AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pappert, Gerald J.	U.S. District Court, Eastern District of Pennsylvania	04/12/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021

7. Chambers or Office Address

U.S. Courthouse
601 Market Street, Room 11614
Philadelphia, PA 19106

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	General Partner	Marza, L.P.
2.	Trustee	Trust No 1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2001	Pennsylvania State Employee's Retirement System Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2021	Pennsylvania State Retirement Benefits	$8,451.72
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GOLDMAN SACHS BK USA NY CD	A	Interest			Redeemed	04/08/21	K	A	
2. MOUNT LEBANON PA GO BDS SER	C	Interest	M	T					
3. ORACLE CORP BOND CALL MAKE WHOLE	A	Interest			Redeemed	07/08/21	K		
4. PARK CITY KANS GO REF BDS SER	B	Interest	M	T					
5. PFIZER INC NOTE CALL MAKE WHOLE		None			Sold	03/25/21	K	A	
6. AMERICAN EUROPACIFIC GROWTH CLASS F2	A	Dividend	N	T	Buy (add'l)	03/24/21	J		
7.	E	Distribution			Sold (part)	04/23/21	J	B	
8.					Sold (part)	10/07/21	J	C	
9. CALAMOS MARKET NEUTRAL INCOME CL 1	A	Dividend	L	T	Buy (add'l)	01/28/21	J		
10.					Buy (add'l)	05/11/21	K		
11.					Buy (add'l)	08/23/21	K		
12.					Sold (part)	01/20/21	J	A	
13.					Sold (part)	02/11/21	J	B	
14.					Sold (part)	03/17/21	J	A	
15.					Sold (part)	03/24/21	J	A	
16.					Sold (part)	05/11/21	J	A	
17. FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	FIDELITY TREASURY MONEY MARKET FUND	A	Dividend	M	T					
19.	ISHARE INC CORE MSCI EMERGING MKTS ETF	E	Dividend	N	T	Buy (add'l)	03/24/21	K		
20.						Sold (part)	01/06/21	J	B	
21.						Sold (part)	01/08/21	J	C	
22.						Sold (part)	01/20/21	J	B	
23.						Sold (part)	02/11/21	K	D	
24.						Sold (part)	10/15/21	L	E	
25.						Sold (part)	10/18/21	M	E	
26.	ISHARES CORE S&P MID-CAP EFT	D	Dividend	O	T	Sold (part)	03/24/21	K	D	
27.						Sold (part)	04/26/21	L	E	
28.						Sold (part)	11/30/21	K	E	
29.	ISHARES CORE S&P SMALL-CAP ETF	B	Dividend	L	T	Sold (part)	01/27/21	J	D	
30.						Sold (part)	02/02/21	K	E	
31.						Sold (part)	02/11/21	K	E	
32.						Sold (part)	06/25/21	J	D	
33.						Sold (part)	09/10/21	J	C	
34.						Sold (part)	10/06/21	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Sold (part)	11/30/21	L	E	
36. ISHARES CORE S&P 500 ETF	F	Dividend	P1	T	Sold (part)	03/09/21	J	B	
37.					Sold (part)	03/17/21	K	E	
38.					Sold (part)	03/24/21	L	E	
39.					Sold (part)	06/18/21	J	C	
40.					Sold (part)	06/25/21	K	E	
41.					Sold (part)	07/20/21	J	C	
42.					Sold (part)	09/10/21	K	D	
43.					Sold (part)	10/06/21	K	E	
44.					Sold (part)	10/26/21	K	D	
45.					Sold (part)	11/30/21	O	G	
46. ISHARES RUSSELL 2000 ETF	C	Dividend	N	T	Sold (part)	01/06/21	J	C	
47.					Sold (part)	01/20/21	J	D	
48.					Sold (part)	03/09/21	J	A	
49.					Sold (part)	07/22/21	K	D	
50. ISHARES US TECHNOLOGY ETF		None			Sold (part)	03/08/21	K	E	
51.					Sold	03/24/21	L	F	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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52. VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF	B	Dividend	M	T					
53. AMERICAN WASHINGTON MUTUAL INVESTORS F2	B	Dividend	L	T	Buy (add'l)	04/21/21	L		
54.	C	Distribution			Buy (add'l)	04/23/21	J		
55.					Buy (add'l)	07/09/21	J		
56. FLBEACH, OC, LLC	E	Distribution			Redeemed	07/31/21	K	E	
57. ALTOONA NPRE, LP		None	L	U					
58. PACIFIC LIFE FIXED ACCOUNT	B	Interest	L	T					
59. PACIFIC LIFE 1-YEAR HIGH PAR INDEX (S & P 500 INDEX)	E	Interest	M	T					
60. 1 YEAR INTERNATIONAL INDEX FUND EURO STOCK 500	D	Interest			Redeemed (part)	07/15/21	K		
61.					Redeemed (part)	08/15/21	K		
62.					Redeemed	09/15/21	K		
63. URBAN STORAGE FUND, LP	G	Dividend	J	U	Redeemed (part)	12/23/21	M		
64. VILLAGE OF CANTERBURY, LP		None	M	U					
65. HONEY FARMS MANAGEMENT, LLC	D	Interest			Redeemed	10/06/21	M		
66. ISHARES CORE MSCI EAFE ETF	D	Dividend	N	T	Sold (part)	01/08/21	L	D	
67.					Sold (part)	03/24/21	L	E	
68. HEMPFIELD PA AREA SCHOOL DISTRICT 3/15/2024	C	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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69. MISSOULA COUNTY MNT HIGH 07/01/2024	B	Interest	M	T					
70. MICROSOFT CORP NOTE 11/03/22		None			Sold	03/25/21	K	B	
71. STATE BK INDIA NEW YORK 3/06/2023	A	Interest			Sold	03/25/21	K	B	
72. FIDELITY TOTAL MARKET INDEX FUND	A	Dividend	J	T	Buy (add'l)	03/24/21	J		
73.					Sold (part)	04/23/21	J	B	
74. FIDELITY TOTAL BOND Cl Z	A	Dividend			Sold	03/24/21	K	A	
75. FIDELITY 500 INDEX FUND	A	Dividend			Sold	11/30/21	L	E	
76. GARDENS OF GERMANTOWN		None	M	U					
77. FIDELITY LOW VOLATILITY FACTOR EFT	A	Dividend			Sold	03/24/21	L	D	
78. TORTOISE MLP & PIPELINE	A	Dividend			Buy (add'l)	03/24/21	J		
79.					Sold (part)	04/21/21	J		
80.					Sold	05/27/21	J	A	
81. FAIRBANKS OHIO LOC SCH DIST	B	Interest	M	T					
82. GREENWOOD ARK SCHOO DIST	B	Interest	M	T					
83. TRENDTON TEX INDPT SCH DIST	C	Interest	M	T					
84. ALLY BK MIDVALE UTAH CD		None			Sold	03/25/21	K	A	
85. COMENITY CAP BK UTAH CD	A	Interest			Sold	03/25/21	K	B	

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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86. THE MERGER FUND CLASS I	A	Distribution	N	T	Buy (add'l)	07/09/21	J		
87.					Sold (part)	03/24/21	J	A	
88.					Sold (part)	05/11/21	J	A	
89. JP MORGAN HEDGED EQUITY CLASS I	A	Dividend	K	T	Sold (part)	03/24/21	J	A	
90.					Sold (part)	05/11/21	J	A	
91. ISHARES EDGE MSCI USA QUALITY FACTOR EFT	A	Dividend			Buy (add'l)	03/24/21	K		
92.					Sold	04/21/21	L	E	
93. ISHARES EDGE MSCI INTERNATIONAL QF ETF	A	Dividend	K	T	Sold (part)	03/24/21	J	C	
94.					Sold (part)	10/15/21	J	C	
95. FOUNDATION PREMIUM INCOME FUND LP		None	P1	T	Buy (add'l)	12/31/21	L		
96. BLACKROCK SYSTEMATIC MULTI-STRATINSTL	B	Dividend	M	T	Buy (add'l)	03/09/21	K		
97.	B	Distribution			Sold (part)	03/24/21	J		
98.					Sold (part)	05/11/21	J	A	
99. BAIRD AGGREGATE BOND INV	A	Dividend			Sold	03/24/21	K		
100. BOYD WATTERSON STATE GOVERNMENT FUND, LP	E	Dividend	O	U					
101. SAFSTOR		None	M	U					
102. 2 YEAR INDEX PACLIFE		None	M	T					

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2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. ABB LTD	A	Dividend	K	T	Buy	03/24/21	J		
104.					Buy (add'l)	03/25/21	J		
105.					Buy (add'l)	04/27/21	J		
106.					Buy (add'l)	05/26/21	J		
107.					Buy (add'l)	11/30/21	J		
108. ABBVIE INC		None	K	T	Buy	11/30/21	K		
109. ACCENTURE PLC A	A	Dividend	J	T	Buy	03/24/21	J		
110.					Buy (add'l)	03/25/21	J		
111.					Buy (add'l)	04/27/21	J		
112.					Buy (add'l)	07/09/21	J		
113. ALEXANDRIA REAL ESATE EQUITIES	A	Dividend	J	T	Buy	03/24/21	J		
114.					Buy (add'l)	03/25/21	J		
115.					Buy (add'l)	04/27/21	J		
116.					Buy (add'l)	05/26/21	J		
117. AMGEN INC	A	Dividend	K	T	Buy	03/24/21	J		
118.					Buy (add'l)	03/25/21	J		
119.					Buy (add'l)	04/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/26/21	J		
121.					Buy (add'l)	07/09/21	J		
122.					Buy (add'l)	11/30/21	K		
123. APPLE COMPUTER INC	A	Dividend	J	T	Buy	03/24/21	J		
124.					Buy (add'l)	03/25/21	J		
125.					Buy (add'l)	04/27/21	J		
126.					Buy (add'l)	05/26/21	J		
127. ATLANTICA YIELD PLC	A	Dividend	K	T	Buy	03/24/21	J		
128.					Buy (add'l)	03/25/21	J		
129.					Buy (add'l)	04/27/21	J		
130.					Buy (add'l)	05/26/21	J		
131.					Buy (add'l)	11/30/21	J		
132. BANCO DO BRASIL SA	A	Dividend	J	T	Buy	03/24/21	J		
133.					Buy (add'l)	03/25/21	J		
134.					Buy (add'l)	04/27/21	J		
135.					Buy (add'l)	07/09/21	J		
136. BEYOND MEAT INC		None	J	T	Buy	03/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	03/25/21	J		
138.					Buy (add'l)	04/27/21	J		
139.					Buy (add'l)	07/09/21	J		
140. BOSTON PROPERTIES INC		None	J	T	Buy	11/30/21	J		
141. BRANDYWINE REALTY TRUST	A	Dividend	K	T	Buy	03/24/21	J		
142.					Buy (add'l)	03/25/21	J		
143.					Buy (add'l)	04/27/21	J		
144.					Buy (add'l)	05/26/21	J		
145.					Buy (add'l)	12/02/21	J		
146. BRISTOL-MYERS SQUIBB CO		None	K	T	Buy	11/30/21	K		
147. BRITISH LAND CO PLC	A	Dividend	J	T	Buy	03/24/21	J		
148.					Buy (add'l)	03/25/21	J		
149.					Buy (add'l)	04/27/21	J		
150.					Buy (add'l)	05/26/21	J		
151. BROADCOM INC COM	A	Dividend	K	T	Buy	11/30/21	K		
152. BUNGE LTD	A	Dividend	J	T	Buy	03/24/21	J		
153.					Buy (add'l)	03/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	04/27/21	J		
155.					Buy (add'l)	05/26/21	J		
156.					Buy (add'l)	07/09/21	J		
157. CIE GENERALE DES ETABLISSEMENTS MICHELIN	A	Dividend	J	T	Buy	03/24/21	J		
158.					Buy (add'l)	03/25/21	J		
159.					Buy (add'l)	04/27/21	J		
160.					Buy (add'l)	05/26/21	J		
161. CISCO SYS INC	A	Dividend	K	T	Buy	03/24/21	J		
162.					Buy (add'l)	03/25/21	J		
163.					Buy (add'l)	04/27/21	J		
164.					Buy (add'l)	05/26/21	J		
165.					Buy (add'l)	11/30/21	K		
166. CITIGROUP		None	K	T	Buy	11/30/21	K		
167. CLEARWAY ENERGY INC		None	J	T	Buy	11/30/21	J		
168. CONSOLIDATED WATER LTD		None	J	T	Buy	11/30/21	J		
169. DISNEY WALT CO DEL		None	J	T	Buy	03/24/21	J		
170.					Buy (add'l)	03/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/27/21	J		
172.					Buy (add'l)	05/26/21	J		
173. EASTERN BANKSHARES INC	A	Dividend	J	T	Buy	03/24/21	J		
174.					Buy (add'l)	03/25/21	J		
175.					Buy (add'l)	04/27/21	J		
176.					Buy	07/09/21	J		
177. ENBRIDGE INC COM		None	K	T	Buy	11/30/21	K		
178. ENERY COMPANY OF MINAS GERAIS	A	Dividend	J	T	Buy	03/24/21	J		
179.	A	Distribution			Buy (add'l)	03/25/21	J		
180.					Buy (add'l)	04/27/21	J		
181.					Buy (add'l)	07/09/21	J		
182. ENETI ORD	A	Dividend	J	T	Buy	03/24/21	J		
183.					Buy (add'l)	03/25/21	J		
184.					Buy (add'l)	04/27/21	J		
185.					Buy (add'l)	05/26/21	J		
186.					Buy (add'l)	07/09/21	J		
187. EXELON CORP		None	K	T	Buy	11/30/21	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. FIRST HAWAIIAN INC	A	Dividend	K	T	Buy	03/24/21	J		
189.					Buy (add'l)	03/25/21	J		
190.					Buy (add'l)	04/27/21	J		
191.					Buy (add'l)	05/26/21	J		
192.					Buy (add'l)	11/30/21	J		
193. FRANKLIN RESOURCES INC		None	K	T	Buy	11/30/21	K		
194. GENERAL MILLS INC		None	K	T	Buy	11/30/21	K		
195. GILEAD SCIENCES INC	A	Dividend	K	T	Buy	03/24/21	J		
196.					Buy (add'l)	03/25/21	J		
197.					Buy (add'l)	04/27/21	J		
198.					Buy (add'l)	05/26/21	J		
199.					Buy (add'l)	11/30/21	K		
200. HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE	A	Dividend	J	T	Buy	03/24/21	J		
201.					Buy (add'l)	03/25/21	J		
202.					Buy (add'l)	04/27/21	J		
203.					Buy (add'l)	05/26/21	J		
204. HERCULES CAPITAL INC	A	Dividend	K	T	Buy	03/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	03/25/21	J		
206.					Buy (add'l)	04/24/21	J		
207.					Buy (add'l)	05/26/21	J		
208.					Buy (add'l)	11/30/21	J		
209. HEWLETT PACKARD ENTERPRISE		None	J	T	Buy	11/30/21	J		
210. HONDA MOTOR CO	A	Dividend	K	T	Buy	03/24/21	J		
211.					Buy (add'l)	03/25/21	J		
212.					Buy (add'l)	04/27/21	J		
213.					Buy (add'l)	05/26/21	J		
214.					Buy (add'l)	11/30/21	K		
215. INTEL CORP	A	Dividend	K	T	Buy	03/24/21	J		
216.					Buy (add'l)	03/25/21	J		
217.					Buy (add'l)	04/27/21	J		
218.					Buy (add'l)	05/26/21	J		
219.					Buy (add'l)	11/30/21	K		
220. INTL BUSINESS MACHINE	A	Dividend	K	T	Buy	03/24/21	J		
221.					Buy (add'l)	03/25/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	04/27/21	J		
223.					Buy (add'l)	05/26/21	J		
224.					Buy (add'l)	11/30/21	K		
225. JM SMUCKER		None	K	T	Buy	11/30/21	K		
226. JOHNSON CONTROLS INTERNATIONAL PLC	A	Dividend	J	T	Buy	03/24/21	J		
227.					Buy (add'l)	03/25/21	J		
228.					Buy (add'l)	04/27/21	J		
229.					Buy (add'l)	05/26/21	J		
230. KEYCORP		None	K	T	Buy	11/30/21	K		
231. KRAFT HEINZ CO COM		None	J	T	Buy	11/30/21	J		
232. KYNDRYL HOLDINGS ORD WI	A	Distribution	J	T	Buy	03/24/21	J		
233.					Buy (add'l)	03/25/21	J		
234.					Buy (add'l)	04/27/21	J		
235.					Buy (add'l)	05/26/21	J		
236. LYONDELLBASELL INDUSTRIES NV		None	K	T	Buy	11/30/21	K		
237. MERCK & CO		None	K	T	Buy	11/30/21	K		
238. MILLERKNOLL INC	A	Dividend	J	T	Buy	03/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.					Buy (add'l)	03/25/21	J		
240.					Buy (add'l)	04/27/21	J		
241.					Buy (add'l)	07/09/21	J		
242. MIX TELEMATICS LTD	A	Dividend	J	T	Buy	03/24/21	J		
243.					Buy (add'l)	03/25/21	J		
244.					Buy (add'l)	04/27/21	J		
245.					Buy (add'l)	05/26/21	J		
246. MUNCH RUCK-GESELLSCHAFT AF ADR	A	Dividend	J	T	Buy	03/24/21	J		
247.					Buy (add'l)	03/25/21	J		
248.					Buy (add'l)	04/27/21	J		
249.					Buy (add'l)	05/26/21	J		
250.					Buy (add'l)	07/09/21	J		
251. NEXTERA ENERGY INC	A	Dividend	J	T	Buy	03/24/21	J		
252.					Buy (add'l)	03/25/21	J		
253.					Buy (add'l)	04/27/21	J		
254.					Buy (add'l)	05/26/21	J		
255.					Buy (add'l)	07/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. NEXTERA ENERGY PARTNERS LP	A	Dividend	K	T	Buy	03/24/21	J		
257.					Buy (add'l)	03/25/21	J		
258.					Buy (add'l)	04/27/21	J		
259.					Buy (add'l)	05/26/21	J		
260.					Buy (add'l)	11/30/21	K		
261. NOKIA OYJ		None	J	T	Buy	03/24/21	J		
262.					Buy (add'l)	03/25/21	J		
263.					Buy (add'l)	04/27/21	J		
264. NOVARTIS AG		None	K	T	Buy	03/24/21	J		
265.					Buy (add'l)	03/25/21	J		
266.					Buy (add'l)	04/27/21	J		
267.					Buy (add'l)	05/26/21	J		
268.					Buy (add'l)	11/30/21	K		
269. NOVO NORDISK A/S	A	Dividend	K	T	Buy	03/24/21	J		
270.					Buy (add'l)	03/25/21	J		
271.					Buy (add'l)	04/27/21	J		
272.					Buy (add'l)	05/26/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. ORACLE CORPORATION	A	Dividend	J	T	Buy	03/24/21	J		
274.					Buy (add'l)	03/25/21	J		
275.					Buy (add'l)	04/27/21	J		
276.					Buy (add'l)	05/26/21	J		
277. OWL ROCK CAPITAL ORD		None	J	T	Buy	11/30/21	J		
278. PATTERSON COMPANIES INC		None	K	T	Buy	11/30/21	K		
279. PROCTER & GAMBLE CO		None	K	T	Buy	11/30/21	K		
280. PRUDENTIAL FINANCIAL INC		None	K	T	Buy	11/30/21	K		
281. PUBLIC SVC ENTERPRISE GROUP	A	Dividend	K	T	Buy	11/30/21	K		
282. RED ELECTRICA CORPORACION SA UNSPONSORED ADR	A	Dividend	K	T	Buy	03/24/21	J		
283.					Buy (add'l)	03/25/21	J		
284.					Buy (add'l)	04/27/21	J		
285.					Buy (add'l)	07/09/21	J		
286.					Buy (add'l)	11/30/21	K		
287. REGIIONS FINANCIAL CORP		None	J	T	Buy	11/30/21	J		
288. REYNOLDS CONSUMER PRODUCTS ORD		None	K	T	Buy	11/30/21	K		
289. SCHNEIDER ELECTRIC SE	A	Dividend	J	T	Buy	03/24/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	03/25/21	J		
291.					Buy (add'l)	04/27/21	J		
292.					Buy (add'l)	05/26/21	J		
293. SCHNITZER STEEL INDUSTRIES INC	A	Dividend	J	T	Buy	03/24/21	J		
294.					Buy (add'l)	03/25/21	J		
295.					Buy (add'l)	04/27/21	J		
296.					Buy (add'l)	07/09/21	J		
297. SL GREEN REALTY CORP		None	J	T	Buy	11/30/21	J		
298. SPDR S&P BIOTECH EFT		None	J	T	Buy	03/24/21	J		
299.					Buy (add'l)	03/25/21	J		
300.					Buy (add'l)	04/27/21	J		
301.					Buy (add'l)	05/26/21	J		
302. SPROUTS FARMERS MARKET INC		None	J	T	Buy	03/24/21	J		
303.					Buy (add'l)	03/25/21	J		
304.					Buy (add'l)	04/27/21	J		
305.					Buy (add'l)	05/26/21	J		
306. STEEL DYNAMICS INC		None	J	T	Buy	11/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. SVB FINANCIAL GROUP		None	J	T	Buy	03/24/21	J		
308.					Buy (add'l)	03/25/21	J		
309.					Buy (add'l)	04/27/21	J		
310.					Buy (add'l)	07/09/21	J		
311. SWISS RE AG	A	Dividend	K	T	Buy	03/24/21	J		
312.					Buy (add'l)	03/25/21	J		
313.					Buy (add'l)	04/27/21	J		
314.					Buy (add'l)	05/26/21	J		
315.					Buy (add'l)	11/30/21	K		
316. TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	A	Dividend	J	T	Buy	03/24/21	J		
317.					Buy (add'l)	03/25/21	J		
318.					Buy (add'l)	04/27/21	J		
319.					Buy (add'l)	05/26/21	J		
320.					Buy (add'l)	07/09/21	J		
321. TELEFONAKTIEBOLAGET L M ERICSOON	A	Dividend	J	T	Buy	03/24/21	J		
322.					Buy (add'l)	03/25/21	J		
323.					Buy (add'l)	04/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	05/26/21	J		
325. TERNA SPA	A	Dividend	J	T	Buy	03/24/21	J		
326.					Buy (add'l)	03/25/21	J		
327.					Buy (add'l)	04/27/21	J		
328.					Buy (add'l)	07/09/21	J		
329. TESLA INC		None	J	T	Buy	03/24/21	J		
330.					Buy (add'l)	03/25/21	J		
331.					Buy (add'l)	04/27/21	J		
332.					Buy (add'l)	05/26/21	J		
333.					Buy (add'l)	07/09/21	J		
334. TRUIST FINL CORP COM		None	J	T	Buy	11/30/21	J		
335. UGI CORP		None	J	T	Buy	11/30/21	J		
336. UMICORE SA	A	Dividend	J	T	Buy	03/24/21	J		
337.					Buy (add'l)	03/25/21	J		
338.					Buy (add'l)	04/27/21	J		
339.					Buy (add'l)	05/26/21	J		
340. UNILEVER PLC ADR		None	J	T	Buy	11/30/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. UNITED NATURAL FOODS INC		None	J	T	Buy	03/24/21	J		
342.					Buy (add'l)	03/25/21	J		
343.					Buy (add'l)	04/27/21	J		
344.					Buy (add'l)	05/26/21	J		
345. VEOLIA ENVIRONNEMENT SA	A	Dividend	J	T	Buy	03/24/21	J		
346.					Buy (add'l)	03/25/21	J		
347.					Buy (add'l)	04/27/21	J		
348.					Buy (add'l)	07/09/21	J		
349. VERIZON COMMUNICATIONS		None	K	T	Buy	11/30/21	K		
350. VESTAS WIND SYSTEMS A/S	A	Dividend	J	T	Buy	03/24/21	J		
351.					Buy (add'l)	03/25/21	J		
352.					Buy (add'l)	04/27/21	J		
353.					Buy (add'l)	05/26/21	J		
354. VINCI SA	A	Dividend	J	T	Buy	03/24/21	J		
355.					Buy (add'l)	03/25/21	J		
356.					Buy (add'l)	04/27/21	J		
357.					Buy (add'l)	07/09/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.	WALGREEN BOOTS ALLIANCE INC COM		None	J	T	Buy	11/30/21	J		
359.	APPLIED FINANCE EXPLORE INSTITUTIONAL	A	Dividend	L	T	Merged (with line 364)	04/09/21	K		
360.		A	Distribution							
361.	APPLIED FINANCE EXPLORER INVESTOR	A	Dividend	J	T	Buy	02/02/21	K		
362.		A	Distribution			Buy (add'l)	03/24/21	J		
363.						Buy (add'l)	04/23/21	J		
364.						Distributed (part)	04/09/21	K		
365.	AQR MANAGED FUTURES STRATEGY I	B	Dividend	K	T	Buy	05/11/21	K		
366.						Buy (add'l)	07/09/21	J		
367.	BLACKROCK EMERGING MKTS INSTL	A	Dividend	L	T	Buy	10/15/21	L		
368.		A	Distribution							
369.	BLACKROCK STRATEGIC INCOME OPPS INSTL	A	Dividend	J	T	Buy	04/09/21	J		
370.		A	Distribution			Buy (add'l)	05/11/21	J		
371.	FIDELITY GLOBAL COMMODITY STOCK	A	Dividend	K	T	Buy	05/27/21	J		
372.	GOLDMAN SACHS GQG PTNRS INTL OPPS INSTL	C	Dividend	M	T	Buy	01/08/21	L		
373.						Buy (add'l)	03/24/21	M		
374.						Buy (add'l)	04/23/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	07/09/21	J		
376. JHANCOCK INFRASTRUCTURE I	C	Dividend	M	T	Buy	10/15/21	K		
377.	C	Distribution			Buy (add'l)	10/18/21	M		
378. JPMORGAN STRATEGIC INCOME OPPORTS I	A	Dividend	M	T	Buy	04/09/21	J		
379.					Buy (add'l)	05/11/21	L		
380.					Buy (add'l)	09/10/21	J		
381.					Buy (add'l)	10/26/21	K		
382. TORTOISE ESSENTIAL ASSETS INCOME TEAM	A	Dividend	K	T	Buy	11/30/21	K		
383. ISHARES CORE 1-5 YEAR USD BOND EFT	A	Dividend			Buy	04/27/21	J		
384.					Sold	05/07/21	J	C	
385. SHARES TR US TREAS BD EFT	A	Dividend			Buy	01/28/21	J		
386.					Sold	03/24/21	J		
387. COVANTA HOLDINGS CROP	A	Dividend			Buy	03/24/21	J		
388.					Buy (add'l)	03/25/21	J		
389.					Buy (add'l)	04/27/21	J		
390.					Redeemed	12/01/21	J	B	
391.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.									
393.									
394.									
395.									
396.									
397.									
398.									
399.									
400.									
401.									
402.									
403.									
404.									
405.									
406.									
407.									

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1 listed on line 2 of Part I of this Report is an unfunded trust.

Line 56 - FL Beach, OC LLC was purchased 08/01/2014 - $50,000 (Redeemed 7/31/21)

Line 57 - Altoona NPRE, LP was purchased 10/28/2015 - $100,000

Line 58 - Fixed Account part of PacLife policy.

Life 59 & 60 - Index Fund part of PacLife policy.

Line 63 - Urban Storage Fund, LP was purchased 07/03/2013 $100,000 & Additional purchase on 04/04/2014 $100,000 (Return of Capital 12/23/21, still LP owner)

Line 64 - Village of Canterbury, LP was purchased 04/12/2012 - $150,000

Line 65 - Honey Farms Management LLC was purchased on 11/04/2016 $100,000 (Redeemed 10/26/21)

Line 76 - Gardens of Germantown was purchased 06/18/2019 $200,000

Line 95 - Foundation Premium Income Fund - Original Purchase 12/23/20 $1,000,000 & Additional Purchase 12/31/21 $100,000

Line 100 BOYD Watterson purchased 07/01/20 - $250,000 & 10/01/20 - $250,000

Line 101 SAFStor was purchased 06/05/20 - $200,000

Line 102 2 Year Indexed part of PacLife policy.

Line 359 Partial Conversion from Line 361

Line 364 Partial Conversion to Line 359 (would not allow for partial merge)

Lines 7,54,56,86,97,179,232,360,362,368,370,377 Income coded as distributions are Capital Gains

Name of Person Reporting	Date of Report
Pappert, Gerald J.	04/12/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald J. Pappert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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