AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Palk, Scott L.	U.S. District Court, Western District of Oklahoma	07/12/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U. S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 200 N.W. 4th Street Oklahoma City, OK 73102		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Oklahoma Teachers' Retirement Plan - defined benefit retirement plan	$0.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	University of Oklahoma - Salary
2.	2023	Oklahoma Teachers Retirement System - Defined benefit retirement plan
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Palk, Scott L.	07/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Tinker Federal Credit Union Cash Account	A	Interest	K	T					
2. USAA Federal Savings Bank Cash Account	A	Interest	J	T					
3. Bancfirst Cash Account	A	Interest	N	T					
4. Coca Cola Common Stock	A	Dividend	J	T					
5. U.S. Treasury Series E & I Bonds	B	Interest	M	T					
6. Bitcoin		None			Sold	07/25/23	J	A	
7. Ethereum		None			Sold	07/25/23	J	A	
8. Selexis/Tetherex Pharmaceuticals Stock		None	L	T					
9. Paratus Diagnostics, LLC		None	L	W					
10. Linear Services, LLC		None	L	U					
11. Chain Link		None			Sold	07/25/23	J	A	
12. Assets #1 and #2 (H)									
13. -Intel Corp.	A	Dividend	J	T					
14. -Marriott International A	A	Dividend	J	T					
15. -American Balanced A	A	Dividend	J	T					
16. -American Global Balanced A	A	Dividend	J	T					
17. -American Growth Fd of America A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Palk, Scott L.	07/12/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
18. -American Inc. Fund of America A	A	Dividend	J	T					
19. -American Wash. Mutual Inv a	A	Dividend	J	T					
20. -MS Insight A		None	J	T					
21. Traditional IRA #2 (H)									
22. -American Balanced A	B	Dividend	M	T					
23. -American Global Balanced A	A	Dividend	M	T					
24. -American Growth FD of America A	D	Dividend	L	T					
25. -American Inc Fund of America A	B	Dividend	M	T					
26. -American Washing Mutual Inv A	C	Dividend	M	T					
27. Traditional IRA #1 (H)									
28. -American Balanced A	A	Dividend	L	T					
29. -American Global Balanced A	A	Dividend	L	T					
30. -American Growth FD of America A	C	Dividend	K	T					
31. -American Inc Fund of America A	A	Dividend	L	T					
32. -American Washington Mutual Inv A	B	Dividend	L	T					
33. Retirement Investments #1 (H)									
34. -Fidelity 500 Index Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
35. -Hero Global X FDS VDEO GAM ESPRT	A	Dividend	J	T					
36. -AF Target Date 2030		None	J	T					
37. -AF Target Date 2040		None	J	T					
38. -AF Target Date 2045		None	L	T					
39. -AF Target Date 2050		None	L	T					
40. -Vang ST Bond IDX	A	Dividend	M	T					
41. -Vang Treasury MM	A	Dividend	O	T					
42. -TRP Stable Value	A	Dividend	M	T					
43. Roth IRA #3 (H)									
44. -Am Cap Wrld Growth & Inc A	A	Dividend	J	T					
45. -Am Growth FD of Amer A	A	Dividend	J	T					
46. -American New World A	A	Dividend	J	T					
47. -American Wash Mutual Inv A	A	Dividend	J	T					
48. Mutual Funds #3 (H)									
49. -American Balanced A	A	Dividend	J	T					
50. -American Cap Wrld Grw & Inc A	A	Dividend	J	T					
51. -American Fundamental Inv A	A	Dividend	J	T					

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52. -American Growth FD of Amer A	A	Dividend	J	T					
53. -American New Perspective A	A	Dividend	J	T					
54. -American Wash Mutual Inv A	A	Dividend	J	T					
55. -JP Morgan Large Cap Growth A		None	J	T					
56. -Vanguard Dividend Growth Inv	A	Dividend	J	T					
57. Stock #3 (H)									
58. -Apple Inc		None	J	T					
59. -Coinbase Global Inc.		None	J	T					
60. -Nio Inc		None	J	T					
61. Robinhood Securities, LLC #3		None	J	U					
62. Crypto Currency #3 (H)									
63. -Bitcoin		None	J	T					
64. -ADA (Y) See Part VIII									
65. JasmyCoin		None	J	T					
66. Orchid		None	J	T					
67. Mutual Funds #4 (H)									
68. -American Balanced A	A	Dividend	J	T					

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69. -American Cap Wrld Grw & Inc A	A	Dividend	J	T					
70. -American Growth FD of Amer A	A	Dividend	J	T					
71. -American Wash Mutual Inv A	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A, Line 1: this is a defined benefit retirement account I paid into while employed at the University of Oklahoma. I do not continue to pay into the plan nor does the University. I do not receive any funds from the plan until I retire, at which time the plan will pay according to a formula based on my years of University service and a percentage of my final average University salary. The fund was listed in Part VII of my report last year and was moved to Part III-A pursuant to the recommendation of the Committee by letter dated February 7, 2024.

Part III-B, Line 2: this is a defined benefit retirement plan of my [redacted] from [redacted] current employer. The plan was listed in Part VII of my report last year and was moved to Part III-B pursuant to the recommendation of the Committee by letter dated February 7, 2024.

Part VII, Lines 10, 11: On the 2023 report, Oklahoma Teachers Retirement System #2 and #1 were listed. Those have been moved to Part III-A and Part III-B as described above.

Part VII, Line 37 listed FD Total Mkt Idx which was sold in 2022 and has been removed from the current report.

Part VII, Line 64: Asset was sold in 2021 and the transaction was inadvertenly omitted. The asset is ADA (Cardano), a cryptocurrency my [redacted] purchased (Value code J) and sold (Value code J, Gain code A). The asset was sold on November 2, 2021. The box would not allow me to enter a date outside the date range of 2023. The asset never generated income, never exceeded the Value code J, and was sold at a loss (no gain).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Scott L. Palk

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544