. -PACIFIC FUNDS SHORT TERM DUR	A	Dividend	K	T					
130. -PEPSICO INC	A	Dividend	K	T					
131. -PRIN FINL GRP INC	A	Dividend			Sold	01/04/21	J	A	
132. -PRIN TAX EXEMPT BOND FND	B	Dividend	L	T					
133. -VISA INC COM CL A	A	Dividend	L	T					
134. -FIRST TR ETF IV FIRST TR ENH SHT MATURITY ETF NEW	A	Dividend	K	T					
135. -SPDR ENERGY SELECT SECTOR	A	Dividend	J	T					
136. -HIGHLANDS REIT INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
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3. Value Method Codes (See Column C2)
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Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. -BLACKROCK INNOV & GRW SHS	A	Int./Div.	K	T	Buy	03/30/21	K		
138. -ORGANON & CO STOCK	A	Int./Div.			Spinoff (from line 127)	06/03/21	J		
139.					Sold	12/21/21	J	A	
140. INVENTRUST PROPERTIES CORP	A	Dividend	J	T					
141. ENPRO INDUSTRIES INC	A	Dividend	J	T					
142. AXA EQUITABLE LIFE INS - VARIABLE LIFE INSURANCE (H)									
143. - EQ/COMMON STOCK INDEX	A	Interest	J	T					
144. BANK3	A	Dividend	K	Q					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS and TRUSTS, LINE 144: BANK3 COMMON STOCK THAT WAS PURCHASED IN 2017 WAS INADVERTENTLY OMITTED FROM THE FINANCIAL DISCLOSURE REPORT SUBMITTED FOR THE CALENDAR YEAR 2021.

THIS AMENDED FILING CORRECTS THIS OMISSION.

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARK S. NORRIS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544