AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
NORRIS, MARK S.	US DISTRICT COURT - WESTERN DISTRICT OF TENNESSEE	12/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US DISTRICT JUDGE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
167 N MAIN ST ROOM 907 MEMPHIS, TN 38103		

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	PRESIDENT	TN SOCIETY SONS OF THE AMERICAN REVOLUTION
2.	TRUSTEE/DIRECTOR	TN HISTORICAL SOCIETY
3.	DIRECTOR	UNVERSITY OF TENNESSEE HEALTH SCIENCE CENTER ADVISORY BOARD
4.	MEMBER	BAYOU FARM PROPERTIES, LLC
5.	MEMBER	PENNY FARM, LLC
6.	MEMBER	LOBO FARMS, LLC

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2018	TN CONSOLIDATED RETIREMENT SYSTEM: PENSION
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2020	BAYOU FARM PROPERTIES, LLC, FARMING (NET)	$2,866.00
2. 2020	PENNY FARM LLC, FARMING (NET LOSS $-2,997)	$0.00
3. 2020	LOBO FARMS LLC, FARMING & RENTAL (NET LOSS $-24,370)	$0.00
4. 2020	CATTLE SALES, FARMING (GROSS)	$6,293.00
5. 2020	STATE OF TN, TAXABLE FARMING GRANT (GROSS)	$2,160.00
6. 2020	USDA, TAXABLE FARMING GRANT (GROSS)	$7,989.50
7. 2020	TN CONSOLIDATED RETIREMENT SYSTEM (PENSION)	$19,602.36

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE
1. 2020	SELF-EMPLOYED, PLANT MAINTENANCE & RENTAL
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				

4.				
5.				

Name of Person Reporting	Date of Report
NORRIS, MARK S.	11/10/2023

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN LOBO FARMS, LLC	M
2.	FARM CREDIT MID AMERICA	MORTGAGE ON LAND IN BAYOU FARM PROPERTIES, LLC	M
3.	FARM CREIDT MID AMERICA	MORTGAGE ON LAND IN PENNY FARM, LLC	M
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. RAYMOND JAMES IRA (H)									
2. -ACAP STRATEGIC FUND CLASS W N/L	A	Dividend	M	T					
3. -ABBVIE INCORPORATED	A	Dividend			Buy (add'l)	03/03/20	J		
4.					Sold	05/13/20	J	A	
5. -AIR PRODUCTS & CHEMICALS INC	A	Dividend			Buy (add'l)	03/03/20	J		
6.					Sold	05/13/20	J	C	
7. -ALGER SMALL CAP FOCUS FUND	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
8. -CME GROUP INC	A	Dividend			Buy (add'l)	03/03/20	J		
9.					Sold	05/13/20	J	A	
10. -CARNIVAL CORPORATION PAIRED CTF (PANAMA)	A	Dividend			Buy (add'l)	03/03/20	J		
11.					Sold	03/18/20	J	A	
12. -CHEVRON CORP NEW	A	Dividend			Buy (add'l)	03/03/20	J		
13.					Sold	05/13/20	J	A	
14. -CISCO SYSTEMS INC	A	Dividend			Buy (add'l)	03/03/20	J		
15.					Sold	05/13/20	J	A	
16. -FEDERATED STRAT VAL DIV FND INST SHARES	B	Dividend	K	T	Buy (add'l)	11/03/20	J		
17.					Buy (add'l)	06/15/20	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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18. -FIMM MM PORT FUND INST CLASS	A	Interest			Sold	03/18/20	M	A	
19. -FIDUS INVT CORP	A	Dividend			Sold	05/13/20	J	A	
20. -FIRST HORIZON NATL CORP	A	Dividend			Sold	05/13/20	J	A	
21. -FIRST TRUST RIVERFRONT DYNAMIC EUR ETF	A	Dividend	K	T					
22. -LATEEF FOCUSED GRWTH FND CL A	A	Dividend	L	T					
23. -GS FIN CORP .4Y US EQ CONTINGENT COUPON CALLABLE YD NOTE	B	Interest	K	T					
24. -HOME DEPOT INC	A	Dividend			Buy (add'l)	03/03/20	J		
25.					Sold	05/13/20	J	B	
26. -ISHARES TR MSCI MN VOL ETF	A	Dividend	K	T	Buy (add'l)	06/15/20	J		
27. -JPMORGAN CHASE & CO	A	Dividend			Sold	05/13/20	J	A	
28. -MARATHON PETE CORP	A	Dividend			Buy (add'l)	03/03/20	J		
29.					Sold	05/13/20	J	A	
30. -MICROSOFT CORP	A	Dividend			Buy (add'l)	03/03/20	J		
31.					Sold	05/13/20	J	D	
32. -MICROCHIP TECH INC	A	Dividend			Buy (add'l)	03/03/20	J		
33.					Sold	05/13/20	J	B	
34. -FED TREAS OB FND INST CLASS IS MM	A	Interest	M	T					

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35. -MOTOROLA SOL INC COM NEW	A	Dividend			Sold	05/13/20	J	A	
36. -PFIZER INC	A	Dividend			Buy (add'l)	03/03/20	J		
37.					Sold	05/13/20	J	A	
38. -PIONEER MULTI ASSET ULTRA SHT INC FND CLASS Y	B	Dividend	L	T					
39. -PRINCIPAL MDCP FND INST SHRS	A	Dividend	K	T	Buy (add'l)	02/28/20	J		
40.					Buy (add'l)	06/12/20	J		
41.					Buy (add'l)	11/02/20	J		
42. -UNION PACIFIC CORP	A	Dividend			Buy (add'l)	03/03/20	J		
43.					Sold	05/13/20	J	A	
44. -VANGUARD INDX FNDS S&P 500 ETF SHS NEW	A	Dividend	L	T	Buy (add'l)	02/27/20	J		
45.					Buy (add'l)	03/02/20	J		
46.					Buy (add'l)	03/10/20	J		
47.					Buy (add'l)	06/15/20	J		
48. -VERIZON COMMUNICATIONS INC	A	Dividend			Buy (add'l)	03/03/20	J		
49.					Sold	05/13/20	J	A	
50. -WALMART INC	A	Dividend			Buy (add'l)	03/03/20	J		
51.					Sold	05/13/20	J	C	

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52. -EATON CORP PLC SHS (IRELAND)	A	Dividend			Buy (add'l)	03/03/20	J		
53.					Sold	05/13/20	J	A	
54. -CHUBB LIMITED (SWITZERLAND)	A	Dividend			Buy (add'l)	03/03/20	J		
55.					Sold	05/13/20	J	A	
56. -RJ BANK DEPOSIT PROGRAM	A	Interest	N	T					
57. -T ROWE PRICE US EQUITY RES FND	A	Dividend	J	T					
58. -PIMCO LOW DURATION FND	A	Dividend	J	T					
59. -AQR LARGE CAP DEF STYL FND	A	Dividend	J	T					
60. -BAIRD AGG BOND FUND INSTL	A	Dividend	J	T					
61. -EUROPACIFIC GRWTH FND	A	Dividend			Sold	06/10/20	J	A	
62. -CLEARBRIDGE DIV STRAT FND	A	Dividend	J	T					
63. -ISHARES TR 20 YR TR BD ETF	A	Int./Div.			Sold	01/28/20	J	A	
64. -ISHARES TR IBOXX INV CP ETF	A	Int./Div.	K	T	Buy (add'l)	03/03/20	J		
65.					Buy (add'l)	11/03/20	J		
66.					Sold (part)	03/11/20	J	A	
67. -SPDR PORTFOLIO ST CORP BND	A	Int./Div.	K	T	Buy (add'l)	01/30/20	J		
68.					Buy (add'l)	03/03/20	J		

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69.					Buy (add'l)	06/16/20	J		
70.					Buy (add'l)	09/03/20	J		
71.					Buy (add'l)	11/03/20	J		
72.					Sold (part)	02/28/20	J	A	
73.					Sold (part)	03/11/20	J	A	
74. -ISHARES TR 0-5 YR TIPS ETF	A	Int./Div.			Buy (add'l)	01/30/20	J		
75.					Buy (add'l)	03/03/20	J		
76.					Buy (add'l)	06/16/20	J		
77.					Buy (add'l)	11/03/20	J		
78.					Sold	12/04/20	J	A	
79. -ISHARES TR CORE MSCI EURO	A	Int./Div.			Buy (add'l)	03/23/20	J		
80.					Sold	03/27/20	J	A	
81. -SPDR HEALTH CARE SEL SEC FND	A	Int./Div.	K	T	Buy (add'l)	11/03/20	J		
82.					Sold (part)	01/28/20	J	A	
83.					Sold (part)	12/04/20	J	A	
84.					Sold (part)	06/12/20	J	A	
85.					Sold (part)	09/01/20	J	A	

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86. -SPDR CONSUMER STAPLES SEL SEC FND	A	Int./Div.			Buy (add'l)	03/18/20	J		
87.					Buy (add'l)	11/03/20	J		
88.					Sold (part)	03/19/20	J	A	
89.					Sold (part)	03/27/20	J	A	
90.					Sold (part)	09/01/20	J	A	
91.					Sold	12/04/20	J	A	
92. -SPDR CONSUMER DISCRETIONARY SEL SEC FND	A	Int./Div.	K	T	Buy (add'l)	01/30/20	J		
93.					Buy (add'l)	03/03/20	J		
94.					Buy (add'l)	11/03/20	J		
95.					Buy (add'l)	12/08/20	J		
96.					Sold (part)	03/16/20	J	A	
97.					Sold (part)	09/01/20	J	A	
98. -SPDR UTILITIES SEL SEC FND	A	Int./Div.			Buy (add'l)	03/03/20	J		
99.					Buy (add'l)	03/18/20	J		
100.					Sold (part)	03/27/20	J	A	
101.					Sold	06/12/20	J	A	
102. -SPDR COMMUNCIATIONS SEL SECTOR FND	A	Int./Div.	K	T	Buy (add'l)	03/03/20	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
103.					Buy (add'l)	11/03/20	J		
104.					Sold (part)	09/01/20	J	A	
105. -VANGUARD INTER-TERM CORP BOND ETF	B	Int./Div.	K	T	Buy (add'l)	01/30/20	J		
106.					Buy (add'l)	03/03/20	J		
107.					Buy (add'l)	09/03/20	J		
108.					Buy (add'l)	11/03/20	J		
109.					Buy (add'l)	12/08/20	J		
110.					Sold (part)	02/28/20	J	A	
111.					Sold (part)	03/11/20	J	A	
112. -VANGUARD FTSE ALL-WORLD EX-US ETF	A	Int./Div.	K	T	Buy (add'l)	03/03/20	J		
113.					Buy (add'l)	03/23/20	J		
114.					Buy (add'l)	11/03/20	J		
115.					Sold (part)	02/28/20	J	A	
116. -SPDR TECH SEL SECTOR FND	A	Int./Div.	K	T	Buy (add'l)	03/03/20	J		
117.					Buy (add'l)	11/03/20	J		
118.					Sold (part)	01/28/20	J	A	
119.					Sold (part)	03/16/20	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal
R =Cost (Real Estate Only)
S =Assessment
T =Cash Market
U =Book Value
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NORRIS, MARK S.	11/10/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
120.					Sold (part)	09/01/20	J	A	
121. -VANGUARD FTSE PACIFIC ETF	A	Int./Div.	J	T	Buy (add'l)	03/03/20	J		
122.					Buy (add'l)	11/03/20	J		
123. -AMERICAN MUTAL FUND CLASS F2	A	Dividend	J	T					
124. -ARTISAN INTL FUND	A	Dividend			Sold	06/10/20	J	B	
125. -CHAMPLAIN MID CAP FND	A	Dividend	J	T	Sold (part)	07/27/20	J	A	
126. -FEDERATED ST INCOME FD	A	Dividend	J	T	Sold (part)	06/10/20	J	A	
127. -DODGE & COX INCOME FUND	A	Dividend	J	T					
128. -HARDING LOEVNER EMERG MARKETS	A	Dividend	J	T	Buy (add'l)	07/28/20	J		
129. -JOHN HANCOCK DISC VAL MDCP FND	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
130. -LOOMIS SAYLES GRWT FND CL Y	A	Dividend	J	T	Sold (part)	07/27/20	J	B	
131. -METRO WEST TTL RET BND FND	A	Dividend	J	T	Sold (part)	06/10/20	J	A	
132. -MFS RESEARCH FND CL I	A	Dividend	J	T	Sold (part)	07/27/20	J	A	
133. -PGIM ABS RET BND FND	A	Dividend	J	T					
134. -T ROWE PRICE SM CAP VAL FND	A	Dividend	J	T					
135. -WELLS FARGO INTL VAL FND	A	Dividend			Sold	06/10/20	J	A	
136. -BANK OF MONTREAL SRS 1 CL F DUE 12/20/21	A	Interest	K	T	Buy	12/18/20	K		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
137. -PACIFIC FUNDS SH DUR INC FND	A	Dividend	L	T	Buy	01/14/20	K		
138. -JP MORGAN SM CAP EQ FND	A	Dividend	J	T	Buy	06/11/20	J		
139. -T ROWE PRICE OVERSEAS STOCK FD	A	Dividend	J	T	Buy	06/12/20	J		
140.					Buy (add'l)	07/28/20	J		
141. -JOHN HANCOCK INTL GRW FUND	A	Dividend	J	T	Buy	06/12/20	J		
142. -TRANS AMER HY BOND FUND	A	Dividend	J	T	Buy	06/12/20	J		
143. -ISHARES TR 3 - 7 YR TREAS BD	A	Int./Div.	J	T	Buy	03/13/20	J		
144.					Buy (add'l)	11/03/20	J		
145.					Sold (part)	03/19/20	J	A	
146.					Sold (part)	06/12/20	J	A	
147. -ISHARES TR MSCI AC ASIA	A	Int./Div.	K	T	Buy	03/18/20	J		
148.					Buy (add'l)	09/03/20	J		
149.					Buy (add'l)	11/03/20	J		
150. -SPDR FIN SEL SECTOR FUND	A	Int./Div.	K	T	Buy	03/23/20	J		
151.					Buy (add'l)	03/31/20	J		
152.					Buy (add'l)	06/16/20	J		
153.					Buy (add'l)	11/03/20	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
154. -SPDR IND SEL SECTOR FD	A	Int./Div.	K	T	Buy	03/23/20	J		
155.					Buy (add'l)	03/31/20	J		
156.					Buy (add'l)	06/16/20	J		
157.					Buy (add'l)	09/03/20	J		
158.					Buy (add'l)	11/03/20	J		
159. -ISHARES TR RUSSELL 2000 ETF	A	Int./Div.	J	T	Buy	03/31/20	J		
160.					Buy (add'l)	11/03/20	J		
161. -SPDR ENERGY SEL SECTOR FD	A	Int./Div.	J	T	Buy	03/31/20	J		
162.					Buy (add'l)	12/08/20	J		
163. RAYMOND JAMES INVESTMENTS (H)									
164. -RJ BANK DEPOSIT PROGRAM	A	Interest	M	T					
165. -ALTRIA GROUP INC	B	Dividend	K	T					
166. -AT&T INC	A	Dividend			Sold	05/13/20	J	A	
167. -BRISTOL MYERS SQUIBB CO	A	Dividend	J	T					
168. -CHEVRON CORP NEW	A	Dividend			Sold	07/14/20	J	B	
169. -COMCAST CORP NEW CL A	A	Dividend			Sold	05/13/20	J	A	
170. -DEERE & CO	A	Dividend			Sold	07/14/20	J	D	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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171. -JPMORGAN LIQUID ASSTS MM	A	Dividend			Sold	03/17/20	L	A	
172. -FIMM MM PORT FUND INST CL	A	Dividend			Sold	03/17/20	L	A	
173. -FEDEX CORP	A	Dividend	K	T					
174. -INTERNATIONAL PAPER CO	A	Dividend			Sold	05/13/20	J	A	
175. -JP MORGAN CHASE & CO	A	Dividend			Sold	05/13/20	J	B	
176. -MERCK & COMPANY INC	A	Dividend	J	T					
177. -PACIFIC FUNDS CORE INCOME FND	A	Dividend	K	T	Buy	01/14/20	K		
178. -PACIFIC FUNDS SHORT TERM DUR	A	Dividend	K	T	Buy	01/14/20	K		
179. -PEPSICO INC	A	Dividend	J	T					
180. -PRIN FINL GRP INC	A	Dividend	J	T	Sold (part)	12/30/20	J	C	
181. -PRIN TAX EXEMPT BOND FND	B	Dividend	L	T	Buy	01/13/20	K		
182. -VISA INC COM CL A	A	Dividend	L	T					
183. -FIRST TR ETF IV FIRST TR ENH SHT MATURITY ETF NEW	A	Dividend	K	T					
184. -SPDR ENERGY SELECT SECTOR	A	Dividend	J	T	Buy	07/16/20	J		
185. -HIGHLANDS REIT INC	A	Dividend	J	T					
186. INVENTRUST PROPERTIES CORP	A	Dividend	J	T					
187. ENPRO INDUSTRIES INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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188. AXA EQUITABLE LIFE INS - VARIABLE LIFE INSURANCE (H)									
189. - EQ/COMMON STOCK INDEX	A	Interest	J	T					
190. RESIDENTIAL RENTAL, OXFORD MS ($150,301) PURCHASED 2008	A	Rent			Sold	01/23/20	M	G	
191. REES - MEMPHIS INC	E	Dividend			Sold	12/29/20	M	G	RESTART EQUITY GROUP LLC
192. BANK3	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. INVESTMENTS and TRUSTS, LINE 192: BANK3 COMMON STOCK THAT WAS PURCHASED IN 2017 WAS INADVERTENTLY OMITTED FROM THE FINANCIAL DISCLOSURE REPORT SUBMITTED FOR THE CALENDAR YEAR 2020.

THIS AMENDED FILING CORRECTS THIS OMISSION.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ MARK S. NORRIS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544