AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Peterson, James D.	District Court - Western Wisconsin	8/11/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - active status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
120 North Henry Street, Suite 560 Madison, WI 53703		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Co-Trustee	Trust No. 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	1998-1999	Wisconsin Retirement System Plan; balance payable at retirement
2.		
3.		

Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2022	SSA Retirement
2.	2022	Self-employed Expert Consultant
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	FJA	5/1/22 - 5/3/22	Washington DC	FJA Tenth Quadrennial	lodging and parking
2.					
3.					
4.					
5.					

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Peterson, James D.	8/11/2023

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Rochelle Klaskin and Chris Eggers	Resort Lodging	$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Peterson, James D.	8/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ally Bank cash account	A	Interest	J	T					
2. UW Credit Union cash account	A	Interest	K	T					
3. U.S. Savings Bonds		None	K	T					
4. Associated Banc-Corp Stock	B	Dividend			Sold	03/16/22	M	E	
5. CREF Stock		None	M	T					
6. Fidelity Government Money Market Fund	A	Int./Div.			Sold	03/10/22	K	A	
7. TD Ameritrade Cash Account	A	Interest	L	T					
8. GK Phenomenelle Fund LLC Membership		None	J	U					
9. Fidelity Int'l Enhanced Index Fund	A	Dividend			Sold	03/10/22	K	A	
10. Fidelity US Bond Index Fund	C	Int./Div.			Sold	03/10/22	M	C	
11. Fidelity Conservative Income Bond Fd	A	Int./Div.			Sold	03/10/22	K	A	
12. Fidelity Inflat-Prot Bd Index Fund	A	Dividend			Sold	03/10/22	J	B	
13. Fidelity Flex 500 Index Fund	D	Dividend			Sold	03/11/22	N	B	
14. Fidelity Flex International Index Fund	C	Dividend			Sold	03/11/22	M	E	
15. Fidelity Flex Small Cap Index Fund	B	Int./Div.			Sold	03/11/22	K	D	
16. Fidelity Flex Mid Cap Index Fund	B	Int./Div.			Sold	03/11/22	K	D	
17. Fidelity Flex Cons Income Bond Fund	A	Dividend			Sold	03/11/22	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. Fidelity Flex U.S. Bond Index Fund	C	Int./Div.			Sold	03/11/22	L	A	
19. Fidelity Flex Government Money Mkt	A	Dividend			Closed	03/10/22	J	A	
20. Fidelity Deposit at BNY Mellon	A	Interest			Closed	03/10/22	J	A	
21. Fidelity Deposit Peoples Bank	A	Interest			Closed	03/10/22	J	A	
22. IShares Core S&P Small Cap	A	Dividend			Sold	03/30/22	K	E	
23. IShares TR EAFE Sml Cp	A	Int./Div.			Sold	03/30/22	J	B	
24. IShares TR EAFE Grwth ETF	A	Dividend			Sold	03/16/22	J	C	
25. IShares Inc Core MSCI Emerging Mkts	A	Dividend			Sold	03/30/22	K	D	
26. Fidelity Flex 500 Index Fund.	B	Dividend			Buy (add'l)	01/05/22	J		
27.					Sold	03/09/22	M	F	
28. Fidelity Flex Cons Income Bond Fund.	A	Dividend			Buy (add'l)	01/05/22	J		
29.					Sold	03/09/22	K	A	
30. Fidelity Flex International Index.	B	Dividend			Buy (add'l)	01/05/22	J		
31.					Sold	03/09/22	L	D	
32. Fidelity Flex Small Cap Index Fund.	A	Dividend			Buy (add'l)	01/05/22	J		
33.					Sold	03/09/22	K	C	
34. Fidelity Flex U.S. Mid Cap Index Fund.	A	Dividend			Buy (add'l)	01/05/22	J		

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35.					Sold	03/09/22	K	C	
36. Fidelity Flex U.S. Bond Index Fund.	B	Dividend			Buy (add'l)	01/05/22	J		
37.					Sold	03/09/22	M	A	
38. Vanguard Institutional Index.	C	Dividend	L	T	Sold (part)	07/01/22	K	A	
39. Vanguard Total Bond MKT ADM.	A	Int./Div.	J	T	Sold (part)	07/01/22	J	A	
40. Vanguard Target Retirement 2020.	B	Dividend	L	T	Sold (part)	07/01/22	J	A	
41. SPDR Series Trust Bloomberg Emerging Markets Fund	A	Dividend	K	T	Buy	03/16/22	J		
42.					Buy (add'l)	03/30/22	J		
43. First Trust Exchange-Traded Fund	A	Dividend	K	T	Buy	03/16/22	K		
44.					Buy (add'l)	03/30/22	J		
45.					Buy (add'l)	05/23/22	J		
46.					Buy (add'l)	09/14/22	J		
47. Flexshares Trust MorningStar Fund	C	Dividend	L	T	Buy	03/16/22	L		
48.					Buy (add'l)	03/30/22	K		
49.					Sold (part)	04/18/22	J		
50.					Sold	05/23/22	J		
51.					Buy (add'l)	07/12/22	J		

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52.						Sold (part)	09/14/22	J		
53.						Sold (part)	11/10/22	J		
54.	SPDR Index Shares Fund S&P Intl SmCap	A	Dividend	K	T	Buy	03/16/22	K		
55.						Buy (add'l)	03/30/22	J		
56.						Buy (add'l)	09/14/22	J		
57.	Vaneck ETF Trust Vaneck Rare Earth Fund	A	Dividend	K	T	Buy	03/16/22	K		
58.						Buy (add'l)	03/30/22	J		
59.						Buy (add'l)	07/12/22	J		
60.						Sold (part)	09/14/22	J		
61.	SPDR Index Shares Fund DJ GLB	A	Dividend	K	T	Buy	03/16/22	K		
62.						Buy (add'l)	03/30/22	J		
63.						Buy (add'l)	11/10/22	J		
64.	SPDR Series Trust Portfolio Fund AGRGTE	B	Dividend	M	T	Buy	03/16/22	L		
65.						Buy (add'l)	03/30/22	K		
66.						Sold (part)	07/12/22	K		
67.						Buy (add'l)	11/10/22	J		
68.	SPDR Index Shares Fund Portfolio Devlpd	C	Dividend	M	T	Buy	03/16/22	M		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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69.						Buy (add'l)	03/30/22	L		
70.						Buy (add'l)	07/12/22	J		
71.	SPDR Index Shares Fund Portfolio Emg Mk	C	Dividend	L	T	Buy	03/16/22	L		
72.						Buy (add'l)	03/30/22	K		
73.	SPDR Porfolio High Yield Fund	A	Dividend	J	T	Buy	03/16/22	J		
74.						Buy (add'l)	03/30/22	K		
75.	SPDR Series Trust Portfolio S&P 500 Fund	D	Dividend	N	T	Buy	03/16/22	N		
76.						Buy (add'l)	03/30/22	M		
77.						Buy (add'l)	05/23/22	K		
78.	SPDR Series Trust Portfolio S&P 400 Mid-Cap Fund	A	Dividend	K	T	Buy	03/16/22	K		
79.						Buy (add'l)	03/30/22	J		
80.	SPDR Portfolio S&P 600 Sm Cap Fund	A	Dividend	K	T	Buy	03/16/22	K		
81.						Buy (add'l)	03/30/22	M		
82.						Buy (add'l)	04/18/22	J		
83.	SPDR Series Trust Portfolio Fund INTRMDIT	A	Dividend	K	T	Buy	03/16/22	K		
84.						Buy (add'l)	03/30/22	J		
85.						Sold (part)	07/12/22	K		

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86.	SPDR Series Trust Portfolio LN TSR Fund	B	Dividend	K	T	Buy	03/16/22	K		
87.						Buy (add'l)	03/30/22	J		
88.						Buy (add'l)	04/18/22	J		
89.						Sold (part)	07/12/22	J		
90.						Buy (add'l)	09/14/22	J		
91.	SSGA Active Blackstone Senior Loan Fund	A	Dividend	K	T	Buy	03/16/22	K		
92.						Buy (add'l)	03/30/22	J		
93.	Invesco Exchange Traded Self 2022 Muni Fund..	A	Dividend			Buy	03/16/22	K		
94.						Sold	12/19/22	K		
95.	Invesco Exchange Traded Self 2023 Muni Fund..	A	Dividend	K	T	Buy	03/16/22	K		
96.	Invesco Exchange Traded Self 2024 Muni Fund..	A	Dividend	K	T	Buy	03/16/22	K		
97.						Buy (add'l)	04/18/22	J		
98.	Flexshares Trust Mornstar Upstr Fund..	A	Dividend	J	T	Buy	03/16/22	J		
99.	SPDR Index Shares Fund S&P Intl SmCap..	A	Dividend	J	T	Buy	03/16/22	J		
100.	SPDR Index Shares Fund DJ GLB RL ES..	A	Dividend	J	T	Buy	03/16/22	J		
101.						Sold (part)	12/29/22	J		
102.	SPDR Index Shares Fund Portfolio Devlpd..	A	Dividend	K	T	Buy	03/16/22	K		

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103.						Sold (part)	12/29/22	K		
104.	SPDR Index Shares Fund Porfolio Emg Mk..	A	Dividend	K	T	Buy	03/16/22	K		
105.						Sold (part)	12/29/22	K		
106.	SPDR Index Shares Fund Porfolio S&P 500..	A	Dividend	L	T	Buy	03/16/22	K		
107.						Buy (add'l)	04/18/22	J		
108.						Sold (part)	12/29/22	L		
109.	SPDR Index Shares Fund Porfolio S&P 400 Mid Cap..	A	Dividend	J	T	Buy	03/16/22	J		
110.						Buy (add'l)	04/18/22	J		
111.						Sold (part)	12/29/22	J		
112.	SPDR Index Shares Fund Porfolio S&P 600 Sm Cap..	A	Dividend	J	T	Buy	03/16/22	J		
113.						Buy (add'l)	04/18/22	J		
114.						Sold (part)	12/29/22	J		
115.	SPDR Series Trust Bloomberg Emerging Mar Fund.	A	Dividend	J	T	Buy	03/14/22	J		
116.						Buy (add'l)	04/07/22	J		
117.	First Trust Exchange Traded FU FST Fund.	A	Dividend	K	T	Buy	03/14/22	K		
118.						Buy (add'l)	04/07/22	J		
119.						Sold (part)	05/23/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Flexshares Trust MorningStar UPSTR Fund.	C	Dividend	L	T	Buy	03/14/22	K		
121.					Buy (add'l)	04/07/22	L		
122.					Sold (part)	04/18/22	J		
123.					Sold (part)	05/23/22	J		
124.					Buy (add'l)	07/12/22	J		
125.					Sold (part)	09/14/22	J		
126. SPDR Index Shares Fund S&P Intl Sm Cap.	A	Dividend	K	T	Buy	03/14/22	J		
127.					Buy (add'l)	04/07/22	K		
128. Vaneck ETF Trust Vaneck Rare Earth Fund.	A	Dividend	K	T	Buy	03/14/22	J		
129.					Buy (add'l)	04/07/22	K		
130.					Buy (add'l)	07/12/22	J		
131.					Sold (part)	09/14/22	J		
132. SPDR Index Shares Fund DJ GLB.RL ES	A	Dividend	K	T	Buy	03/14/22	J		
133.					Buy (add'l)	04/07/22	J		
134.					Buy (add'l)	11/10/22	J		
135. SPDR Series Trust Portfolio Fund AGRGTE.	C	Dividend	M	T	Buy	03/14/22	K		
136.					Buy (add'l)	04/07/22	L		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.						Sold (part)	05/23/22	J		
138.	SPDR Index Shares Fund Portfolio Devlpd.	D	Dividend	M	T	Buy	03/14/22	L		
139.						Buy (add'l)	04/07/22	M		
140.						Buy (add'l)	09/14/22	J		
141.	SPDR Index Shares Fund Portfolio Emg Mk.	C	Distribution	L	T	Buy	03/14/22	K		
142.						Buy (add'l)	04/07/22	L		
143.	SPDR Portfolio High Yld Fund.	A	Dividend	J	T	Buy	03/14/22	J		
144.						Buy (add'l)	04/07/22	J		
145.	SPDR Series Trust Porfolio S&P 500 Fund.	C	Dividend	N	T	Buy	03/14/22	M		
146.						Buy (add'l)	04/07/22	M		
147.						Buy (add'l)	05/23/22	J		
148.	SPDR Series Trust Porfolio S&P 400 Mid Cap Fund.	A	Dividend	K	T	Buy	03/14/22	J		
149.						Buy (add'l)	04/07/22	K		
150.	SPDR Series Trust Porfolio S&P 600 Sm Cap Fund.	A	Dividend	K	T	Buy	03/14/22	J		
151.						Buy (add'l)	04/07/22	K		
152.	SPDR Series Trust Portfolio INTRMDIT Fund.	A	Dividend	K	T	Buy	03/14/22	J		
153.						Buy (add'l)	04/07/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.						Sold (part)	07/12/22	J		
155.	SPDR Series Trust Portfolio LN TSR Fund.	A	Dividend	K	T	Buy	03/14/22	J		
156.						Buy (add'l)	04/07/22	J		
157.						Buy (add'l)	04/18/22	J		
158.						Sold (part)	07/12/22	J		
159.	SSGA Active Blackstone Senior Loan Fund.	A	Dividend	K	T	Buy	03/14/22	J		
160.						Buy (add'l)	04/07/22	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Peterson, James D.	8/11/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ James D. Peterson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544