AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pacold, Martha M.	U.S. District Court for the Northern District of Illinois	05/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Everett McKinley Dirksen U.S. Courthouse 219 South Dearborn Street Room 1460 Chicago, IL 60604		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	9/2007	Bartlit Beck Herman Palenchar & Scott LLP (former law firm)'s 401(k) plan - Filer will continue to participate in plan. No contributions will be made by firm.
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pacold, Martha M.	05/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(k) Plan (H)									
2. American Funds American Balanced R6 (RLBGX)	E	Dividend	N	T					
3. Brokerage Account #1 (H)									
4. Northwestern Mutual FDIC Insured Deposit Progrm (sweep deposit acct)	A	Interest	J	T					
5. Van Eck Constant Maturity Commodity Index Fund Cl Y (CMCYX)	C	Dividend	K	T	Sold (part)	12/16/21	J	A	
6. iShares Tr Gold iShares New (IAU)	A	Dividend	J	T					
7. iShares Inc MSCI Eurozone ETF (EZU)	A	Dividend	K	T	Buy	06/07/21	K		
8. iShares Tr Core S&P Mid-Cap ETF (IJH)	A	Dividend	L	T	Sold (part)	06/07/21	J	D	
9. iShares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend	K	T	Sold (part)	12/16/21	J	B	
10. iShares Tr Natl Mun Bd ETF Fd (MUB)	A	Dividend	L	T	Buy (add'l)	06/07/21	K		
11. iShares Tr MSCI USA Value Factor ETF (VLUE)	A	Dividend	K	T	Sold (part)	12/16/21	J	A	
12. SPDR S&P 500 ETF Tr Tr Unit (SPY)	C	Dividend	M	T	Sold (part)	01/19/21	K	D	
13.					Sold (part)	12/16/21	J	D	
14. SPDR Ser Tr Nuveen Bloomberg Barclays Mun Bd ETF (TFI)	A	Dividend	K	T					
15. SPDR Ser Tr Nuveen Bloomberg Barclays Short Term Mun Bd ETF (SHM)	A	Dividend	J	T					
16. VanEck Vectors ETF Tr High-Yield Mun Index ETF New (HYD)	A	Dividend	J	T					
17. Vanguard Tax-Managed Intl Fd FTSE Developed Mkts ETF (VEA)	C	Dividend	M	T	Sold (part)	06/07/21	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Buy (add'l)	12/28/21	J		
19.	Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF (VWO)	B	Dividend	L	T	Sold (part)	06/07/21	J	B	
20.	Vanguard Index Fds Real Estate Index Fd ETF (VNQ)	A	Dividend	K	T	Buy (add'l)	01/19/21	J		
21.						Sold (part)	12/16/21	J	B	
22.	IRA (H)									
23.	Northwestern Mutual FDIC Insured Deposit Progrm (sweep deposit acct)	A	Interest	J	T					
24.	Invesco Balanced- Risk Commodity Strategy Fund Cl Y (BRCYX)	A	Dividend	J	T					
25.	Baron Asset Fund Institutional Class (BARIX)	A	Distribution	J	T					
26.	BlackRock Systematic Multi-Strategy Fund Institutional Class (BIMBX)	A	Dividend	J	T	Buy	01/19/21	J		
27.	Dodge & Cox Income Fund (DODIX)	A	Dividend	J	T					
28.	Europacific Growth Fund Class F-2 (AEPFX)	A	Dividend	J	T	Sold (part)	06/07/21	J	A	
29.	Fidelity Advisor New Insights Fund Class I (FINSX)	A	Distribution	J	T					
30.	Goldman Sachs International Small Cap Insights Fund Class I (GICIX)	A	Dividend	J	T					
31.	Goldman Sachs Absolute Return Tracker Fd Inst'l Cl (GJRTX)	A	Dividend	J	T					
32.	Oakmark International Fund Advisor Class (OAYIX)	A	Dividend	J	T					
33.	John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	A	Dividend	J	T					
34.	MFS Value Fund Class I (MEIIX)	A	Dividend	J	T					

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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35. New World Fund Class F-2 (NFFFX)	A	Dividend	J	T					
36. Northern Small Cap Value Fund (NOSGX)	A	Dividend	J	T					
37. Principal Real Estate Securities Fd Cl I (PIREX)	A	Dividend	J	T					
38. Principal Global Multi Strategy Fund Class I (PSMIX)		None			Sold	01/19/21	J	A	
39. T Rowe Price Emerging Markets Stock (PRMSX)	A	Dividend	J	T					
40. TIAA-CREF Bond Fund Advisor Class (TIBHX)	A	Dividend	J	T					
41. Goldman Sachs ETF Tr Tr Activebeta U S Large Cap Equity ETF (GSLC)	A	Dividend	J	T					
42. iShares Gold Tr iShares (IAU)	A	Dividend	J	T					
43. iShares Tr MSCI USA Value Factor ETF (VLUE)	A	Dividend	J	T					
44. iShares Tr MSCI Intl Value Factor ETF (IVLU)	A	Dividend	J	T	Buy	06/07/21	J		
45. Brokerage Account #2 (H)									
46. Schwab Bank Savings Sweep account	A	Interest	J	T					
47. Invesco FTSE RAFI Developed Markets Ex-U.S. ETF (PXF)	A	Dividend	J	T					
48. Invesco FTSE RAFI Developed Markets Ex-U.S. Small-Mid ETF (PDN)	A	Dividend	J	T					
49. Invesco FTSE RAFI Emerging Markets ETF (PXH)	A	Dividend	J	T					
50. Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ)	A	Dividend	J	T	Sold (part)	03/10/21	J	A	
51. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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52. iShares Core US REIT ETF (USRT)	A	Dividend	J	T					
53. Schwab Emerging Markets Equity ETF (SCHE)	A	Dividend	J	T					
54. Schwab Funda Emg Mkts Large Com ETF (FNDE)	A	Dividend	J	T					
55. Schwab Fundamental Inl Large Com ETF (FNDF) (Y)									
56. Schwab Fundamental Intl Smal Com ETF (FNDC)	A	Dividend	J	T					
57. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	K	T					
58. Schwab Fundamental US Small Com ETF (FNDA) (Y)									
59. Schwab International Equity ETF (SCHF) (Y)									
60. Schwab US Large Cap ETF (SCHX)	A	Dividend	J	T					
61. Schwab US Small Cap ETF (SCHA) (Y)									
62. Vanguard FTSE Developed Markets ETF IV (VEA)	A	Dividend	J	T					
63. Vanguard Glbal Ex US Real Estate ETF IV (VNQI)	A	Dividend	J	T					
64. Vanguard S&P 500 ETF (VOO)	A	Dividend	J	T					
65. Vanguard Small Cap ETF IV (VB)	A	Dividend	J	T					
66. Vgrd FTSE All Wrld Ex US Sml Cap ETF (VSS)	A	Dividend	J	T					
67. Roth IRA #1 (H)									
68. Schwab Bank Savings Sweep account	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. Charles Schwab US REIT ETF (SCHH)	A	Dividend	J	T					
70. Invesco FTSE RAFI US 1500 Small-Mid ETF (PRFZ) (X)	A	Dividend	J	T					
71. iShares Core MSCI Emerging ETF (IEMG)	A	Dividend	J	T					
72. Schwab Funda Emg Mkts Large Com ETF (FNDE)	A	Dividend	J	T	Buy (add'l)	12/14/21	J		
73. Schwab Fundamental Inl Large Com ETF (FNDF)	A	Dividend	J	T					
74. Schwab Fundamental Intl Smal Com ETF (FNDC)	A	Dividend	J	T					
75. Schwab Fundamental US Large Co ETF (FNDX)	A	Dividend	K	T					
76. Schwab Fundamental US Small Com ETF (FNDA)	A	Dividend	J	T					
77. Schwab Internatnal Small Cap Eqy ETF (SCHC)	A	Dividend	J	T					
78. Schwab US Small Cap ETF (SCHA)	A	Dividend	J	T	Sold (part)	01/06/21	J	A	
79. Vanguard FTSE Developed Markets ETF IV (VEA)	A	Dividend	J	T					
80. Vanguard Glbal Ex US Real Estate ETF IV (VNQI)	A	Dividend	J	T					
81. Vanguard S&P 500 ETF (VOO)	A	Dividend	K	T	Buy (add'l)	01/06/21	J		
82. Bank of America cash accounts	A	Interest	J	T					
83. Capital One cash accounts	A	Interest	J	T					
84. HSA Bank cash account (HSA)	A	Interest	K	T					
85. Northwestern Mutual Adjustable Complife Life Insurance (whole)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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86. Northwestern Mutual Adjustable Complife Life Insurance (whole)	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Pacold, Martha M.	05/13/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Martha M. Pacold

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544