AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
NORRIS, MARK S.	US DISTRICT COURT - WESTERN DISTRICT OF TENNESSEE	12/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2022 to 12/31/2022
7. Chambers or Office Address		
167 N MAIN ST ROOM 907 MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	MEMBER	BAYOU FARM PROPERTIES, LLC
2.	MEMBER	PENNY FARM, LLC
3.	MEMBER	LOBO FARMS, LLC
4.	TRUSTEE	NATIONAL SOCIETY SONS OF THE AMERICAN REVOLUTION
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	TN CONSOLIDATED RETIREMENT SYSTEM: PENSION
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2022	BAYOU FARM PROPERTIES, LLC, FARMING (NET)	$3,056.00
2. 2022	PENNY FARM LLC, FARMING (NET)	$183,099.00
3. 2022	LOBO FARMS LLC, FARMING & RENTAL (NET LOSS -$30,612.00)	$0.00
4. 2022	CATTLE SALES, FARMING (GROSS)	$3,769.00
5. 2022	TN CONSOLIDATED RETIREMENT SYSTEM (PENSION)	$20,404.32

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2022	SELF-EMPLOYED, PLANT MAINTENANCE & RENTAL
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	JUDICIAL EDUCATION INSTITUTE/ST REGIS LAW & CORPUS LINGUISTICS RETREAT	OCTOBER 10 - 14, 2022	SALT LAKE CITY, UT	EDUCATIONAL SEMINAR	LODGING, TRANSPORTATION AND FOOD
2.					
3.					
4.					

5.

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN LOBO FARMS, LLC	M
2.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN BAYOU FARM PROPERTIES, LLC	L
3.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN PENNY FARM, LLC	L
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	RAYMOND JAMES IRA (H)									
2.	-ACAP STRATEGIC FUND CLASS W N/L	A	Dividend	L	T					
3.	-ALGER SMALL CAP FOCUS FUND	A	Dividend	J	T					
4.	-BARCLAYS BANK PLC 3Y US EQ					Redeemed	09/15/22	K	A	
5.	-CITIGROUP GLBL MKTS HLDGS 5Y	B	Int./Div.	K	T					
6.	-FEDERATED STRAT VAL DIV FND INST SHARES	C	Dividend	L	T					
7.	-FIRST TRUST RJ MIDCAP SEL					Redeemed	11/16/22	K	A	
8.	-FIRST TRUST RIVERFRONT DYNAMIC EUR ETF	B	Dividend	K	T					
9.	-TRAN CAP FOCUSED FUND (FKA LATEEF FOCUSED GRWTH FND)					Merged (with line 15)	08/08/22	J		
10.	-ISHARES TR MSCI MN VOL ETF	A	Dividend	K	T					
11.	-FIMM MM PORT FUND - FNSXX	C	Interest	L	T	Buy	12/27/22	L		
12.	-FED TREAS OB FND INST CLASS IS MM	C	Interest	M	T					
13.	-PIONEER MULTI ASSET ULTRA SHT INC FND CLASS Y	B	Dividend	L	T					
14.	-PRINCIPAL MDCP FND INST SHRS	A	Dividend	K	T					
15.	-CROMWELL TRAN SUS FOCUS FD - LIMIX	A	Interest	L	T					
16.	-VANGUARD INDX FNDS S&P 500 ETF SHS NEW	B	Dividend	L	T	Buy (add'l)	01/25/22	K		
17.	-RJ BANK DEPOSIT PROGRAM	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

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18. -PIMCO LOW DURATION FND					Sold	05/19/22	J	A	
19. -AQR LARGE CAP DEF STYL FND	A	Dividend	J	T	Sold (part)	01/31/22	J	A	
20. -BAIRD AGG BOND FUND INSTL	A	Dividend	K	T	Buy (add'l)	05/19/22	J		
21. -SPDR PORTFOLIO ST CORP BND					Buy (add'l)	01/26/22	J		
22.					Sold (part)	03/11/22	J	A	
23.					Sold (part)	05/19/22	J	A	
24.					Sold (part)	06/08/22	K	A	
25.					Buy (add'l)	07/05/22	J		
26.					Sold	09/12/22	K	A	
27. -SPDR HEALTH CARE SEL SEC FND	A	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
28.					Buy (add'l)	03/11/22	J		
29.					Buy (add'l)	05/19/22	J		
30.					Buy (add'l)	07/05/22	J		
31.					Sold (part)	09/12/22	J	A	
32. -SPDR CONSUMER DISCRETIONARY SEL SEC FND	A	Int./Div.	J	T	Buy (add'l)	01/26/22	J		
33.					Sold (part)	03/11/22	J	B	
34.					Sold (part)	08/19/22	J	A	

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2. Value Codes (See Columns C1 and D3)
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35. -SPDR CONSUMER STAPLES SEL SECTOR FUND	A	Int./Div.	K	T	Buy	09/12/22	K		
36.					Buy (add'l)	11/04/22	J		
37. -SPDR COMMUNCIATIONS SEL SECTOR FND	A	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
38.					Sold (part)	03/11/22	J	A	
39.					Buy (add'l)	05/19/22	J		
40.					Sold (part)	09/12/22	J	A	
41. -2022 RJ ANALYSTS BEST PICKS SRS 1					Redeemed	12/19/22	K	A	
42. -VANGUARD INTER-TERM CORP BOND ETF	B	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
43.					Sold (part)	07/05/22	J	A	
44.					Buy (add'l)	08/19/22	J		
45.					Sold (part)	09/12/22	J	A	
46. -VANGUARD FTSE ALL-WORLD EX-US ETF	B	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
47.					Buy (add'l)	03/11/22	J		
48.					Sold (part)	07/05/22	J	A	
49.					Sold (part)	09/12/22	J	A	
50. -SPDR TECH SEL SECTOR FND	A	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
51.					Sold (part)	03/11/22	J	B	

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52.					Buy (add'l)	05/19/22	J		
53.					Sold (part)	07/05/22	J	A	
54.					Sold (part)	08/19/22	J	B	
55. -VANGUARD FTSE PACIFIC ETF	B	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
56.					Buy (add'l)	07/05/22	J		
57. -VANGUARD LT CORP BOND ETF	B	Int./Div.	K	T	Buy	03/25/22	K		
58.					Buy (add'l)	06/08/22	J		
59.					Buy (add'l)	07/05/22	J		
60.					Buy (add'l)	08/19/22	J		
61.					Sold (part)	09/12/22	J	A	
62. -AMERICAN MUTAL FUND CLASS F2	A	Dividend	J	T	Sold (part)	02/01/22	J	A	
63. -CHAMPLAIN MID CAP FND	A	Dividend	J	T					
64. -FEDERATED ST INCOME FD					Sold	08/10/22	J	A	
65. -DODGE & COX INCOME FUND	A	Dividend	J	T					
66. -JOHN HANCOCK DISC VAL MDCP FND	A	Dividend	J	T	Sold (part)	02/01/22	J	A	
67. -LOOMIS SAYLES GRWT FND CL Y	A	Dividend	J	T					
68. -METRO WEST TTL RET BND FND	A	Dividend	K	T	Buy (add'l)	01/31/22	J		

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69.					Buy (add'l)	08/10/22	J		
70. -MFS RESEARCH FND CL I	A	Dividend	K	T	Sold (part)	02/01/22	J	A	
71. -T ROWE PRICE SM CAP VAL FND	A	Dividend	J	T					
72. -PACIFIC FUNDS SH DUR INC FND	B	Dividend	K	T					
73. -JP MORGAN SM CAP EQ FND	A	Dividend	J	T	Sold (part)	01/28/22	J	A	
74. -T ROWE PRICE OVERSEAS STOCK FD	A	Dividend	J	T	Sold (part)	01/28/22	J	A	
75.					Sold (part)	05/18/22	J	A	
76. -JOHN HANCOCK INTL GRW FUND	A	Dividend	J	T	Sold (part)	05/20/22	J	A	
77. -TRANS AMER HY BOND FUND	A	Dividend	J	T	Sold (part)	08/09/22	J	A	
78. -ISHARES TR 3 - 7 YR TREAS BD	A	Int./Div.	K	T	Buy (add'l)	01/26/22	J		
79.					Sold (part)	03/25/22	K	A	
80.					Buy (add'l)	07/05/22	K		
81.					Buy (add'l)	08/19/22	J		
82.					Buy (add'l)	09/12/22	J		
83. -ISHARES TR 0 - 5 YR TREAS BD					Buy	03/25/22	K		
84.					Sold	07/05/22	K	A	
85. -SPDR FIN SEL SECTOR FUND					Buy (add'l)	01/26/22	J		

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86.					Sold (part)	03/11/22	J	A	
87.					Sold (part)	05/19/22	J	C	
88.					Sold	07/05/22	K	B	
89. -SPDR IND SEL SECTOR FD					Buy (add'l)	01/26/22	J		
90.					Sold	03/11/22	J	B	
91. -SPDR ENERGY SEL SECTOR FD					Sold (part)	03/11/22	J	A	
92.					Sold (part)	05/19/22	J	A	
93.					Sold	08/19/22	J	B	
94. -SPDR UTILITIES SEL SECTOR FD	A	Int./Div.	K	T	Buy	07/05/22	J		
95.					Buy (add'l)	08/19/22	J		
96.					Sold (part)	09/12/22	J	A	
97.					Buy (add'l)	11/04/22	J		
98. -T ROWE PRICE US EQ RESEARCH	A	Int./Div.	K	T	Sold (part)	01/31/22	J	A	
99.					Buy (add'l)	05/20/22	J		
100. -ALLSPRING EMERG MKS EQ FND	A	Int./Div.	J	T	Buy (add'l)	02/01/22	J		
101. -ISHARES TR US FIN SVC					Buy (add'l)	01/26/22	J		
102.					Sold	05/19/22	J	A	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103. -ISHARES TR CORE MSCI EURO	A	Int./Div.	J	T	Buy (add'l)	01/26/22	J		
104.					Buy (add'l)	03/11/22	J		
105.					Sold (part)	07/05/22	J	A	
106. -VINEBROOK HOMES TRUST, INC CL A	C	Int./Div.	L	T					
107. -ISHARES TR 20 YR TR BD ETF	A	Int./Div.	K	T	Buy	06/08/22	J		
108.					Buy (add'l)	08/19/22	J		
109.					Buy (add'l)	09/12/22	J		
110. -ISHARES TR TRS FLT RT BD	A	Int./Div.	K	T	Buy	09/12/22	K		
111. -ISHARES TR EXPND TEC SC ETF					Buy	05/19/22	J		
112.					Sold (part)	08/19/22	J	A	
113.					Sold (part)	09/12/22	J	A	
114.					Sold	11/04/22	J	A	
115. RAYMOND JAMES INVESTMENTS (H)									
116. -RJ BANK DEPOSIT PROGRAM	C	Interest	L	T					
117. -INVESCO PREMIER PORT MM - IPPXX	A	Int./Div.	M	T	Buy	10/14/22	L		
118. -FIMM MM PORT FUND - FNSXX	B	Int./Div.	M	T	Buy	07/11/22	L		
119.					Buy (add'l)	10/14/22	K		

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Buy (add'l)	12/27/22	K		
121. -GOLDMAN SACHS INVESTOR MM - FMJXX	B	Int./Div.	M	T	Buy	07/11/22	L		
122.					Buy (add'l)	12/27/22	L		
123. -GOLDMAN SACHS FIN SQUARE TR OB MM - FTOXX	B	Int./Div.	M	T	Buy	07/11/22	L		
124.					Buy (add'l)	12/27/22	K		
125. -JPMORGAN LIQ ASSETS MM - CJLXX	B	Int./Div.	M	T	Buy	09/29/22	L		
126.					Buy (add'l)	10/14/22	K		
127.					Buy (add'l)	12/27/22	K		
128. -ALTRIA GROUP INC	B	Dividend	K	T					
129. -BRISTOL MYERS SQUIBB CO	A	Dividend	J	T					
130. -FEDEX CORP	A	Dividend	J	T					
131. -MERCK & COMPANY INC	A	Dividend	K	T					
132. -PACIFIC FUNDS CORE INCOME FND	A	Dividend	K	T					
133. -PACIFIC FUNDS SHORT TERM DUR	A	Dividend	K	T					
134. -PEPSICO INC	A	Dividend	K	T					
135. -PRIN TAX EXEMPT BOND FND	B	Dividend	K	T					
136. -VISA INC COM CL A	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)
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2. Value Codes (See Columns C1 and D3)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. -AMPLIFY CWP ENHANCED DIV INC ETF	A	Dividend	J	T	Buy	06/22/22	J		
138. -FIRST TR ETF IV FIRST TR ENH SHT MATURITY ETF NEW	A	Dividend	K	T					
139. -SPDR ENERGY SELECT SECTOR	A	Dividend	J	T					
140. -VANGUARD RUSSELL 2000 ETF	A	Dividend	J	T	Buy	02/28/22	J		
141. -GS FIN CORP 3YR S&P 500 INDEX NOTE DUE 10/27/2025	C	Interest	K	T	Buy	10/26/22	K		
142. -HIGHLANDS REIT INC (Y)									
143. -BLACKROCK INNOV & GRW SHS	B	Int./Div.	J	T					
144. -JPMORGAN CHASE CD DUE 11/11/24	A	Interest	L	T	Open	10/03/22	L		
145. -NORTHPOINTE BK CD DUE 3/27/23	B	Interest	M	T	Open	09/23/22	M		
146. -WELLS FARGO BANK CD DUE 3/30/23	C	Interest	L	T	Open	09/23/22	L		
147. INVENTRUST PROPERTIES CORP	A	Dividend	J	T					
148. ENPRO INDUSTRIES INC	A	Dividend	J	T					
149. AXA EQUITABLE LIFE INS - VARIABLE LIFE INSURANCE (H)									
150. - EQ/COMMON STOCK INDEX	A	Interest	J	T					
151. BANK3	A	Dividend	K	Q					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS and TRUSTS, LINE 151: BANK3 COMMON STOCK THAT WAS PURCHASED IN 2017 WAS INADVERTENTLY OMITTED FROM THE FINANCIAL DISCLOSURE REPORT SUBMITTED FOR THE CALENDAR YEAR 2022.

THIS AMENDED FILING CORRECTS THIS OMISSION.

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/13/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARK S. NORRIS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544