AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Polster, Dan A.	U.S. Dist. Ct., ND Ohio	03/21/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. District Judge--Senior	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
United States District Judge 18B Carl B. Stokes U.S. Court Cleveland, Ohio 44113		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Lifetime Trustee	Mandel Jewish Day School (formerly Agnon School)
2.	Trustee and Vice President	Siegal Lifelong Learning Center Foundation
3.	Trustee	Jewish Federation of Cleveland
4.	Trustee	Bellefaire Jewish Children's Bureau
5.	Trustee	[redacted] trust
6.	Board of Directors	Federal Bar Assn (Cleveland chapter)
7.	Chairman and Board of Directors	Mt. Sinai Health Care Foundation
8.	Board of Directors	Global Cleveland
9.	Board of Directors	William K. Thomas Inn of Court
10.	Trustee	Mandel Foundation
11.	Trustee	Mandel Supporting Foundation
12.	Trustee	Yanowitz Supporting Foundation
13.	Executive Committee and Board of Directors	Federal Judges Association

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1998	U.S. Civil Service Retirement System (CSRS) vested pension for Dept. of Justice service (1976-1998); I started receiving it 1/31/2021 upon senior status
2.	
3.	

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2020	Park Synagogue (Stipend for teaching 7th--10th grade classes)	$1,057.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2020	Coleman Law LLC (sole practice)
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Merrill Lynch Bank Deposit Program (Bank of America NA)	A	Interest	J	T					
2.	Oakmark Intl Fund	A	Dividend	J	T					
3.	Baron Growth Fd	A	Dividend	J	T					
4.	GNM P41107 bonds	B	Dividend	J	T					
5.	Cohen & Steers Reit Fd	B	Dividend	J	T					
6.	Amer.Funds Wash Fd	A	Dividend	J	T					
7.	Cohen & Steers Pref	A	Dividend	J	T					
8.	Blackrock Multi Asset Fd	A	Dividend	J	T					
9.	Nuveen Real Asset Income Fd	A	Dividend			Sold	01/28/21	J	A	
10.	Easterly Global Real Fd, (FKAJames Alpha) Global Real Estate Invest Fd	A	Dividend	J	T					
11.	Pimco Income Fd	A	Dividend	K	T					
12.	Pimco Long Duration Fd	A	Dividend	K	T	Sold (part)	03/28/21			
13.	Virtus Divid Int. Fd (FKA .Allianzgi Div Fd)	A	Dividend	J	T					
14.	Ishares Preferred Fd	A	Dividend							
15.	Osterweis Strategic Inc. Fd	A	Dividend			Sold	01/29/21	J	A	
16.	Reaves Utility Inc. Fd	B	Dividend	K	T					
17.	First Eagle Gold Fd.	A	Dividend					J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. First Eagle Gold Fd.	A	Dividend			Sold	12/28/21	J	A	
19. Amer Smallcap World Fd	A	Dividend	J	T	Buy	01/29/21	J	A	
20. Morgan Stanley Instl Fd	A	Dividend	J	T	Buy	01/28/21	J	A	
21. T. Rowe Price Health	A	Dividend	J	T	Buy	01/28/21	J	A	
22.									
23. Chevron/Texaco	B	Dividend	K	T					
24. Intel	A	Dividend	K	T					
25. Wells Fargo Bank Deposit Sweep Program	A	Dividend	K	T					
26. Costco Wholesale Corp	A	Dividend	L	T					
27. Vanguard Mid-Cap Fd	A	Dividend	K	T	Buy (add'l)	12/08/21	J	A	
28. Microsoft Corp.	B	Dividend	M	T					
29. Microsoft Corp.	B	Dividend	M	T					
30. FedEx Corp.	A	Dividend	K	T					
31. Meta Platforms Inc (FKA Facebook, Inc)	A	Dividend	L	T					
32. Amazon Com Inc.	A	Dividend	K	T					
33. Apple Inc.	A	Dividend	K	T					
34. Paypal Holdings Inc	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Select Sector Technology ETF	A	Dividend	K	T					
36. Select Sector Consumer Discretionary ETF	A	Dividend	K	T	Buy (add'l)	12/08/21	J	A	
37. Select Sector Communications Fd.	A	Dividend	K	T					
38. US Treasury Bill	A	Interest			Redeemed	02/27/20	K	A	
39.									
40. Tenneco common	A	Dividend	J	T					
41. Embarq (spinoff)	A	Dividend	J	T					
42. Windstream (spinoff)	A	Dividend	J	T					
43. CS&L Reit	A	Dividend	J	T					
44. Nomura Partners Japan Fund Class S	A	Dividend	J	T					
45. Unicom (formerly Comm. Ed. Preferred)	A	Dividend	J	T					
46. Roulston Growth Fd	A	Dividend	J	T					
47. PNC Bank (formerly National City)--check	A	Interest	J	T					
48. PNC Bank-check	A	Interest	J	T					
49. PNC Bank--CD	A	Interest	J	T					
50. PNC Bank--CD	A	Interest	J	T					
51. Ohio Savings - savings	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. IRA-Prudential Equity Fund	C	Dividend	K	T					
53. IRA-Prudential Equity Fd.	C	Dividend	K	T					
54.									
55. [redacted] SEP IRA (Securities America) lines 55-75)	E	Dividend	P1	T					
56. Morgan Stanley Bank CD	B	Interest			Redeemed	07/12/21	K	A	
57. Bank Deposit Sweep	C	Interest	N	T					
58. HSBC Bank CD	A	Interest			Redeemed	07/12/21	K	A	
59. Invesco QQQ Tr Unit Serial 1	B	Int./Div.	N	T					
60. Janus Henderson Enterprise 1	A	Int./Div.	M	T					
61. JP Morgan Chase Bank CD	B	Interest	L	T					
62. Neuberger Berman Long Short Intstl	A	Dividend	M	T	Buy	06/15/21	M		
63. Neuberger Berman Large Cap Value Fd	C	Dividend	M	T					
64. Prime Cap Odyssey Gr. Fd	A	Dividend	M	T					
65. Wasatch Micrcocap Fd	A	Dividend	M	T					
66. Clearbridge Internet Growth Fd.	A	Dividend	M	T					
67. Vanguard Consumer Staples Index Fd	B	Dividend	L	T					
68. Vanguard Utilties Index Fd	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Vanguard 500 Index Fd	B	Dividend	L	T					
70. Vanguard Short Term Corp Bd Fd	C	Dividend	M	T					
71. Vanguard Ultra-Short Term Bd Fd	C	Dividend	M	T					
72. Vanguard Emerging Markets Bond Admiral	A	Dividend	L	T	Buy	06/15/21	M		
73. Federated Hermes Total Return Fd	A	Dividend	M	T					
74. Ishares Nasdaq Biotech Fd	A	Dividend	L	T					
75. SPDR DJ Indl Average Fd	B	Dividend	L	T					
76.									
77. [redacted] Revocable Trust (Wells Fargo) lines 77-115	E	Dividend	P1	T					
78. Bank Sweep	B	Interest	M	T					
79. Carlisle Companies Inc	A	Dividend	K	T					
80. Ishares High Dividend Fd	B	Dividend	K	T					
81. Ishares Select Dividend	A	Dividend	J	T					
82. Select Spector Utilities Fd	A	Dividend	K	T					
83. Select Spector Financial Fd	A	Dividend	K	T					
84. Select Spector Healthcare Fd	A	Dividend	K	T					
85. Vanguard High Dividend Index Fd	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Vanguard Real Estate Index Fd	A	Dividend	K	T					
87. Wisdomtree US Midcap Div Fd	A	Dividend	K	T					
88. Blackrock Global Dividend Fd	A	Dividend	J	T					
89. Blackrock Equity Dividend Fd	A	Dividend	J	T					
90. Calamos Market Neutral Inc Fd	A	Dividend	J	T					
91. Diamond Hill Long-short Fd	A	Dividend	J	T					
92. First Eagle Global Fd Class A	A	Dividend	J	T					
93. First Eagle Global Fd Class C	A	Dividend	J	T					
94. Growth Fund America Class C Fd	A	Dividend	J	T					
95. Harbor Fund Appreciation Fd	A	Dividend	L	T					
96. Invesco Developing Markets Fd	A	Dividend	J	T					
97. John Hancock Disciplined Value Fd	A	Dividend	K	T					
98. Legg Mason Clearbridge Small Cap Fd	A	Dividend	J	T					
99. Lord Abbett Short Duration Fd	A	Dividend	J	T					
100. Lord Abbett Short Duration Inc. Fd	A	Dividend	J	T					
101. Lord Abbett High Yield Fd	A	Dividend	K	T					
102. Mainstay Mackay Convertible Fd	B	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Meridian Growth Fd	A	Dividend	L	T					
104. New Perspective CL-C Fd	A	Dividend	J	T					
105. New Perspective Inc-Class Fd	A	Dividend	K	T					
106. Blackrock Global Dividend Fd	A	Dividend	J	T					
107. JP Morgan Mid-Cap Growth Fd	A	Dividend	J	T					
108. JP Morgan Treasury Securities Fd	A	Dividend	K	T					
109. Parnassus Core Equity Fd	A	Dividend	J	T					
110. Pimco FDS Income Fd	A	Dividend	J	T					
111. Pioneer Short Term Inc Fd	A	Dividend	J	T					
112. T Rowe Price Diversifed Small Cap Fd	A	Dividend	J	T					
113. Vanguard Windsor Fd	A	Dividend	K	T					
114. Vanguard Dividend Growth Fd	A	Dividend	J	T					
115. Virtus Asset Floating Rate Fd	A	Dividend	J	T					
116.									
117. [redacted] Roth IRA (lines 117-132) Securities Amer (opened March 1, 2021)	D	Dividend	N	T					
118. Clearbridge International Gr. Fd	A	Dividend	K	T					
119. Janus Henderson Enterprise I Fd	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Neuberger Berman Long Short Inst. Fd	A	Dividend	K	T					
121. Neuberger Berman Large Cap Valud Fd	A	Dividend	K	T					
122. Primecap Odyssey Growth Fd	A	Dividend	J	T					
123. Vanguard Consumer Staples Index Fd	A	Dividend	J	T					
124. Vanguard Utilities Index Admiral Fd	A	Dividend	J	T					
125. Vanguard 500 Index Admiral Fd	A	Dividend	K	T					
126. Wasach Micro Cap Fund	A	Dividend	K	T					
127. Federated Hermes Total Return Bond Fd	A	Dividend	K	T					
128. Vanguard Emerging Markets Bond Fd	A	Dividend	J	T					
129. Vanguard Short term Corp. Bond Index Fd	A	Dividend	K	T					
130. Vanguard Ultra Short Term Bond Fd	A	Dividend	K	T					
131. Invesco QQQ Tr Unit Fd	A	Dividend	K	T					
132. Ishares Biotech Fd	A	Dividend	J	T					
133.									
134. [redacted] Beneficial IRA (Wells Fargo) (lines 134-141)	A	Dividend	K	T					
135. Wells Fargo Sweep	A	Interest	J	T					
136. Ishares High Dividend Fd	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Ishares Preferred and Inc. Fd	A	Dividend	J	T					
138. Ishares Select Dividend Fd	A	Dividend	J	T					
139. Vanguard Real Estate Index Fd	A	Dividend	J	T					
140. Vanguard Mid Cap Fd	A	Dividend	J	T					
141. Vanguard Dividend Growth Fd	A	Dividend	J	T					
142.									
143. Mentor Property,LLC$40,000--12/19/12	A	Distribution	K	R					
144. Norton Property, LLC $30,000--11/17/14	A	Distribution	K	R					
145. Euclid KV, LLC $38,281--11/28/17	A	Distribution	K	R					
146.									
147. Trust** (lines 147-211)	E	Int./Div.	P1	T					
148. --State of Israel Bond	B	Interest	K	T					
149. --Tenneco common	A	Dividend	J	T					
150. --JPMorgan Chase	A	Dividend	J	T					
151. Merrill Lynch Bank Deposit Program America NA)	A	Interest	L	T					
152. Easterly Global Real Fd (FKA Allianxgi Inc and Gr Fd)	A	Dividend	K	T					
153. -- Amer.Fds New Persp Fd	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --American Washington Mut Fd	A	Dividend	K	T					
155. -- Oakmark Intl Fund	A	Dividend	J	T					
156. --Baron Growth Fd	A	Dividend	J	T					
157. US Treasury Bond	A	Interest	K	T					
158. --US GNM Bonds	A	Interest	J	T					
159. Cohen & Steers Pref	B	Dividend	K	T					
160. Cohen & Steers Reit	B	Dividend	K	T					
161. Lord Abbett Short Duration Inc Fd	B	Dividend			Sold	12/29/21	K	A	
162. Blackrock Multi Asset Inc Fd	A	Dividend	J	T					
163. Virtus Divid. Int (FKA James Alpha Global Real Estate Inv Fd)	A	Dividend	J	T					
164. Nuveen Real Asset Inc Fd	A	Dividend	K	T					
165. Pimco Inc Fd	B	Dividend	K	T					
166. Pimco Long Duration Fd	A	Dividend			Sold	12/28/21	L	A	
167. Ishares Preferred	A	Dividend	J	T					
168. Osterweis Strategic Inc Fd	A	Dividend	J	T					
169. Reaves Utility Inc. Fd	A	Dividend	K	T					
170. American Funds American	A	Dividend	J	T	Buy	11/16/21	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MFS Core Equity Fd	A	Dividend	J	T	Buy	11/16/21	J		
172. Nuveen Dividend Fd	A	Dividend	J	T	Buy	11/16/21	J		
173. T Rowe Price Health	A	Dividend	J	T	Buy	11/16/21	J		
174.									
175. Ameriprise Money Market	A	Interest	K	T					
176. Vanguard Balanced Inc Fd	C	Dividend	N	T					
177. Vanguard Dividend App Rd	B	Dividend	M	T					
178. Vanguard Total Stock Market Fd	B	Dividend	M	T					
179. Box Inc.	A	Dividend	K	T					
180. DH Horton Inc	A	Dividend	K	T	Buy		K		
181.									
182. Wells Fargo Bank Deposit Sweep Program	A	Interest	M	T					
183. Amazon Com Inc.	A	Dividend	K	T					
184. Apple, Inc.	A	Dividend	K	T					
185. Walt Disney Co.	A	Dividend	K	T					
186. Internatinal Business Machine Corp	B	Dividend	K	T					
187. Paypal Holdings Inc.	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Starbucks Corp.	A	Dividend	K	T					
189. Verizon Communications	A	Dividend	K	T	Buy (add'l)	11/23/21	J		
190. US Treasury Bond	B	Int./Div.			Redeemed	02/27/20	L	A	
191. US Treasury Bond	A	Int./Div.			Redeemed	02/27/20	L	A	
192. Us Treasury Bond	A	Int./Div.			Redeemed	02/27/20	L	A	
193. -Spire Inc. (formerly Laclede Gas) common	B	Dividend	L	T					
194. --Nuveen Ohio Munic. Fd	A	Dividend	J	T					
195. --Eaton Vance Tax Managed Gr. Fd (formerly E.V. Marath)	A	Dividend	L	T					
196. --Cedar Fair	D	Dividend	L	T					
197. --American Express Co.	A	Dividend	K	T					
198. --Chevron/Texaco	B	Dividend	L	T					
199. --Enterprise Products	B	Dividend	K	T					
200. --IBM Corp.	B	Dividend	K	T					
201. Kyndryl Holdings, Inc (IBM spinoff)	A	Dividend	J	T	Spinoff (from line 200)	03/15/21			
202. --Emerson Electric Co.	A	Dividend	K	T					
203. --Costco Wholesale Corp	A	Dividend	K	T					
204. Service Properties Tr (formerly Hospitality Properties Reit Trust)	B	Dividend			Sold	12/08/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Vanguard Mid-Cap Fd	A	Dividend	K	T					
206. Vanguard Real Estate Index Fd	A	Dividend	K	T	Buy (add'l)	06/12/20	K		
207. Cincinnati Ohio Urban Redevelopment Bd	A	Interest	K	T					
208. Microsoft Corp	A	Dividend	L	T	Sold (part)	06/12/20	K	D	
209. Ishares Preferred and Income ETF	C	Dividend	K	T					
210. --Embarq. (spinoff)	A	Dividend	J	T					
211. --Windstream (spinoff)	A	Dividend	J	T					
212.									
213.									
214.									
215.									
216.									
217.									
218.									
219.									
220.									
221.									

1 Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3 Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.									
223.									
224.									
225.									
226.									
227.									
228.									
229.									

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Polster, Dan A.	03/21/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Dan A. Polster

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544