AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pechman, Marsha J.	U.S. District Court for the Western District of WA	08/13/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
700 Stewart St. Seattle, WA 98101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1/1/1999	Public Employees' Retirement System - State of Washington (pension)
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2021	Washington State (pension)	$26,692.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2021	Self-Employed (consultant)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pechman, Marsha J.	08/13/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Account #1 (H)									
2.	Vanguard Cash Res. Federal Money Market Fund (VMRXX) (cash eq.)	A	Dividend	J	T					
3.	Vanguard Emerging Markets Stock Index Adm (VEMAX)	A	Dividend	J	T					
4.	Vanguard Inflation Protected Securities Inv (VIPSX)	A	Dividend	K	T					
5.	Vanguard Inernational Growth Inv (VWIGX)	C	Dividend	K	T					
6.	Vanguard Long Term Invest Grade Inv (VWESX)	C	Dividend	K	T					
7.	Vanguard Mid Cap Index Fund Adm (VIMAX)	A	Dividend	K	T					
8.	Vanguard REIT Index Fund Adm (VGSLX)	A	Dividend	K	T					
9.	Vanguard Short Term Investment Grade Inv (VFSTX)	A	Dividend	K	T					
10.	Vanguard Small Cap Index Fund Adm (VSMAX)	A	Dividend	K	T					
11.	Vanguard Total Intl Bond Index Adm (VTABX)	A	Dividend	J	T					
12.	Vanguard Wellesley Income Fund Adm (VWIAX)	C	Dividend	L	T					
13.	Vanguard Wellington Fund Adm (VWENX)	D	Dividend	L	T					
14.	Vanguard 500 Index Fund Adm (VFIAX)	B	Dividend	M	T					
15.	Account #2 (H)									
16.	RJ Bank Deposit Account (cash)	A	Interest	L	T					
17.	JPMorgan 100% US Treasury Sec Money Market Fund (CJTXX) (cash equiv.)	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18.	AMGEN Inc (AMGN)	A	Dividend			Sold	10/12/21	K		
19.	American Funds Am Balanced Fund Cl F2 (AMBFX)		None	M	T	Buy	12/16/21	L		
20.	Dodge & Cox Income Fund (DODIX)	B	Dividend	K	T	Sold (part)	10/08/21	J		
21.	American Funds Europacific Growth Fund (AEPFX)	B	Dividend	K	T	Sold (part)	10/12/21	J		
22.	Exxon Mobile Corp (XOM)	A	Dividend			Sold	05/27/21	J		
23.	FMI Large Cap Fund (FMIHX)	C	Dividend	K	T	Sold (part)	10/08/21	J		
24.	Johnson & Johnson (JNJ)	B	Dividend			Sold	10/12/21	L		
25.	Oakmark Intl Fund Inv Cl N/L (OAKIX)	A	Dividend	K	T	Sold (part)	10/08/21	J		
26.	Oakmark Equity & Income Fund Inv Cl N/L (OAKBX)	C	Dividend	K	T	Sold (part)	10/08/21	J		
27.	JPMorgan Value Advantage Fund Cl L (JVAIX)	D	Dividend	L	T	Sold (part)	10/08/21	J		
28.	Parnassus Core Equity Fund Inv Cl N/L (PRBLX)	C	Dividend	L	T	Sold (part)	10/08/21	J		
29.	T Rowe Price Growth Stock Fund Inv Cl N/L (PRGFX)	D	Dividend	M	T	Sold (part)	10/08/21	K		
30.	Truist Bank CD (cash)	C	Interest			Redeemed	04/06/21	M		
31.	Principal Midcap Fund Instl Sh N/L (PCBIX)	C	Dividend	L	T	Sold (part)	10/08/21	J		
32.	US Treasury Bills 4/22/2021 (9127962Q1)		None			Redeemed	04/22/21	K		
33.	Vanguard Short Term Inv Grade Fund Adm Shs (VFSUX)	C	Dividend	M	T	Buy (add'l)	04/13/21	M		
34.						Buy (add'l)	05/26/21	L		

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35.						Sold (part)	10/08/21	L		
36.						Sold (part)	12/15/21	L		
37.	Invesco S&P Midcap 400 Equal Weight ETF (EWMC)	A	Dividend	K	T	Sold (part)	10/12/21	J		
38.	iShares Tr Russell 2000 ETF (IWM)	A	Dividend	K	T	Sold (part)	10/12/21	J		
39.	Vanguard Dividend Appreciation ETF (VIG)	B	Dividend	L	T	Sold (part)	10/12/21	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Parenthetical numbers in Part VII are CUSIP numbers.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marsha J. Pechman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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