AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pitts, Patrick C.	U.S. District Court, Northern District of California	07/08/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
280 S. 1st Street San Jose, California 95113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Partner (January 1, 2023 to June 30, 2023)	Altshuler Berzon LLP
2.	Director	Delano Foundation for Law in the Public Interest
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2023	Altshuler Berzon LLP guaranteed compensation for legal services rendered before becoming a judge
2.	2009	Altshuler Berzon LLP Profit Sharing Plan retirement account (assets listed in Part VII)
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2023	Altshuler Berzon LLP Partnership Distribution (1/1/2023-6/30/2023)	$257,555.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.	2023	University of California
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

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Pitts, Patrick C.	07/08/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase Bank	Credit Cards	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1. Investment Account #1 (H)									
2. - Apple common stock	A	Dividend			Sold	05/25/23	L	F	
3. - Vanguard US Index Fund	A	Dividend	K	T					
4. - Vanguard Int'l Index Fund	B	Dividend	L	T					
5. IRA #1 (H)									
6. - Apple common stock	A	Dividend			Sold	05/25/23	K	E	
7. - Vanguard US Index Fund	A	Dividend	K	T	Buy (add'l)	07/20/23	J		
8. - Vanguard Int'l Index Fund		None	J	T	Buy	07/20/23	J		
9. IRA #2 (H)									
10. - Vanguard Int'l Index Fund		None	J	T					
11. IRA #3 (H)									
12. - Vanguard US Index Fund	A	Dividend	K	T	Buy (add'l)	04/13/23	J		
13. - Vanguard Int'l Index Fund	A	Dividend	K	T	Buy (add'l)	04/13/23	J		
14. Vanguard Target 2045 Fund	D	Int./Div.	N	T	Buy (add'l)	05/08/23	K		
15. Citi Cash Accounts		None	M	T					
16. Ally Cash Accounts	E	Interest	O	T					
17. Marcus Cash Accounts	E	Interest	O	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	 (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	 (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	 (2) Date mm/dd/yy	 (3) Value Code 2 (J-P)	 (4) Gain Code 1 (A-H)	
18. Investment Account #2 (H)									
19. - Carrier Global common stock	A	Dividend	K	T					
20. - Cisco Systems common stock	A	Dividend	K	T					
21. - Otis common stock	A	Dividend	K	T					
22. - Raytheon common stock	B	Dividend	L	T					
23. - Royce Value Trust Fund	C	Distribution	K	T					
24. - Vanguard S&P 500 Index Fund	A	Dividend	K	T					
25. IRA #4 (H)									
26. - Carrier Global common stock	A	Dividend	J	T					
27. - Cisco Systems common stock		None	K	T					
28. - GE Aerospace common stock		None	K	T					
29. - GE Healthcare Tech common stock		None	J	T	Spinoff (from line 28)	01/04/23	J		
30. - GE Vernova common stock (X)		None	J	T					
31. - OTIS common stock		None	J	T					
32. - Procter & Gamble common stock		None	J	T					
33. - Raytheon common stock	A	Dividend	K	T					
34. - Royce Value Trust Fund	A	Distribution	J	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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☐ NONE *(No reportable income, assets, or transactions.)*

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35. - Investco S&P 500 ETF		None	J	T					
36. - Vanguard S&P 500 Index Fund		None	J	T					
37. - Wabtec common stock		None	J	T					
38. UC Pathway 2045 Fund		None	M	T					
39. Retirement #1 (H)									
40. - UC Savings Fund		None	K	T					
41. - UC Pathway 2040 Fund	A	Distribution	J	T					
42. Fidelity Cash Accounts	A	Dividend	J	T					
43. CIT Cash Accounts	B	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.A.30: Stock created by spinoff from GE Aerospace 4/2/2024

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patrick C. Pitts

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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