AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Peterson, Rosanna M.	U.S. District Court	04/18/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2021 **to** 12/31/2021
	5b. ☐ Amended Report	
7. Chambers or Office Address		
U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	04/18/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional		None	M	T	Redeemed (part)	02/09/21	O		
3.					Redeemed (part)	03/31/21	L		
4.					Redeemed (part)	04/02/21	K		
5.					Redeemed (part)	05/05/21	J		
6.					Redeemed (part)	11/03/21	K		
7. Spokane Teachers Credit Union Cash Account		None	K	T					
8. Charles Schwab Cash Account		None	P1	T					
9. Charles Schwab Cash Account	A	Interest							
10. COST		None			Buy	10/25/21	M		
11. COST		None			Sold	10/28/21	M		
12. COST		None			Buy	11/03/21	M		
13. COST		None			Sold	11/04/21	M	C	
14. COST		None			Buy	11/05/21	M		
15. COST		None			Sold	11/09/21	M		
16. COST		None			Buy	11/11/21	M		
17. COST		None			Sold	11/18/21	M	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Peterson, Rosanna M.	04/18/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. COST		None			Buy	11/22/21	M		
19. COST		None			Sold	11/30/21	M	B	
20. COST		None			Buy	12/07/21	P1		
21. COST		None			Sold	12/08/21	P1		
22. COST		None			Buy	12/10/21	P1		
23. COST		None			Sold	12/20/21	P1		
24. KBWB		None			Buy	11/11/21	M		
25. KBWB		None			Sold	11/24/21	M	B	
26. SCHWAB PREMIER BANK	A	Interest							
27. SH		None			Buy	03/31/21	P1		
28. SH		None			Sold	04/01/21	P1		
29. SH		None			Buy	05/12/21	P1		
30. SH		None			Sold	05/13/21	P1		
31. SH		None			Buy	09/28/21	M		
32. SH		None			Sold	09/30/21	M		
33. SVXY		None			Buy	05/19/21	N		
34. SVXY		None			Sold	05/28/21	N	B	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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35. SVXY		None			Buy	07/15/21	O		
36. SVXY		None			Sold	07/26/21	O	C	
37. SVXY		None			Buy	08/27/21	M		
38. SVXY		None			Sold	09/01/21	M	B	
39. SVXY		None			Buy	09/03/21	M		
40. SVXY		None			Sold	09/07/21	M		
41. SVXY		None			Buy	09/20/21	P1		
42. SVXY		None			Sold	09/28/21	P1	D	
43. SVXY		None			Buy	11/23/21	P1		
44. SVXY		None			Sold	11/30/21	P1	D	
45. SVXY		None			Buy	12/07/21	M		
46. SVXY		None			Sold	12/08/21	M	A	
47. UVXY		None			Buy	01/21/21	M		
48. UVXY		None			Sold	01/22/21	M		
49. UVXY		None			Buy	02/12/21	J		
50. UVXY		None			Sold	02/20/21	J		
51. UVXY		None			Buy	06/15/21	M		

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52. UVXY		None			Sold	06/16/21	M	A	
53. UVXY		None			Buy	07/26/21	M		
54. UVXY		None			Sold	07/26/21	M		
55. UVXY		None			Buy	09/01/21	J		
56. UVXY		None			Sold	09/02/21	J		
57. UVXY		None			Buy	09/28/21	K		
58. UVXY		None			Sold	09/30/21	K		
59. UVXY		None			Buy	10/26/21	J		
60. UVXY		None			Sold	10/27/21	J	A	
61. TQQQ		None			Buy	02/12/21	M		
62. TQQQ		None			Sold	02/17/21	M		
63. TQQQ		None			Buy	08/31/21	M		
64. TQQQ		None			Sold	09/07/21	M		
65. TQQQ		None			Buy	11/29/21	M		
66. TQQQ		None			Sold	11/30/21	M		
67. TQQQ		None			Buy	12/07/21	M		
68. TQQQ		None			Sold	12/13/21	M		

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69. UPRO		None			Buy	03/01/21	O		
70. URPO		None			Sold	03/03/21	O		
71. UPRO		None			Buy	03/11/21	M		
72. UPRO		None			Sold	03/16/21	M	B	
73. UPRO		None			Buy	07/13/21	O		
74. UPRO		None			Sold	07/16/21	O		
75. UPRO		None			Buy	09/23/21	M		
76. UPRO		None			Sold	09/28/21	M		
77. UPRO		None			Buy	11/26/21	M		
78. UPRO		None			Sold	11/30/21	M	A	
79. UPRO		None			Buy	12/07/21	O		
80. UPRO		None			Sold	12/27/21	O		
81. SQQQ		None			Buy	03/22/21	J		
82. SQQQ		None			Sold	03/23/21	J	A	
83. RIO		None			Buy	08/06/21	P1		
84. RIO		None			Sold	08/13/21	P1		
85. RIO	F	Dividend							

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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86. RIVN		None			Buy	11/16/21	J		
87. RIVN		None			Sold	11/18/21	J		
88. KBE		None			Buy	11/22/21	M		
89. KBE		None			Sold	11/24/21	M	A	
90. SPDR S&P 500	A	Dividend							
91. SPDR S&P 500		None			Buy	06/02/21	P1		
92. SPDR S&P 500		None			Sold	06/08/21	P1	B	
93. SPDR S&P 500		None			Buy	07/20/21	P1		
94. SPDR S&P 500		None			Sold	07/26/21	P1	D	
95. SPDR S&P 500		None			Buy	08/27/21	P1		
96. SPDR S&P 500		None			Sold	09/01/21	P1		
97. SPDR S&P 500		None			Buy	09/20/21	P1		
98. SPDR S&P 500		None			Sold	09/21/21	P1		
99. SPDR S&P 500		None			Buy	09/24/21	P1		
100. SPDR S&P 500		None			Sold	09/24/21	P1		
101. SPDR S&P 500		None			Buy (add'l)	11/03/21	P1		
102. SPDR S&P 500		None			Sold	11/26/21	P1		

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2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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103. SPDR S&P 500		None			Buy (add'l)	11/03/21	P1		
104. SPDR S&P 500		None			Sold	11/30/21	P1		
105. SPDR S&P 500		None			Buy (add'l)	12/15/21	P1		
106. SPDR S&P 500		None			Sold	12/20/21	P1		
107. VANGUARD S&P 500 VOO		None			Buy	02/19/21	P1		
108. VANGUARD S&P 500 VOO		None			Sold	03/16/21	P1	E	
109. VANGUARD S&P 500 VOO		None			Buy	04/01/21	P1		
110. VANGUARD S&P 500 VOO		None			Sold	04/07/21	P1	B	
111. VANGUARD S&P 500 VOO		None			Buy	04/15/21	P1		
112. VANGUARD S&P 500 VOO		None			Sold	04/20/21	P1	B	
113. VANGUARD S&P 500 VOO		None			Buy	05/17/21	P1		
114. VANGUARD S&P 500 VOO		None			Sold	05/17/21	P1	A	
115. VANGUARD S&P 500 VOO		None			Buy	05/24/21	P1		
116. VANGUARD S&P 500 VOO		None			Sold	06/17/21	P1	A	
117. VANGUARD S&P 500 VOO		None			Buy	07/02/21	P1		
118. VANGUARD S&P 500 VOO		None			Sold	07/15/21	P1	A	
119. VANGUARD S&P 500 VOO		None			Buy	07/23/21	P1		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
120. VANGUARD S&P 500 VOO		None			Sold	07/26/21	P1	A	
121. VANGUARD S&P 500 VOO		None			Buy	08/04/21	P1		
122. VANGUARD S&P 500 VOO		None			Sold	08/05/21	P1	A	
123. VANGUARD S&P 500 VOO		None			Buy	08/23/21	P1		
124. VANGUARD S&P 500 VOO		None			Sold	08/25/21	P1	B	
125. VANGUARD S&P 500 VOO		None			Buy	09/03/21	P1		
126. VANGUARD S&P 500 VOO		None			Sold	09/08/21	P1		
127. VANGUARD S&P 500 VOO		None			Buy	09/23/21	P1		
128. VANGUARD S&P 500 VOO		None			Sold	09/28/21	P1		
129. VANGUARD S&P 500 VOO		None			Buy	10/14/21	P1		
130. VANGUARD S&P 500 VOO		None			Sold	11/01/21	P1	E	
131. VANGUARD S&P 500 VOO		None			Buy	12/07/21	P1		
132. VANGUARD S&P 500 VOO		None			Sold	12/14/21	P1		
133. MQBKY		None			Buy	06/25/21	M		
134. MQBKY		None			Sold	06/29/21	M		
135. FNGU		None			Buy	01/20/21	L		
136. FNGU		None			Sold	01/25/21	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
137. FNGU		None			Buy	02/12/21	L		
138. FNGU		None			Sold	02/17/21	L		
139. FNGU		None			Buy	05/20/21	L		
140. FNGU		None			Sold	05/21/21	L		
141. FNGU		None			Buy	09/03/21	M		
142. FNGU		None			Sold	09/04/21	M	D	
143. FNGU		None			Buy	11/03/21	M		
144. FNGU		None			Sold	11/30/21	M		
145. FNGU		None			Buy	12/07/21	M		
146. FNGU		None			Sold	12/13/21	M		
147. BYDDY		None			Buy	05/24/21	L		
148. BYDDY		None			Sold	06/01/21	L	D	
149. BYDDY		None			Buy	06/17/21	M		
150. BYDDY		None			Sold	06/21/21	M	C	
151. BYDDY		None			Buy	08/23/21	M		
152. BYDDY		None			Sold	08/25/21	M		
153. BYDDY		None			Buy	09/27/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
154. BYDDY		None			Sold	09/28/21	J		
155. BYDDY		None			Buy	10/19/21	K		
156. BYDDY		None			Sold	10/28/21	K		
157. BYDDY		None			Buy	11/04/21	M		
158. BYDDY		None			Sold	11/05/21	M		
159. YANG		None			Buy	03/18/21	K		
160. YANG		None			Sold	03/19/21	K	B	
161. ENPH		None			Buy	01/01/21	K		
162. ENPH		None			Sold	01/12/21	K	A	
163. LIT		None			Buy	01/01/21	J		
164. LIT		None			Sold	01/19/21	L	D	
165. LIT		None			Buy	01/20/21	K		
166. LIT		None			Sold	01/21/21	K	A	
167. LIT		None			Buy	01/25/21	M		
168. LIT		None			Sold	01/29/21	M		
169. QCLN		None			Buy	01/08/21	K		
170. QCLN		None			Sold	01/19/21	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
171. QCLN		None			Buy	02/08/21	L		
172. QCLN		None			Sold	02/10/21	L	A	
173. QCLN		None			Buy	02/19/21	M		
174. QCLN		None			Sold	02/22/21	M		
175. QCLN		None			Buy	03/01/21	K		
176. QCLN		None			Sold	03/03/21	K		
177. TAN		None			Buy	01/01/21	M		
178. TAN		None			Sold	01/19/21	M		
179. TAN		None			Buy	02/08/21	M		
180. TAN		None			Sold	02/10/21	M	B	
181. TAN		None			Buy	02/18/21	M		
182. TAN		None			Sold	02/22/21	M		
183. TAN		None			Buy	03/01/21	M		
184. TAN		None			Sold	03/02/21	M		
185. ICLN		None			Buy	01/01/21	M		
186. ICLN		None			Sold	01/19/21	M	C	
187. LCID		None			Buy	09/22/21	K		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
188. LCID		None			Sold	09/27/21	K	A	
189. LCID		None			Buy	11/17/21	J		
190. LCID		None			Sold	11/18/21	J		
191. MTCH		None			Buy	09/07/21	M		
192. MTCH		None			Sold	09/14/21	M		
193. FNGD		None			Buy	09/29/21	J		
194. FNGD		None			Sold	09/30/21	J		
195. NIO		None			Buy	01/07/21	J		
196. NIO		None			Sold	01/12/21	J	A	
197. NIO		None			Buy	01/25/21	M		
198. NIO		None			Sold	01/29/21	M		
199. NIO		None			Buy	09/27/21	J		
200. NIO		None			Sold	09/28/21	J		
201. NIO		None			Buy	10/25/21	K		
202. NIO		None			Sold	10/28/21	K		
203. NVDA		None			Buy	11/11/21	J		
204. NVDA		None			Sold	11/11/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
205. NVDA		None			Buy	12/09/21	K		
206. NVDA		None			Sold	12/10/21	K	A	
207. PACB		None			Buy	10/14/21	M		
208. PACB		None			Sold	12/09/21	M		
209. STPKWS		None			Buy	02/18/21	K		
210. STPKWS		None			Sold	03/15/21	K		
211. STPK		None			Buy	01/12/21	J		
212. STPK		None			Sold	01/19/21	J	A	
213. STPK		None			Buy	01/29/21	M		
214. STPK		None			Sold	02/02/21	M	E	
215. STPK		None			Buy	02/05/21	M		
216. STPK		None			Sold	02/23/21	M		
217. STPK		None			Buy	03/03/21	N		
218. STPK		None			Sold	03/30/21	N	E	
219. STAR PEAK ENERGY TRANSITCORP ACT		None			Buy	04/16/21	M		
220. STAR PEAK ENERGY TRANSITCORP ACT		None			Sold	04/20/21	M		
221. STEM		None			Buy	06/29/21	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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222. STEM		None			Sold	07/01/21	M	A	
223. STEM		None			Buy	08/23/21	M		
224. STEM		None			Sold	09/01/21	M		
225. STEM		None			Buy	09/27/21	J		
226. STEM		None			Sold	09/28/21	J		
227. STEM		None			Buy	10/19/21	J		
228. STEM		None			Sold	10/25/21	J	A	
229. STEM		None			Buy	12/08/21	J		
230. STEM		None			Sold	12/09/21	J		
231. STEM		None			Buy	12/17/21	J		
232. STEM		None			Sold	12/20/21	J		
233. SUNW		None			Buy	01/22/21	L		
234. SUNW		None			Sold	01/25/21	L	D	
235. TSLA		None			Buy	01/06/21	J		
236. TSLA		None			Sold	01/12/21	J	A	
237. TSLA		None			Buy	01/20/21	M		
238. TSLA		None			Sold	01/21/21	M		

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

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239. TSLA		None			Buy	10/25/21	K		
240. TSLA		None			Sold	10/28/21	K	A	
241. TSLA		None			Buy	11/05/21	M		
242. TSLA		None			Sold	11/09/21	M		
243. TSLA		None			Buy	12/09/21	M		
244. TSLA		None			Sold	12/13/21	M		
245. TOSYY		None			Buy	06/21/21	M		
246. TOSYY		None			Sold	06/29/21	M		
247. TOSYY	E	Dividend							
248. XPEV		None			Buy	01/25/21	L		
249. XPEV		None			Sold	01/29/21	L		
250. XPEV		None			Buy	09/27/21	J		
251. XPEV		None			Sold	09/28/21	J		
252. XPEV		None			Buy	10/25/21	L		
253. XPEV		None			Buy	10/28/21	L		
254.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	04/18/2022

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: I liquidated ALL of my stock except for the TIAA stock on 12/31/2020 and again on 12/31/2021, so there is no value to the stocks listed other than the TIAA stock. Also, I rolled over the cash from TIAA to Charles Schwab and funded the Charles Schwab account from that liquidation.

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	04/18/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rosanna M. Peterson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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