AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pechman, Marsha J.	U.S. District Court for the Western District of WA	08/05/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge (Senior)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
700 Stewart St. Seattle, WA 98101		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1/1/1999	Public Employees' Retirement System - State of Washington (pension)
2.	
3.	

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Washington State (pension)	$27,905.46
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	Self-Employed (consultant)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pechman, Marsha J.	08/05/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	Account #1 (H)									
2.	Baird FDIC Deposit Account (cash) (X)	A	Interest	K	T					
3.	Baird Mid Cap Instl CL (BMDIX)	B	Dividend	L	T	Buy	02/16/23	L		
4.						Buy (add'l)	07/27/23	J		
5.	Baird Chautauqua Intl Growth Instl CL (CCWIX)		None	M	T	Buy	02/16/23	M		
6.						Buy (add'l)	07/27/23	K		
7.	iShares Core S&P 500 ETF (IVV)	C	Dividend	N	T	Buy	02/16/23	N		
8.	Schwab US Dividend Equity ETF (SCHD)	D	Dividend	N	T	Buy	02/16/23	N		
9.						Buy (add'l)	07/27/23	K		
10.	Schwab Short Term US Treasury ETF (SCHO)	C	Dividend	M	T	Buy	02/16/23	M		
11.						Buy (add'l)	07/27/23	J		
12.	Vanguard Short Term Corp Bond ETF (VCSH)	D	Dividend	N	T	Buy	02/16/23	N		
13.						Buy (add'l)	07/27/23	K		
14.	Vanguard Cash Res. Federal Money Market Fund (VMRXX) (cash eq.)		None			Sold	02/15/23	J		
15.	American Funds Europacific Growth Fund (AEPFX)		None			Sold	02/15/23	J		
16.	FMI Large Cap Fund (FMIHX)		None			Sold	02/15/23	K		
17.	Oakmark Intl Fund Inv Cl N/L (OAKIX)		None			Sold	02/15/23	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

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18. iShares Tr Russell 2000 ETF (IWM)		None			Sold	02/15/23	K	C	
19. Invesco S&P Midcap 400 Equal Weight ETF (EWMC)		None			Sold	02/15/23	K	D	
20. JPMorgan Value Advantage Fund Cl L (JVAIX)		None			Sold	02/15/23	L	D	
21. Parnassus Core Equity Fund Inv Cl N/L (PRBLX)		None			Sold	02/15/23	L	D	
22. T Rowe Price Growth Stock Fund Inv Cl N/L (PRGFX)		None			Sold	02/15/23	L	D	
23. Principal Midcap Fund Instl Sh N/L (PCBIX)		None			Sold	02/15/23	K	D	
24. Vanguard Dividend Appreciation ETF (VIG)		None			Sold	02/15/23	L	E	
25. Vanguard Inernational Growth Inv (VWIGX)		None			Sold	02/15/23	K	C	
26. Vanguard Emerging Markets Stock Index Adm (VEMAX)		None			Sold	02/15/23	J	A	
27. Vanguard REIT Index Fund Adm (VGSLX)		None			Sold	02/15/23	K	B	
28. Vanguard Small Cap Index Fund Adm (VSMAX)		None			Sold	02/15/23	K	D	
29. Vanguard Mid Cap Index Fund Adm (VIMAX)		None			Sold	02/15/23	K	D	
30. Vanguard 500 Index Fund Adm (VFIAX)		None			Sold	02/15/23	M	F	
31. American Funds Am Balanced Fund Cl F2 (AMBFX)		None			Sold	02/15/23	L		
32. Oakmark Equity & Income Fund Inv Cl N/L (OAKBX)		None			Sold	02/15/23	K	C	
33. Vanguard Wellington Fund Adm (VWENX)		None			Sold	02/15/23	L		
34. Vanguard Wellesley Income Fund Adm (VWIAX)		None			Sold	02/15/23	L		

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35. Dodge & Cox Income Fund (DODIX)		None			Sold	02/15/23	K		
36. Vanguard Total Intl Bond Index Adm (VTABX)	A	Dividend			Sold	02/15/23	J		
37. Vanguard Inflation Protected Securities Inv (VIPSX)		None			Sold	02/15/23	J		
38. Vanguard Short Term Inv Grade Fund Adm Shs (VFSUX)	A	Dividend			Sold	02/15/23	M		
39. Vanguard Long Term Invest Grade Inv (VWESX)	A	Dividend			Sold	02/15/23	K		
40. Vanguard Short Term Investment Grade Inv (VFSTX)	A	Dividend			Sold	02/15/23	K		
41. Account #2 (H)									
42. Baird FDIC Deposit Account (cash)	A	Interest	J	T	Open	02/17/23	M		
43. Dimensional Intl Core Equity 2 ETF (DFIC)	A	Dividend	K	T	Buy	02/21/23	K		
44. iShares Core S&P 500 ETF (IVV)	A	Dividend	L	T	Buy	02/21/23	K		
45. Schwab US Dividend Equity ETF (SCHD)	B	Dividend	K	T	Buy	02/21/23	K		
46. Schwab Short Term US Treasury ETF (SCHO)	A	Dividend	K	T	Buy	02/21/23	K		
47. Vanguard Short Term Corp Bond ETF (VCSH)	B	Dividend	L	T	Buy	02/21/23	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Marsha J. Pechman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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