AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Palk, Scott L.	U.S. District Court, Western District of Oklahoma	05/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
U.S. Courthouse 200 N.W. 4th Street Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2021	University of Oklahoma - Salary
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Palk, Scott L.	05/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	Tinker Federal Credit Union Cash Account	A	Interest	M	T					
2.	USAA Federal Savings Bank Cash Account	A	Interest	K	T					
3.	Bancfirst Cash Account	C	Interest	O	T					
4.	JP Morgan Investor Balance Fund Class A	A	Dividend			Sold	09/23/21	K	B	
5.	JP Morgan Investor Growth & Income Fund	A	Dividend			Sold	09/23/21	K	B	
6.	Coca Cola Common Stock	A	Dividend	J	T					
7.	U.S. Treasury Series E & I Bonds	B	Interest	M	T					
8.	Vanguard Total Stock Market Indes IRA #1	A	Dividend			Sold	09/07/21	K	A	
9.	AF Target Date 2020 - Fidelity 403(b) & 457 Plans	A	Dividend			Sold	08/30/21	M	A	
10.	AF Target Date 2025 - Fidelity 406(b) & 457 Plans	A	Dividend			Sold	09/13/21	M	A	
11.	Bitcoin		None	K	T					
12.	Ethereum		None	J	T					
13.	Selexis/Tetherex Pharmaceuticals Stock	E	Distribution	L	T					
14.	Paratus Diagnostics, LLC		None	L	W					
15.	Oklahoma Teachers Retirement System #2	C	Dividend	L	T					
16.	Oklahoma Teachers Retirement System #1	C	Dividend	L	T					
17.	Guardian Investor II VA B Series - Annuity (Fixed)	D	Dividend			Sold	09/16/21	M	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Palk, Scott L.	05/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. Linear Services, LLC		None	M	U					
19. Chain Link		None	J	T					
20. Morgan Stanley - Mutual Fund	A	Dividend			Sold	09/03/21	J	A	
21. Assets #1 and #2 (H)									
22. -Intel Corp.		None	J	T					
23. -Marriott International A	A	Dividend	J	T					
24. -American Balanced A	A	Dividend	J	T					
25. -American Global Balanced A	A	Dividend	J	T					
26. -American Inc. Fund of America A	A	Dividend	J	T					
27. -American Wash. Mutual Inv a	A	Dividend	J	T					
28. -MS Insight A		None	J	T					
29. Traditional IRA #2 (H)									
30. -American Balanced A	C	Dividend	M	T					
31. -American Global Balanced A	D	Dividend	M	T					
32. -American Growth FD of America A	D	Dividend	L	T					
33. -American Inc Fund of America A	B	Dividend	M	T					
34. -American Washing Mutual Inv A	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
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3 Value Method Codes (See Column C2)
Q =Appraisal
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S =Assessment
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35. Traditional IRA #1 (H)									
36. -American Balanced A	B	Dividend	L	T					
37. -American Global Balanced A	B	Dividend	L	T					
38. -American Growth FD of America A	C	Dividend	K	T					
39. -American Inc Fund of America A	B	Dividend	L	T					
40. -American Washington Mutual Inv A	B	Dividend	L	T					
41. Retirement Investments #1 (H)									
42. -Fidelity 500 Index Fund	B	Dividend	J	T					
43. -Hero Global X FDS VDEO GAM ESPRT	B	Dividend	J	T					
44. -FD Total Mkt Idx	E	Dividend	M	T					
45. -AF Target Date 2025	D	Dividend			Sold	01/05/21	M	D	
46. -AF Target Date 2030	A	Dividend	J	T	Sold (part)	01/05/21	N	E	
47. -AF Target Date 2040	A	Dividend	J	T					
48. -AF Target Date 2045	A	Dividend	K	T					
49. -AF Target Date 2050	A	Dividend	J	T					
50. -Vang ST Bond IDX	B	Dividend	M	T					
51. -Vang Treasury MM	A	Dividend	O	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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52. -TRP Stable Value	C	Dividend	M	T					
53. Roth IRA #3 (H)									
54. -Am Cap Wrld Growth & Inc A		None	J	T					
55. -Am Growth FD of Amer A		None	J	T					
56. -American New World A		None	J	T					
57. -American Wash Mutual Inv A		None	J	T					
58. Mutual Funds #3 (H)									
59. -American Balanced A		None	J	T					
60. -American Cap Wrld Grw & Inc A		None	J	T					
61. -American Fundamental Inv A		None	J	T					
62. -American Growth FD of Amer A		None	J	T					
63. -American New Perspective A		None	J	T					
64. -American Wash Mutual Inv A		None	J	T					
65. -JP Morgan Large Cap Growth A	A	Dividend	J	T					
66. -Vanguard Dividend Growth Inv	A	Dividend	J	T					
67. Stock #3 (H)									
68. -Apple Inc		None	J	T					

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69. -Coinbase Global Inc.		None	J	T					
70. -Nio Inc		None	J	T					
71. Robinhood Securities, LLC #3	A	Dividend	J	U					
72. Crypto Currency #3 (H)									
73. -Bitcoin		None	J	T					
74. -ADA		None	J	T					
75. Mutual Funds #4 (H)									
76. -American Balanced A		None	J	T					
77. -American Cap Wrld Grw & Inc A		None	J	T					
78. -American Growth FD of Amer A		None	J	T					
79. -American Wash Mutual Inv A		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 9, Value Code on 2020 Report should have been M.

Part VII, Line 11 AF Target Date 2030 - Fidelity 403(b) 457 Plans should have reflected that this asset was exchanged in 2020; therefore I have removed it from the current report. Did not hold this asset during reporting period.

Part VII, Line 14 Selexix/Tetherix Pharmaceuticals Stock should have reflected a value code of K on 2020 Report.

Part VII, Lines 16, 17 and 18 were sold in 2020 and have been removed from current report.

Part VII, Line 16 of the current report was inadvertenly omitted from the 2020 Report

Part VII, Line 20 of the current report was inadvertenlty omitted from the 2020 Report.

Part VII, Lines 22 and 23 of the current report were inadvertently omitted from the 2020 Report.

Part VII, Lines 35-52 of current report were inadvertently omitted from Reports 2018 - 2020.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Scott L. Palk

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544