AO 10
Rev. 1/2022

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2021

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pratt, Tanya M.	United States District Court, Southern District of Indiana	08/10/2022
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2021 **to** 12/31/2021
7. Chambers or Office Address		
Birch Bayh Federal Courthouse 46 E. Ohio Street Suite 330 Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	UNCF
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	Indiana Judges Pension Fund
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1. series EE savings bonds	A	Interest	J	T					
2. series I savings bonds	A	Interest	J	T					
3. Chase Bank	A	Interest	L	T					
4. PNC (savings)	B	Interest	L	T					
5. Huntington Bank Account	A	Interest	K	T					
6. APPL (Apple) stock	B	Dividend	L	T	Buy (add'l)	02/08/21	L		
7. ERIC (Erricsson) stock	A	Dividend	J	T					
8. MSTR (Microstrategy Inc.)	A	Dividend	K	T					
9. Allianz IRA	B	Interest	M	T					
10. Indiana 529 Plan: Core Bond Index Profile	A	Interest	J	T					
11. Vanguard Institutional Index Fund	A	Interest	J	T					
12. Artio International Equity II Fund	A	Interest			Sold	02/08/21	J	A	
13. Indiana 529 Plan: Large Cap Index Portfolio Class A	A	Interest	J	T					
14. Indiana 529 Plan: International Index Portfolio Class	A	Interest	J	T					
15. Bank of America (Savings acct)	A	Interest	J	T					
16. Citigroup	A	Dividend	J	T					
17. EMB-Ishares JP Morgan ETF	A	Dividend			Sold	07/09/21	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/10/2022

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e g , div , rent, or int)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e g , buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	
18. PCY-Power Shares EM MAR ETF	A	Dividend			Sold	07/09/21	J	A	
19. VCIT-Vanguard Intermediate ETF	A	Dividend	J	T					
20. VCSH-Vanguard Short Term ETF	A	Dividend	J	T					
21. VEA-Vanguard MSCI EAFE ETF	A	Dividend	J	T					
22. PCRIX-PIMCO FDS PAC INVT	A	Interest	K	T					
23. XLF-Financial Select Sector SPDR	A	Interest			Sold	05/24/21	J	A	
24. XLI-Industrial Select Sector SPDR	A	Interest			Sold	05/24/21	J	A	
25. XLK-Technology Select Sector SPDR	A	Interest			Sold	05/24/21	J	A	
26. XLV-Healthcare Sector SPDR	A	Interest			Sold	05/24/21	J	A	
27. TIP-Ishares TIP Bond ET	A	Dividend			Sold	05/24/21	J	A	
28. FESGX-First Eagle S Global	A	Dividend			Sold	05/24/21	J	A	
29. PAUCX-PIMCO All Asset All Authority Fund	A	Dividend			Sold	05/24/21	J	A	
30. IWM-Ishares Russell 2000 ETF	A	Int./Div.	J	T					
31. IJH -Ishares Core S&P MID ETF.	A	Interest	K	T					
32. IVV-Ishares Core S&P 500 ETF	A	Interest	J	T					
33. AGG-Ishares Core U.S. ETF	B	Int./Div.	L	T					
34. HYG-Ishares JP Morgan ETF USD Emerging Mkt Bond	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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35. Ishares IBOXX$ ETF high yield	A	Interest	J	T					
36. MBB-Ihsares MBS ETF	A	Interest			Sold	07/09/21	J	A	
37. XLY-Select sector SPRD ETF	A	Interest	J	T					
38. RWO-SPDR Dow Jones ETF Global Real Estate	A	Int./Div.			Sold	07/09/21	J	A	
39. EMB Ishares JP Morgan ETF USD Emerging Markets Bond	A	Int./Div.	J	T					
40. HYLB XTRACKERS USD High ETFYield Corp Bond	A	Dividend	J	T					
41. ARCX Ishares Tips Bond ETF	A	Dividend			Sold	07/09/21	J	A	
42. IEF Ishres Trust ISHARES 7 10 year TR(x)	A	Dividend	J	T					
43. VTABX VANGUARD CHARLOTTE FDS Total INT (X)	A	Dividend			Sold	07/09/21	J	A	
44. VGTSX VANGUARD TOTAL INTERNATIONAL BOND X	A	Dividend			Sold	07/09/21	J	A	
45. Select Sector SPDR ETF Communication SVCS XLX	B	Dividend	J	T					
46. VANGUARD FTSE ETF Communication SVCS XLX	A	Interest	K	T					
47. VANGUARD Health Care ETF VHT	A	Interest	J	T					
48. VANGUARD intermediate Bond VCIT	A	Interest	J	T					
49. VANGUARD MID CAPETF VO	A	Interest	J	T					
50. MODERNA MRNA	B	Int./Div.	K	T					
51. PFIZER PFE	A	Dividend	J	T					

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52. Blackrock Index FDS INC ISHARES INTL BTMKX	A	Dividend	J	T					
53. FIDELITY SALEM STR TR 500 index fund FXAIX	B	Dividend	K	T					
54. ISHARES CORE MSCI IEMG	A	Dividend	J	T					
55. JP MORGAN EXCHANGE BBCA	A	Dividend	J	T					
56. JP MORGAN EXCHANGE BBAX	A	Dividend	J	T					
57. SIX CIRCLES TR INTL UNCONSTRAINED EQUITY CIUEX	A	Dividend	J	T					
58. VANGUARD INDEX TRV FIAX	A	Dividend	J	T					
59. BLINK CHARGING CO BLNK	A	Dividend	J	T	Buy	02/17/21	J		
60. CHARGEPOINT HLDGS INC CLASS A CHPT	A	Dividend	J	T	Buy	02/17/21	J		
61. LUCID GROUP INC LCID	A	Dividend	J	T	Buy	11/16/21	J		
62. JP MORGAN EXCHANGE BBEU	A	Dividend	J	T	Buy	01/27/21	J		
63. JP MORGAN EXCHANGE BBJP	A	Dividend	J	T	Buy	01/27/21	J		
64. SIX CIRCLES TR CUSUX	A	Dividend	J	T	Buy	02/12/21	J		
65. BLACKROCK MUN BD FD INC BNMLX	A	Dividend	J	T	Buy	07/12/21	J		
66. FIDELITY SCH STR TR FIQZX	A	Dividend	K	T	Buy	07/12/21	J		
67. ISHARES TRUST SHY	A	Dividend	J	T	Buy	05/19/21	J		
68. ISHARES TRUST SHV	A	Dividend	J	T	Buy	07/12/21	J		

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69.	ISHARES TR I JITZX	A	Dividend	J	T	Buy	07/12/21	J		
70.	SIX CIRCLES TR CUTAX	A	Dividend	J	T	Buy	07/12/21	J		
71.	SIX CIRCLES TR CUTAX	A	Dividend	J	T	Buy	07/12/21	J		
72.	SIX CIRCLES CRDOX	A	Dividend	J	T	Buy	02/10/21	J		
73.	GOLDMAN SACHS ETF GSLC	B	Dividend	K	T	Buy	03/10/21	K		
74.	INVESCO OPTIMUM YLD PDBC	B	Dividend	J	T	Buy	03/10/21	K		
75.	VANGUARD INDUSTRIALS ETF VIS	B	Dividend	J	T	Buy	03/10/21	K		
76.	XTRACKERS USD HIGH ETF HYLB	B	Dividend	K	T	Buy	03/10/21	K		
77.	INVESCO VADDX	A	Dividend	J	T	Buy	11/01/21	J		
78.	BLACKROCK EVENT DRIVEN EQUITY FUND BILPX	A	Dividend	J	T	Buy	11/01/21	J		
79.	FIDELITY ADVISORBOND FEPIX	A	Dividend	J	T	Buy	11/01/21	J		
80.	BLACKROCK STRATEGIC INCOME BSIIX	A	Dividend	J	T	Buy	11/01/21	J		
81.	T RPWE PRICE DIVERSIFIED PRDSX	A	Dividend	J	T	Buy	11/01/21	J		
82.	VANGUARD INDEX VFIAX	A	Dividend	K	T	Buy	11/01/21	K		
83.	VANGUARD FUND VTIAX	A	Dividend	K	T	Buy	11/01/21	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

none

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/10/2022

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya M. Pratt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544