AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pappert, Gerald J.	U.S. District Court, Eastern District of Pennsylvania	03/06/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
U.S. Courthouse 601 Market Street, Room 11614 Philadelphia, PA 19106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	General Partner	Marza, L.P.
2.	Trustee	Trust No 1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2002	Pennsylvania State Employee's Retirement System Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2022	Pennsylvania State Retirement Benefits	$8,451.72
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	MOUNT LEBANON PA GO BDS SER	C	Interest			Sold	08/11/22	M		
2.	PARK CITY KANS GO REF BDS SER	B	Interest	M	T					
3.	AMERICAN EUROPACIFIC GROWTH CLASS F2	A	Dividend	J	T	Buy (add'l)	01/10/22	J		
4.		A	Distribution							
5.	CALAMOS MARKET NEUTRAL INCOME CL 1	B	Dividend	M	T	Buy (add'l)	01/26/22	J		
6.						Buy (add'l)	05/09/22	K		
7.						Buy (add'l)	12/06/22	L		
8.	FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	K	T					
9.	FIDELITY TREASURY MONEY MARKET FUND	B	Dividend	L	T					
10.	ISHARE INC CORE MSCI EMERGING MKTS ETF	D	Dividend	M	T	Sold (part)	11/01/22	M		
11.	ISHARES CORE S&P MID-CAP EFT	D	Dividend	N	T	Sold (part)	03/08/22	M	F	
12.						Sold (part)	04/08/22	K	E	
13.						Sold (part)	04/20/22	L	F	
14.						Sold (part)	08/30/22	K	E	
15.	ISHARES CORE S&P SMALL-CAP ETF	A	Dividend			Sold	05/24/22	K	E	
16.	ISHARES CORE S&P 500 ETF	E	Dividend	P1	T	Sold (part)	01/20/22	K	E	
17.						Sold (part)	04/18/22	M	G	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.						Sold (part)	05/24/22	M	F	
19.						Sold (part)	09/06/22	K	D	
20.	ISHARES RUSSELL 2000 ETF	C	Dividend	M	T	Sold (part)	05/24/22	M	F	
21.	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF	C	Dividend	M	T					
22.	AMERICAN WASHINGTON MUTUAL INVESTORS F2	B	Dividend	L	T					
23.		C	Distribution							
24.	ALTOONA NPRE, LP		None	L	U					
25.	PACIFIC LIFE FIXED ACCOUNT	C	Interest	M	T					
26.	PACIFIC LIFE 1-YEAR HIGH PAR INDEX (S & P 500 INDEX)	E	Interest	L	T					
27.	1 YEAR INTERNATIONAL INDEX FUND EURO STOCK 500	D	Interest	L	T					
28.	2 YEAR INDEX (PACLIFE)	D	Interest	N	T					
29.	URBAN STORAGE FUND, LP	E	Dividend			Redeemed	08/23/22	J		
30.	VILLAGE OF CANTERBURY, LP		None	M	U					
31.	ISHARES CORE MSCI EAFE ETF	D	Dividend	N	T					
32.	HEMPFIELD PA AREA SCHOOL DISTRICT 3/15/2024	B	Interest			Distributed	05/20/22	M		
33.	MISSOULA COUNTY MNT HIGH 07/01/2024	B	Interest			Sold	08/30/22	L		
34.	FIDELITY TOTAL MARKET INDEX FUND	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. GARDENS OF GERMANTOWN		None	M	U					
36. FAIRBANKS OHIO LOC SCH DIST	B	Interest	L	T					
37. GREENWOOD ARK SCHOO DIST	B	Interest			Sold	10/19/22	M		
38. TRENDTON TEX INDPT SCH DIST	C	Interest			Sold	10/16/22	L		
39. THE MERGER FUND CLASS I	D	Dividend	N	T	Sold (part)	10/19/22	K	A	
40.	D	Distribution			Sold (part)	11/01/22	J	A	
41.					Buy (add'l)	12/06/22	J		
42. JP MORGAN HEDGED EQUITY CLASS I	A	Dividend	K	T	Sold (part)	11/01/22	J	A	
43.					Buy (add'l)	12/06/22	J		
44. ISHARES EDGE MSCI INTERNATIONAL QF ETF	B	Dividend	K	T					
45. FOUNDATION PREMIUM INCOME FUND LP		None	O	T					
46. BLACKROCK SYSTEMATIC MULTI-STRATINSTL	B	Dividend			Buy (add'l)	01/04/22	J		
47.	A	Distribution			Buy (add'l)	05/09/22	K		
48.					Sold	12/06/22	M		
49. BOYD WATTERSON STATE GOVERNMENT FUND, LP	E	Dividend	O	U					
50. SAFSTOR	G	Dividend			Redeemed	05/04/22	M		
51. ABB LTD	A	Dividend	K	T	Sold (part)	01/20/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	05/31/22	J		
53. ABBVIE INC	B	Dividend	K	T					
54. ACCENTURE PLC A	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
55. ALEXANDRIA REAL ESATE EQUITIES	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
56. AMGEN INC	B	Dividend	K	T					
57. APPLE COMPUTER INC	A	Dividend	J	T					
58. ATLANTICA YIELD PLC	A	Dividend	J	T	Sold (part)	12/09/22	J		
59. BANCO DO BRASIL SA	A	Dividend			Sold	01/20/22	J	A	
60. BEYOND MEAT INC		None	J	T					
61. BOSTON PROPERTIES INC	A	Dividend			Sold	12/09/22	J		
62. BRANDYWINE REALTY TRUST	A	Dividend	J	T	Sold (part)	01/20/22	J		
63.					Buy (add'l)	11/09/22	J		
64.					Sold (part)	12/21/22	J		
65. BRISTOL-MYERS SQUIBB CO	A	Dividend	K	T					
66. BRITISH LAND CO PLC	A	Dividend	J	T					
67. BROADCOM INC COM	A	Dividend	K	T	Buy (add'l)	12/09/22	J		
68. BUNGE LTD	A	Dividend	J	T	Sold (part)	01/20/22	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. CIE GENERALE DES ETABLISSEMENTS MICHELIN	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
70.					Buy (add'l)	05/31/22	J		
71. CISCO SYS INC	A	Dividend	K	T	Buy (add'l)	05/31/22	J		
72.					Buy (add'l)	12/09/22	J		
73. CITIGROUP	A	Dividend			Sold	12/09/22	J		
74. CLEARWAY ENERGY INC	A	Dividend	K	T	Buy (add'l)	12/09/22	J		
75. CONSOLIDATED WATER LTD	A	Dividend	J	T	Buy (add'l)	12/09/22	J		
76. DISNEY WALT CO DEL		None	J	T	Buy (add'l)	01/20/22	J		
77.					Buy (add'l)	05/31/22	J		
78. EASTERN BANKSHARES INC	A	Dividend	J	T					
79. ENBRIDGE INC COM	A	Dividend	K	T					
80. ENERY COMPANY OF MINAS GERAIS	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
81.	A	Distribution							
82. ENETI ORD	A	Dividend	J	T					
83. EXELON CORP	A	Dividend	J	T					
84. FIRST HAWAIIAN INC	A	Dividend	K	T					
85. FRANKLIN RESOURCES INC	A	Dividend			Sold	12/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. GENERAL MILLS INC	A	Dividend	K	T					
87. GILEAD SCIENCES INC	B	Dividend	K	T					
88. HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE	A	Dividend	J	T					
89. HERCULES CAPITAL INC	B	Dividend	J	T	Sold (part)	12/09/22	J		
90. HEWLETT PACKARD ENTERPRISE	A	Dividend	K	T	Buy (add'l)	12/09/22	J		
91. HONDA MOTOR CO	A	Dividend	K	T	Buy (add'l)	05/31/22	J		
92. INTEL CORP	A	Dividend	J	T	Buy (add'l)	05/31/22	J		
93.					Buy (add'l)	11/09/22	J		
94.					Sold (part)	12/21/22	J		
95. INTL BUSINESS MACHINE	B	Dividend	K	T	Buy (add'l)	12/09/22	J		
96. JM SMUCKER	A	Dividend	K	T					
97. JOHNSON CONTROLS INTERNATIONAL PLC	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
98.					Buy (add'l)	05/31/22	J		
99. KEYCORP	A	Dividend			Sold	12/09/22	J		
100. KRAFT HEINZ CO COM	A	Dividend	J	T	Buy (add'l)	12/09/22	J		
101. KYNDRYL HOLDINGS ORD WI		None	J	T					
102. LYONDELLBASELL INDUSTRIES NV	B	Dividend	K	T	Buy (add'l)	12/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. MERCK & CO	A	Dividend	K	T					
104. MILLERKNOLL INC	A	Dividend	J	T	Buy (add'l)	05/31/22	J		
105. MIX TELEMATICS LTD	A	Dividend	J	T	Sold (part)	01/20/22	J		
106. MUNCH RUCK-GESELLSCHAFT AF ADR	A	Dividend	J	T	Sold (part)	01/20/22	J		
107. NEXTERA ENERGY INC	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
108. NEXTERA ENERGY PARTNERS LP	A	Dividend	K	T	Buy (add'l)	12/09/22	J		
109. NOKIA OYJ	A	Dividend	J	T					
110. NOVARTIS AG	B	Dividend	K	T	Buy (add'l)	12/09/22	J		
111. NOVO NORDISK A/S	A	Dividend	K	T	Sold (part)	01/20/22	J	A	
112. ORACLE CORPORATION	A	Dividend	J	T	Sold (part)	01/20/22	J	A	
113. OWL ROCK CAPITAL ORD	B	Dividend	J	T					
114. PATTERSON COMPANIES INC	A	Dividend	K	T					
115. PROCTER & GAMBLE CO	A	Dividend	K	T					
116. PRUDENTIAL FINANCIAL INC	A	Dividend	K	T					
117. PUBLIC SVC ENTERPRISE GROUP	A	Dividend	K	T	Buy (add'l)	12/09/22	J		
118. RED ELECTRICA CORPORACION SA UNSPONSORED ADR	B	Dividend	J	T	Sold (part)	12/09/22	J		
119. REGIIONS FINANCIAL CORP	A	Dividend	K	T	Buy (add'l)	12/09/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. REYNOLDS CONSUMER PRODUCTS ORD	A	Dividend			Sold	11/30/22	K	A	
121. SCHNEIDER ELECTRIC SE	A	Dividend	J	T					
122. SCHNITZER STEEL INDUSTRIES INC	A	Dividend	J	T	Sold (part)	01/20/22	J		
123. SL GREEN REALTY CORP	B	Dividend			Buy (add'l)	01/18/22	J		
124.					Sold (part)	01/24/22	J		
125.					Sold	12/09/22	J		
126. SPDR S&P BIOTECH EFT		None			Sold	01/20/22	J		
127. SPROUTS FARMERS MARKET INC		None	J	T					
128. STEEL DYNAMICS INC	A	Dividend	J	T					
129. SVB FINANCIAL GROUP		None	J	T	Sold (part)	01/20/22	J	A	
130. SWISS RE AG	B	Dividend	K	T	Sold (part)	01/20/22	J	A	
131. TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	A	Dividend	J	T					
132. TELEFONAKTIEBOLAGET L M ERICSOON	A	Dividend	J	T					
133. TERNA SPA	A	Dividend	J	T					
134. TESLA INC		None	J	T	Sold (part)	01/20/22	J	A	
135. TRUIST FINL CORP COM	A	Dividend			Sold	12/09/22	J		
136. UGI CORP	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. UMICORE SA	A	Dividend	J	T					
138. UNILEVER PLC ADR	A	Dividend	J	T					
139. UNITED NATURAL FOODS INC		None	J	T					
140. VEOLIA ENVIRONNEMENT SA		None			Sold	01/20/22	J	B	
141. VERIZON COMMUNICATIONS	B	Dividend			Sold	12/09/22	K		
142. VESTAS WIND SYSTEMS A/S	A	Dividend	J	T	Buy (add'l)	01/20/22	J		
143. VINCI SA		None			Sold	01/20/22	J	A	
144. WALGREEN BOOTS ALLIANCE INC COM	A	Dividend	J	T					
145. APPLIED FINANCE EXPLORE INSTITUTIONAL	B	Dividend	N	T	Buy (add'l)	05/24/22	N		
146.	C	Distribution			Sold (part)	09/09/22	L	A	
147. APPLIED FINANCE EXPLORER INVESTOR	A	Dividend	J	T	Buy (add'l)	11/04/22	J		
148.	A	Distribution							
149. AQR MANAGED FUTURES STRATEGY I	C	Dividend	K	T	Buy (add'l)	01/04/22	J		
150.					Sold (part)	05/24/22	J	A	
151.					Sold (part)	11/01/22	J	A	
152.					Buy (add'l)	12/06/22	J		
153. BLACKROCK EMERGING MKTS INSTL	A	Dividend			Buy (add'l)	01/04/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	01/20/22	J		
155.					Sold (part)	11/01/22	K		
156.					Sold	11/22/22	K		
157. BLACKROCK STRATEGIC INCOME OPPS INSTL	A	Dividend	K	T	Buy (add'l)	01/04/22	J		
158.	A	Distribution							
159. FIDELITY GLOBAL COMMODITY STOCK		None			Distributed	06/22/22	K		
160. GOLDMAN SACHS GQG PTNRS INTL OPPS INSTL	D	Dividend	M	T					
161. JHANCOCK INFRASTRUCTURE I	B	Dividend	M	T	Sold (part)	08/30/22	K		
162.					Sold (part)	12/16/22	L		
163. JPMORGAN STRATEGIC INCOME OPPORTS I	B	Dividend	M	T	Buy (add'l)	12/06/22	J		
164.					Buy (add'l)	12/21/22	K		
165. TORTOISE ESSENTIAL ASSETS INCOME TEAM	C	Dividend	K	T					
166. 23ANDME HLDG CO CL A		None	J	T	Buy	01/20/22	J		
167. ACCELLERON INDS ADR		None	J	T					
168.					Sold (part)	12/09/22	J		
169. ALICO INC	A	Dividend	J	T	Buy	01/20/22	J		
170. ALSTOM SA	A	Dividend	J	T	Buy (add'l)	06/02/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. AMERICAN FUNDS EUROPACIFIC GROWTH F3	C	Dividend	M	T	Spinoff (from line 4)	03/15/22	M		
172.	B	Distribution							
173. ARCELORMITTAL	A	Dividend	J	T	Buy	01/20/22	J		
174. ASML HOLDING NV	A	Dividend	J	T	Buy	01/20/22	J		
175.					Buy (add'l)	05/31/22	J		
176. BAILLIE GIFFORD HEALTH INN EQ INSTL	A	Dividend	M	T	Buy	11/02/22	M		
177. BARROW HANLEY CONCENTRATED EM ESG OPPS I	A	Dividend	K	T	Buy	11/22/22	K		
178. BROOKFIELD RENEWABLE CORP	A	Dividend	J	T	Buy	01/20/22	J		
179. CONSTELLATION ENERGY ORD WI	A	Dividend	J	T	Spinoff (from line 83)	02/02/22	J		
180. CRISPR THERAPEUTICS AGNAMEN AKT		None	J	T	Buy	01/20/22	J		
181. DRIEHAUS SMALL CAP GROWTH INSTITUTIONAL	A	Distribution	K	T	Buy	03/08/22	M		
182.					Buy (add'l)	04/08/22	K		
183.					Sold (part)	08/30/22	K		
184.					Sold (part)	10/14/22	L		
185. EXACT SCIENCES CORP		None	J	T	Buy	01/20/22	J		
186. FIDELITY ADVISOR GLOBAL COMMODITY STK Z	A	Dividend	K	T	Spinoff (from line 159)	06/22/22	K		
187.					Sold (part)	11/01/22	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	12/09/22	K		
189. FOUNDATION GREEN INCOME FUND LP		None	M	U	Buy	09/02/22	M		
190. HEMPFIELD PA AREA SCH DIST 4.000 03/15/24 03/15/2024 4.00%	B	Interest	M	T	Spinoff (from line 32)	05/20/22	M		
191. HEMPFIELD PA AREA SCH DIST 4.000 03/15/24 03/15/2024 4.00%	A	Interest	M	T	Spinoff (from line 32)	05/20/22	J		
192. MICROSOFT CORP	A	Dividend	J	T	Buy	01/20/22	J		
193.					Buy (add'l)	11/09/22	J		
194. MODERNA INC		None	J	T	Buy	01/20/22	J		
195. NVIDIA CORP	A	Dividend	J	T	Buy	01/20/22	J		
196.					Buy (add'l)	05/31/22	J		
197. OAKMARK INTERNATIONAL ADVISOR		None	L	T	Buy	12/16/22	L		
198. PELOTON INTERACTIVE INC		None	J	T	Buy	01/26/22	J		
199. TOYOTA MOTORS CORP ADR	A	Dividend	J	T	Buy	01/20/22	J		
200. GOLUB CAPITAL BDC 3, INC	C	Dividend	M	U	Buy	01/19/22	K		
201.					Buy (add'l)	03/21/22	J		
202.					Buy (add'l)	04/27/22	J		
203.					Buy (add'l)	05/24/22	J		
204.					Buy (add'l)	06/22/22	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	08/10/22	K		
206.					Buy (add'l)	11/09/22	J		
207. PPG UTICA 2022, L.P.		None	M	U	Buy	10/28/22	M		
208.					Buy (add'l)	11/10/22	L		
209.					Buy (add'l)	12/20/22	J		
210. SAFSTOR-WEST CHESTER		None	L	U	Buy	08/22/22	L		
211. SAFSTOR-SPRINGFIELD		None	L	U	Buy	05/26/22	L		
212. STEPSTONE VC OPPORTUNITIES VII		None	J	U	Buy	12/14/22	J		
213.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1 listed on line 2 of Part I of this Report is an unfunded trust.

Line 24 - Altoona NPRE, LP was purchased 10/28/2015 - $100,000

Line 25 - Fixed Account part of PacLife policy.

Life 26, 27, 28 - Index Fund part of PacLife policy.

Line 29 - Urban Storage Fund, LP was purchased 07/03/2013 $100,000 & Additional purchase on 04/04/2014 $100,000 (Return of Capital 12/23/21, still LP owner) - Redeemed 08/23/22

Line 30 - Village of Canterbury, LP was purchased 04/12/2012 - $150,000

Line 32 - Exchange/Transfer to lines 190 & 191

Line 35 - Gardens of Germantown was purchased 06/18/2019 $200,000

Line 45 - Foundation Premium Income Fund - Original Purchase 12/23/20 $1,000,000 & Additional Purchase 12/31/21 $100,000

Line 49 BOYD Watterson purchased 07/01/20 - $250,000 & 10/01/20 - $250,000

Line 50 SAFStor was purchased 06/05/20 - $200,000

Line 159 - Exchange/Transfer to line 186

Line 189 - Foundation Green Income Fund - Purchase 09/02/22 - $250,000

Lline 200 Golub Capital - Original purchase 01/19/22/22 for $17,500 (additional capital calls made)

Line 207 PPG Utica 2022 LP - Original purchase 10/28/22 for $111,111.11 (additional capital calls made)

Line 210 SAFStor - West Chester - Purchase 08/22/22 - $100,000

Line 211 SAFStor - Springfield - Purchase 05/26/22 - $100,000

Line 212 StepStone VC Opportunities - Purchase 12/14/22 - $5,000

Lines 4, 23, 40, 47, 81, 146, 148, 158, 172, 181 Income coded as distributions are Capital Gains

Name of Person Reporting	Date of Report
Pappert, Gerald J.	03/06/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald J. Pappert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544