AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pappert, Gerald J.	U.S. District Court, Eastern District of Pennsylvania	02/27/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. Courthouse 601 Market Street, Room 11614 Philadelphia, PA 19106		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	General Partner	Marza, L.P.
2.	Trustee	Trust No 1
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2002	Pennsylvania State Employee's Retirement System Defined Benefit Pension Plan
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Pennsylvania State Retirement Benefits	$8,451.72
2.	2023	Villanova University Charles Widger School of Law	$3,050.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pappert, Gerald J.	02/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	PARK CITY KANS GO REF BDS SER	B	Interest	M	T	Redeemed	12/01/23	M	A	
2.	AMERICAN EUROPACIFIC GROWTH CLASS F2	A	Dividend	J	T	Buy (add'l)	01/30/23	J		
3.		A	Distribution							
4.	CALAMOS MARKET NEUTRAL INCOME CL 1	C	Dividend	M	T	Buy (add'l)	08/04/23	J		
5.		C	Distribution			Sold (part)	03/29/23	L	A	
6.						Sold (part)	05/12/23	J	A	
7.						Sold (part)	06/20/23	J	A	
8.	FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	K	T					
9.	FIDELITY TREASURY MONEY MARKET FUND	C	Dividend	M	T					
10.	ISHARE INC CORE MSCI EMERGING MKTS ETF		None			Sold (part)	03/07/23	L	D	
11.						Sold	03/16/23	M	E	
12.	ISHARES CORE S&P MID-CAP EFT	D	Dividend	N	T	Sold (part)	02/14/23	K	D	
13.	ISHARES CORE S&P 500 ETF	E	Dividend	P1	T	Buy (add'l)	04/11/23	J		
14.						Sold (part)	01/24/23	K	E	
15.						Sold (part)	04/24/23	K	D	
16.						Sold (part)	05/12/23	J	D	
17.						Sold (part)	05/17/23	J	D	

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18.						Sold (part)	05/30/23	K	E	
19.						Sold (part)	07/07/23	L	E	
20.						Sold (part)	07/21/23	K	E	
21.	ISHARES RUSSELL 2000 ETF	B	Dividend	M	T	Sold (part)	02/22/23	J	D	
22.	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF	A	Dividend			Sold (part)	02/22/23	K	D	
23.						Sold (part)	03/28/23	J	D	
24.						Sold (part)	04/14/23	L	E	
25.						Sold (part)	04/19/23	K	D	
26.						Sold (part)	04/24/23	K	D	
27.						Sold	05/12/23	K	E	
28.	AMERICAN WASHINGTON MUTUAL INVESTORS F2	B	Dividend	L	T					
29.		C	Distribution							
30.	ALTOONA NPRE, LP		None	L	U					
31.	PACIFIC LIFE FIXED ACCOUNT	C	Interest			Closed	11/01/23	N		
32.	PACIFIC LIFE 1-YEAR HIGH PAR INDEX (S & P 500 INDEX)	D	Interest	M	T					
33.	1 YEAR INTERNATIONAL INDEX FUND EURO STOCK 500	C	Interest	M	T					
34.	2 YEAR INDEX (PACLIFE)	D	Interest	M	T					

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35. VILLAGE OF CANTERBURY, LP	G	Distribution	M	U					
36. ISHARES CORE MSCI EAFE ETF	D	Dividend	N	T					
37. FIDELITY TOTAL MARKET INDEX FUND	A	Dividend	K	T	Buy (add'l)	01/30/23	J		
38. GARDENS OF GERMANTOWN		None	M	U	Buy (add'l)	02/03/23	J		
39. FAIRBANKS OHIO LOC SCH DIST	B	Interest	L	T					
40. THE MERGER FUND CLASS I	C	Dividend	M	T	Buy (add'l)	08/04/23	J		
41.					Sold (part)	03/29/23	M		
42.					Sold (part)	05/12/23	J		
43.					Sold (part)	06/20/23	J		
44. JP MORGAN HEDGED EQUITY CLASS I	A	Dividend	K	T	Buy (add'l)	08/04/23	L		
45.					Sold (part)	10/17/23	L		
46. ISHARES EDGE MSCI INTERNATIONAL QF ETF	A	Dividend	K	T					
47. FOUNDATION PREMIUM INCOME FUND LP		None	P1	T					
48. BOYD WATTERSON STATE GOVERNMENT FUND, LP	E	Dividend	O	U					
49. ABB LTD	A	Dividend	J	T	Sold (part)	08/18/23	J	B	
50.					Sold (part)	10/04/23	J	A	
51. ABBVIE INC	B	Dividend	K	T					

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52. ACCENTURE PLC A	A	Dividend	J	T					
53. ALEXANDRIA REAL ESATE EQUITIES	A	Dividend	J	T	Buy (add'l)	03/28/23	J		
54.					Buy (add'l)	05/22/23	J		
55.					Sold (part)	11/20/23	J		
56. AMGEN INC	B	Dividend	K	T					
57. APPLE COMPUTER INC	A	Dividend	K	T	Buy (add'l)	08/17/23	J		
58. ATLANTICA YIELD PLC	A	Dividend	J	T	Buy (add'l)	03/28/23	J		
59.					Buy (add'l)	05/22/23	J		
60.					Sold (part)	11/20/23	J		
61. BEYOND MEAT INC		None			Sold	05/22/23	J		
62. BOSTON PROPERTIES INC	A	Dividend	J	T	Buy (add'l)	03/28/23	J		
63. BRANDYWINE REALTY TRUST	B	Dividend	J	T	Buy (add'l)	01/24/23	J		
64.					Sold (part)	11/20/23	J		
65. BRISTOL-MYERS SQUIBB CO	A	Dividend	K	T					
66. BRITISH LAND CO PLC	A	Dividend			Sold	05/22/23	J		
67. BROADCOM INC COM	A	Dividend	L	T					
68. BUNGE LTD	A	Dividend			Sold	11/16/23	J	B	

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69. CIE GENERALE DES ETABLISSEMENTS MICHELIN	A	Dividend	J	T	Sold (part)	05/22/23	J		
70. CISCO SYS INC	A	Dividend	K	T	Buy (add'l)	11/16/23	J		
71.					Sold (part)	03/28/23	J		
72. CITIGROUP	A	Dividend	K	T	Buy (add'l)	03/28/23	J		
73. CLEARWAY ENERGY INC	A	Dividend			Sold (part)	03/28/23	J		
74.					Sold	11/20/23	J		
75. CONSOLIDATED WATER LTD	A	Dividend			Sold	03/28/23	J	C	
76. DISNEY WALT CO DEL		None			Sold (part)	05/22/23	J		
77.					Sold	08/17/23	J		
78. EASTERN BANKSHARES INC	A	Dividend			Sold	05/22/23	J		
79. ENBRIDGE INC COM	B	Dividend			Sold (part)	03/28/23	J		
80.					Sold	11/20/23	J		
81. ENERGY COMPANY OF MINAS GERAIS	A	Dividend	J	T	Sold (part)	05/22/23	J	A	
82. ENETI ORD	A	Dividend			Sold	11/16/23	J		
83. EXELON CORP	A	Dividend	J	T					
84. FIRST HAWAIIAN INC	A	Dividend			Sold (part)	03/28/23	J		
85.					Sold (part)	05/22/23	J		

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86.						Sold	05/23/23	J		
87.	FRANKLIN RESOURCES INC	A	Dividend	J	T	Buy	03/28/23	J		
88.	GENERAL MILLS INC	A	Dividend			Sold	03/28/23	K	D	
89.	GILEAD SCIENCES INC	B	Dividend	K	T	Buy (add'l)	11/16/23	J		
90.						Sold (part)	10/04/23	J	A	
91.	HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE	A	Dividend	J	T	Buy (add'l)	05/22/23	J		
92.	HERCULES CAPITAL INC	B	Dividend	K	T	Buy (add'l)	03/28/23	J		
93.	HEWLETT PACKARD ENTERPRISE	A	Dividend	J	T	Sold (part)	03/28/23	J		
94.	HONDA MOTOR CO	B	Dividend	K	T	Sold (part)	03/28/23	J		
95.						Sold (part)	05/22/23	J		
96.	INTEL CORP	A	Dividend	K	T	Buy (add'l)	03/28/23	J		
97.						Sold (part)	08/18/23	J	B	
98.	INTL BUSINESS MACHINE	B	Dividend	K	T	Buy (add'l)	05/22/23	J		
99.						Buy (add'l)	11/16/23	J		
100.						Sold (part)	03/28/23	J		
101.	JM SMUCKER	A	Dividend			Sold	11/20/23	J		
102.	JOHNSON CONTROLS INTERNATIONAL PLC	A	Dividend	J	T	Sold (part)	05/22/23	J	A	

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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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103. KRAFT HEINZ CO COM	A	Dividend	K	T	Sold (part)	03/28/23	J		
104. KYNDRYL HOLDINGS ORD WI		None			Sold	02/07/23	J		
105. LYONDELLBASELL INDUSTRIES NV	B	Dividend	K	T					
106. MERCK & CO	A	Dividend	K	T					
107. MILLERKNOLL INC	A	Dividend			Sold	05/22/23	J		
108. MIX TELEMATICS LTD	A	Dividend	J	T					
109. MUNCH RUCK-GESELLSCHAFT AF ADR	A	Dividend	J	T					
110. NEXTERA ENERGY INC	A	Dividend	J	T					
111. NEXTERA ENERGY PARTNERS LP	B	Dividend	J	T	Buy (add'l)	05/22/23	J		
112.					Sold (part)	03/28/23	J		
113.					Sold (part)	11/20/23	J		
114. NOKIA OYJ	A	Dividend	J	T					
115. NOVARTIS AG	B	Dividend	K	T	Buy (add'l)	05/22/23	J		
116.					Sold (part)	03/28/23	J		
117. NOVO NORDISK A/S	A	Dividend	J	T	Sold (part)	05/22/23	J	D	
118. ORACLE CORPORATION	A	Dividend	J	T	Buy (add'l)	11/16/23	J		
119. OWL ROCK CAPITAL ORD	A	Dividend			Sold	03/28/23	J		

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less
B =$1,001 - $2,500
C =$2,501 - $5,000
D =$5,001 - $15,000
E =$15,001 - $50,000
F =$50,001 - $100,000
G =$100,001 - $1,000,000
H1 =$1,000,001 - $5,000,000
H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
K =$15,001 - $50,000
L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
P1 =$1,000,001 - $5,000,000
P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000
P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
120. PATTERSON COMPANIES INC	A	Dividend			Sold (part)	03/28/23	J		
121.					Sold	12/06/23	J		
122. PROCTER & GAMBLE CO	A	Dividend			Sold	08/18/23	K	A	
123. PRUDENTIAL FINANCIAL INC	A	Dividend	K	T					
124. PUBLIC SVC ENTERPRISE GROUP	A	Dividend			Sold	03/28/23	K		
125. RED ELECTRICA CORPORACION SA UNSPONSORED ADR	A	Dividend	J	T					
126. REGIIONS FINANCIAL CORP	A	Dividend			Sold (part)	03/28/23	J		
127.					Sold	05/23/23	J		
128. SCHNEIDER ELECTRIC SE	A	Dividend	J	T					
129. SCHNITZER STEEL INDUSTRIES INC	A	Dividend	J	T					
130. SL GREEN REALTY CORP	A	Dividend	J	T	Buy	01/24/23	J		
131. SPDR S&P BIOTECH EFT	A	Dividend	M	T	Buy	12/15/23	M		
132. SPROUTS FARMERS MARKET INC		None	J	T	Sold (part)	10/04/23	J	A	
133. STEEL DYNAMICS INC	A	Dividend			Sold	03/28/23	J	D	
134. SVB FINANCIAL GROUP		None			Sold	11/13/23	J		
135. SWISS RE AG	A	Dividend	J	T	Sold (part)	03/28/23	K	B	
136. TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD	A	Dividend	J	T					

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H1 =$1,000,001 - $5,000,000
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2. Value Codes (See Columns C1 and D3)
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L =$50,001 - $100,000
M =$100,001 - $250,000
N =$250,001 - $500,000
O =$500,001 - $1,000,000
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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137. TELEFONAKTIEBOLAGET L M ERICSOON	A	Dividend			Sold	05/22/23	J		
138. TERNA SPA		None			Sold	05/22/23	J	A	
139. TESLA INC		None	J	T					
140. UGI CORP	A	Dividend			Sold	11/20/23	J		
141. UMICORE SA	A	Dividend	J	T	Buy (add'l)	05/22/23	J		
142. UNILEVER PLC ADR	A	Dividend	J	T					
143. UNITED NATURAL FOODS INC		None			Sold	10/04/23	J		
144. VERIZON COMMUNICATIONS	A	Dividend	J	T	Buy	01/24/23	J		
145. VESTAS WIND SYSTEMS A/		None	J	T					
146. WALGREEN BOOTS ALLIANCE INC COM	A	Dividend			Sold	11/20/23	J		
147. APPLIED FINANCE EXPLORE INSTITUTIONAL	C	Dividend	N	T					
148. APPLIED FINANCE EXPLORER INVESTOR	A	Dividend	J	T					
149. AQR MANAGED FUTURES STRATEGY I	B	Dividend	K	T	Buy (add'l)	04/11/23	J		
150. BLACKROCK STRATEGIC INCOME OPPS INSTL	A	Dividend	K	T	Buy (add'l)	04/11/23	J		
151.					Buy (add'l)	08/04/23	J		
152. GOLDMAN SACHS GQG PTNRS INTL OPPS INSTL	C	Dividend	M	T					
153. JHANCOCK INFRASTRUCTURE I	B	Dividend	L	T	Buy (add'l)	09/13/23	K		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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154.					Buy (add'l)	09/20/23	K		
155.					Buy (add'l)	10/17/23	J		
156. JPMORGAN STRATEGIC INCOME OPPORTS I	C	Dividend			Sold (part)	03/29/23	K		
157.					Sold	08/04/23	M		
158. TORTOISE ESSENTIAL ASSETS INCOME TEAM	A	Dividend			Sold	01/24/23	K		
159. 23ANDME HLDG CO CL A		None			Buy (add'l)	05/22/23	J		
160.					Sold	11/16/23	J		
161. ACCELLERON INDS ADR		None			Sold	05/22/23	J	A	
162. ALICO INC	A	Distribution	J	T	Buy (add'l)	01/24/23	J		
163.					Sold (part)	03/28/23	J		
164. ALSTOM SA	A	Dividend	J	T	Buy (add'l)	05/22/23	J		
165. AMERICAN FUNDS EUROPACIFIC GROWTH F3	D	Dividend	M	T					
166.	C	Distribution							
167. ARCELORMITTAL	A	Dividend			Sold	05/22/23	J		
168. ASML HOLDING NV	A	Dividend	J	T					
169. BAILLIE GIFFORD HEALTH INN EQ INSTL	A	Dividend	K	T	Sold (part)	12/14/23	M		
170. BARROW HANLEY CONCENTRATED EM ESG OPPS I	D	Dividend	M	T	Buy (add'l)	03/07/23	K		

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171.	B	Distribution			Buy (add'l)	03/16/23	M		
172. BROOKFIELD RENEWABLE CORP	A	Dividend	J	T	Buy (add'l)	03/28/23	J		
173.					Sold (part)	11/20/23	J		
174. CONSTELLATION ENERGY ORD WI	A	Dividend			Sold	03/28/23	J	C	
175. CRISPR THERAPEUTICS AGNAMEN AKT		None	J	T					
176. DRIEHAUS SMALL CAP GROWTH INSTITUTIONAL	A	Dividend	K	T					
177. EXACT SCIENCES CORP		None	J	T					
178. FIDELITY ADVISOR GLOBAL COMMODITY STK Z	B	Dividend	L	T	Buy (add'l)	04/11/23	J		
179.					Buy (add'l)	10/17/23	K		
180.					Sold (part)	07/21/23	K		
181. FOUNDATION GREEN INCOME FUND LP		None	M	U					
182. HEMPFIELD PA AREA SCH DIST 4.000 03/15/24 03/15/2024 4.00%	C	Interest			Sold	09/13/23	M		
183. HEMPFIELD PA AREA SCH DIST 4.000 03/15/24 03/15/2024 4.00%	A	Interest	J	T					
184. MICROSOFT CORP	A	Dividend	K	T	Buy (add'l)	08/17/23	J		
185.					Buy (add'l)	11/16/23	J		
186. MODERNA INC		None	J	T	Buy (add'l)	11/16/23	J		
187. NVIDIA CORP	A	Dividend	J	T	Sold (part)	05/22/23	J	A	

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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188. OAKMARK INTERNATIONAL ADVISOR	B	Dividend	M	T	Buy (add'l)	09/20/23	J		
189. PELOTON INTERACTIVE INC		None	J	T	Buy (add'l)	05/22/23	J		
190. TOYOTA MOTORS CORP ADR	A	Dividend	J	T					
191. GOLUB CAPITAL BDC 3, INC	E	Dividend	N	U	Buy (add'l)	02/23/23	J		
192.					Buy (add'l)	04/17/23	J		
193.					Buy (add'l)	06/26/23	J		
194.					Buy (add'l)	08/31/23	K		
195.					Buy (add'l)	09/27/23	K		
196.					Buy (add'l)	12/06/23	K		
197. PPG UTICA 2022, L.P.		None	M	U	Buy (add'l)	04/21/23	J		
198.					Buy (add'l)	05/23/23	L		
199. SAFSTOR-WEST CHESTER		None	L	U					
200. SAFSTOR-SPRINGFIELD		None	L	U					
201. STEPSTONE VC OPPORTUNITIES VII		None	K	U	Buy (add'l)	05/04/23	J		
202.					Buy (add'l)	09/11/23	J		
203.					Buy (add'l)	11/24/23	J		
204.					Buy (add'l)	12/27/23	J		

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205. 3M Co	A	Dividend	J	T	Buy	03/28/23	J		
206. COCA COLA CO	A	Dividend	J	T	Buy	03/28/23	J		
207. DEERE & CO		None	J	T	Buy	10/04/23	J		
208. DIAMOND HILL INVESTMENT GROUP INC	A	Dividend	J	T	Buy	03/28/23	J		
209. GLAXOSMITHKLINE PLC ADR	A	Dividend	J	T	Buy	03/28/23	J		
210. GLOBAL X RENEWABLE ENERGY PRODUCERS ETF		None	K	T	Buy	11/20/23	K		
211. HP INC	A	Dividend	K	T	Buy	03/28/23	K		
212. ISHARES CORE HIGH DIVIDEND EFT	B	Dividend	M	T	Buy	11/20/23	M		
213.					Buy (add'l)	12/06/23	J		
214. ISHARES US REGIONAL BANKS	B	Dividend	L	T	Buy	05/22/23	J		
215.					Buy (add'l)	05/23/23	K		
216. METTLER-TOLEDO INTERNATIONAL INC		None	J	T	Buy	05/23/23	J		
217.					Buy (add'l)	11/16/23	J		
218. NUCOR CORP	A	Dividend	J	T	Buy	05/23/23	J		
219. PROLOGIS INC	A	Dividend	J	T	Buy	11/16/23	J		
220. SAFHARBOR		None	N	U	Buy	04/24/23	M		
221.					Buy (add'l)	05/30/23	K		

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222.					Buy (add'l)	06/28/23	K		
223. SHIMANO INC		None	J	T	Buy	10/04/23	J		
224. STANLEY BLACK & DECKER INC	A	Dividend	J	T	Buy	08/18/23	J		
225. TEXAS INSTRUMENTS INC	A	Dividend	K	T	Buy	08/18/23	J		
226. TOTALENERGIES SE	A	Dividend	J	T	Buy	03/28/23	J		
227. TRANSMEDICS GROUP INC		None	J	T	Buy	05/23/23	J		
228. XYLEM INC	A	Dividend	J	T	Buy	05/23/23	J		
229. CBRE GROUP INC COM USD0.01 CLASS A		None			Buy	05/23/23	J		
230.					Sold	11/16/23	J	A	
231. CROWN CASTLE INC COM	A	Dividend			Buy	03/28/23	K		
232.					Sold	11/20/23	J		
233. FORD MTR CO DEL COM	A	Dividend			Buy	03/28/23	J		
234.					Sold	11/20/23	J		
235. KENVUE INC COM	A	Dividend			Buy	08/18/23	J		
236.					Sold	11/20/23	J		
237. KEYCORP COM		None			Buy	03/28/23	J		
238.					Sold	05/23/23	J		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239.	M &T BANK CORP COM USD0.50		None			Buy	03/28/23	J		
240.						Sold	05/23/23	J	A	
241.	REALTY INCOME CORP COM	A	Dividend			Buy	03/28/23	J		
242.						Sold	11/20/23	J		
243.	SANDOZ GROUP AG SPON ADS EACH REP 1 COM SHS		None			Sold	11/13/23	J	A	
244.	TRUIST FINL CORP COM	A	Dividend			Buy	03/28/23	J		
245.						Sold	05/23/23	J		
246.										
247.										
248.										
249.										
250.										

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pappert, Gerald J.	02/27/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust No. 1 listed on line 2 of Part I of this Report is an unfunded trust.

Line 30 - Altoona NPRE, LP was purchased 10/28/2015 - $100,000

Line 31 - Fixed Account part of PacLife policy.

Life 32, 33, 34 - Index Fund part of PacLife policy.

Line 35 - Village of Canterbury, LP was purchased 04/12/2012 - $150,000

Line 38 - Gardens of Germantown was purchased 06/18/2019 $200,000

Line 47 - Foundation Premium Income Fund - Original Purchase 12/23/20 $1,000,000 & Additional Purchase 12/31/21 $100,000

Line 48 - BOYD Watterson purchased 07/01/20 - $250,000 & 10/01/20 - $250,000

Line 181 - Foundation Green Income Fund - Purchase 09/02/22 - $250,000

Line 182 - Sold Security (Hempfileld) - Symbol 423725QN3

Line 182 - Still hold Security (Hempfield) - Symbol 423725QL7

Line 191- Golub Capital - Original purchase 01/19/22/22 for $17,500 (additional capital calls made)

Line 197 - PPG Utica 2022 LP - Original purchase 10/28/22 for $111,111.11 (additional capital calls made)

Line 199 - SAFStor - West Chester - Purchase 08/22/22 - $100,000

Line 200 - SAFStor - Springfield - Purchase 05/26/22 - $100,000

Line 201 - StepStone VC Opportunities - Purchase 12/14/22 - $5,000

Line 220 - SafHarbor Purchased 4/24/23 - $239,597.32 (addional capital calls made)

Line 244 - Spinoff from NVS 10/04/23

Lines 3, 5, 29, 166, 171 Income coded as distributions are Capital Gains

Name of Person Reporting	Date of Report
Pappert, Gerald J.	02/27/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gerald J. Pappert

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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