AO 10
Rev. 1/2018

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Peterson, Rosanna M.	U.S. District Court	05/09/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Senior U.S. District Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
U.S. District Court for the Eastern District of WA 920 W. Riverside Spokane WA 99210		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	05/09/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CNA whole life insurance		None	J	T					
2. TIAA Traditional		None	L	T	Redeemed (part)	05/03/23	J		
3. Spokane Teachers Credit Union Cash Account	A	Interest	K	T					
4. Charles Schwab Cash Account	D	Interest	J	T					
5. SCHWAB PREMIER BANK	D	Interest	J	T					
6. VOO (H)	D	Dividend	P1	T	Buy	06/16/23	P1		
7.					Sold (part)	06/20/23	M		
8.					Sold (part)	06/21/23	P1		
9.					Buy (add'l)	11/27/23	P1		
10.					Buy (add'l)	12/29/23	J		
11. SH (H)		None			Buy	10/27/23	P1		
12.					Sold (part)	10/30/23	P1		
13.					Buy (add'l)	11/06/23	L		
14.					Sold (part)	11/07/23	M		
15.					Buy (add'l)	11/09/23	M		
16.					Sold	11/10/23	M		
17. SVXY (H)		None			Buy	05/24/23	O		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	05/09/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/25/23	O		
19.					Buy (add'l)	06/28/23	N		
20.					Sold (part)	06/29/23	N		
21.					Sold	10/30/23	M		
22. TQQQ (H)		None			Buy	05/04/23	L		
23.					Sold (part)	05/05/23	L		
24.					Buy (add'l)	05/17/23	L		
25.					Sold (part)	05/18/23	M		
26.					Buy (add'l)	05/25/23	K		
27.					Sold (part)	06/01/23	L		
28.					Buy (add'l)	11/01/23	K		
29.					Sold	11/02/23	K		
30. UPRO (H)		None			Buy	06/06/23	L		
31.					Sold (part)	06/08/23	L		
32.					Buy (add'l)	11/01/23	J		
33.					Buy (add'l)	11/02/23	L		
34.					Sold (part)	11/02/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35.					Buy (add'l)	11/03/23	M		
36.					Sold	11/03/23	L		
37. SPXU (H)		None			Buy	10/27/23	M		
38.					Buy (add'l)	11/06/23	K		
39.					Sold (part)	11/07/23	K		
40.					Buy (add'l)	11/09/23	L		
41.					Sold	11/10/23	L		
42. SPY (H)		None			Buy	01/05/23	P1		
43.					Sold (part)	01/06/23	P1		
44.					Buy (add'l)	06/06/23	P1		
45.					Sold (part)	06/08/23	P1		
46.					Buy (add'l)	06/09/23	P1		
47.					Sold (part)	06/09/23	P1		
48.					Buy (add'l)	06/13/23	P1		
49.					Sold (part)	06/14/23	P1		
50.					Buy (add'l)	06/28/23	P1		
51.					Sold (part)	06/29/23	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52.					Buy (add'l)	10/20/23	P1		
53.					Sold (part)	10/23/23	P1		
54.					Buy (add'l)	10/24/23	P1		
55.					Sold (part)	10/24/23	M		
56.					Sold (part)	10/25/23	P1		
57.					Buy (add'l)	11/01/23	P1		
58.					Buy (add'l)	11/02/23	L		
59.					Buy (add'l)	11/14/23	P1		
60.					Sold	11/21/23	P1		
61. FNGU (H)		None			Buy	05/25/23	L		
62.					Sold (part)	06/01/23	L		
63.					Buy (add'l)	06/06/23	L		
64.					Sold (part)	06/08/23	L		
65.					Buy (add'l)	06/28/23	K		
66.					Sold (part)	06/29/23	K		
67.					Buy (add'l)	10/24/23	M		
68.					Sold (part)	10/25/23	M		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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69.					Buy (add'l)	11/01/23	L		
70.					Sold	11/02/23	L		
71. QQQ(H)		None			Buy	05/04/23	P1		
72.					Sold (part)	05/05/23	P1		
73.					Buy (add'l)	05/17/23	P1		
74.					Sold (part)	05/18/23	P1		
75.					Buy (add'l)	05/24/23	L		
76.					Buy (add'l)	05/25/23	O		
77.					Sold	06/01/23	P1		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Peterson, Rosanna M.	05/09/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rosanna M. Peterson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544