AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2022

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pratt, Tanya M.	United States District Court, Southern District of Indiana	08/12/2023
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2022 **to** 12/31/2022
7. Chambers or Office Address		
Birch Bayh Federal Courthouse 46 E. Ohio Street Suite 330 Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	UNCF
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	Indiana Judges Pension Fund
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A		B		C		D				
Description of Assets (including trust assets)		Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
1.	series EE savings bonds	A	Interest	J	T					
2.	series I savings bonds	A	Interest	J	T					
3.	Chase Bank	A	Interest	L	T					
4.	PNC (savings)	B	Interest	L	T					
5.	Huntington Bank Account	A	Interest	K	T					
6.	APPL (Apple) stock	A	Dividend	K	T					
7.	Bank of America BAC	B	Dividend	J	T	Buy	10/10/22	J		
8.	Blink Charging Co BLNK	B	Interest	J	T	Buy	02/11/22	J		
9.	Chargepoint Holdings Inc CHPT	A	Int./Div.	J	T	Buy	02/11/22	J		
10.	ERIC (Errricsson) stock	A	Dividend	J	T					
11.	MSTR (Microstrategy Inc.)	A	Interest	J	T					
12.	OFS Capital Corp OFS	B	Interest	J	T	Buy	02/11/22	J		
13.	Allianz IRA	B	Interest	M	T					
14.	Indiana 529 Plan: Core Bond Index Profile	A	Interest	J	T					
15.	Vanguard Institutional Index Fund	A	Interest	J	T					
16.	Artio International Equity II Fund	A	Interest	J	T					
17.	Indiana 529 Plan: Large Cap Index Portfolio Class A	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000			
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market		
	U =Book Value	V =Other	W =Estimated			

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/12/2023

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18. Indiana 529 Plan: International Index Portfolio Class	A	Interest	J	T					
19. Bank of America (Savings acct)	A	Interest	J	T					
20. Citigroup	A	Dividend	J	T					
21. EMB-Ishares JP Morgan ETF	A	Dividend	J	T					
22. PCY-Power Shares EM MAR ETF	A	Dividend			Sold	07/07/22	J	A	
23. VCIT-Vanguard Intermediate ETF	A	Dividend			Sold	07/07/22	J	A	
24. VCSH-Vanguard Short Term ETF	A	Dividend			Sold	07/07/22	J	A	
25. VEA-Vanguard MSCI EAFE ETF	A	Dividend	J	T					
26. PCRIX-PIMCO FDS PAC INVT	A	Interest	J	T					
27. XLF-Financial Select Sector SPDR	A	Interest			Sold	08/01/22	J	A	
28. XLI-Industrial Select Sector SPDR	A	Interest			Sold	08/01/22	J	A	
29. XLK-Technology Select Sector SPDR	A	Interest	J	T					
30. XLV-Healthcare Sector SPDR	A	Interest	J	T					
31. TIP-Ishares TIP Bond ET	A	Dividend			Sold	08/01/22	J	A	
32. FESGX-First Eagle S Global	A	Dividend			Sold	08/01/22	J	A	
33. PAUCX-PIMCO All Asset All Authority Fund	A	Dividend			Sold	08/01/22	J	A	
34. IWM-Ishares Russell 2000 ETF	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000	
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000		
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000		
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000		
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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35.	IEMG-Ishares Emerging Markets ETF	A	Int./Div.	K	T	Buy (add'l)	10/10/22	J		\
36.	Ishares IBOXX$ ETF high yield	A	Dividend	J	T					
37.	IJH -Ishares Core S&P MID ETF.	A	Interest	K	T					
38.	IVV-Ishares Core S&P 500 ETF	A	Interest	L	T					
39.	AGG-Ishares Core U.S. ETF	B	Int./Div.			Sold	03/04/22	J	A	
40.	HYG-Ishares JP Morgan ETF USD Emerging Mkt Bond	A	Interest			Sold	03/04/22	J	A	
41.	Ishares IBOXX$ ETF high yield	A	Interest			Sold	02/03/22	J	A	
42.	MBB-Ihsares MBS ETF	A	Interest			Sold	02/03/22	J	A	
43.	XLY-Select sector SPRD ETF	A	Interest			Sold	02/03/22	J	A	
44.	RWO-SPDR Dow Jones ETF Global Real Estate	A	Int./Div.			Sold	03/04/22	J	A	
45.	EMB Ishares JP Morgan ETF USD Emerging Markets Bond	A	Int./Div.			Sold	03/04/22	J	A	
46.	HYLB XTRACKERS USD High ETFYield Corp Bond	A	Dividend			Sold	03/04/22	J	A	
47.	ARCX Ishares Tips Bond ETF	A	Dividend			Sold	02/03/22	J	A	
48.	IEF Ishres Trust ISHARES 7 10 year TR(x)	A	Dividend			Sold	02/03/22	J	A	
49.	LCID Lucid Group Inc	A	Dividend	J	T	Buy	07/14/22	J		
50.	VWO Vanguard Intl Equity ETF	A	Dividend	K	T	Buy	07/14/22	J		
51.	VTABX VANGUARD CHARLOTTE FDS Total INT (X)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
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52. VGTSX VANGUARD TOTAL INTERNATIONAL BOND X	A	Dividend	J	T					
53. Select Sector SPDR ETF Communiccation SVCS XLX	A	Dividend	J	T					
54. VANGUARD FTSE ETF Developed Markets ETF VEA	B	Dividend	K	T					
55. VANGUARD Health Care ETF VHT	A	Dividend			Sold	04/18/22	J	A	
56. VANGUARD intermediate Bond VCIT	A	Dividend			Sold	04/18/22	J	A	
57. VANGUARD MID CAPETF VO	B	Dividend			Sold	04/18/22	J	A	
58. MODERNA MRNA	A	Int./Div.	J	T					
59. PFIZER PFE	A	Dividend	J	T					
60. Blackrock Index FDS INC ISHARES INTL BTMKX	A	Dividend			Sold	04/18/22	J	A	
61. FIDELITY SALEM STR TR 500 index fund FXAIX	B	Dividend			Sold	01/06/22	J	A	
62. ISHARES CORE MSCI IEMG	A	Dividend	J	T					
63. JP MORGAN EXCHANGE BBCA	A	Dividend	J	T					
64. JP MORGAN EXCHANGE BBAX	A	Dividend	J	T					
65. SIX CIRCLES TR INTL UNCONSTRAINED EQUITY CIUEX	A	Dividend	J	T					
66. VANGUARD INDEX TR	A	Dividend	J	T					
67. FTGC First TR Global ETF	A	Int./Div.	J	T	Buy	04/18/22	J		
68. GSLC Goldmn Sachs ETF	A	Int./Div.	K	T	Buy	04/18/22	J		

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69. PDBC Invesco Optimim YLD ETF	A	Dividend	J	T	Buy	11/11/22	J		
70. USHY ISHARES TR Broad USD ETF	A	Interest	K	T	Buy	11/11/22	J		
71. VADDX INVESCO Equally-weighted	A	Dividend	J	T	Buy	10/03/22	J		
72. PRDSX T Rowe Price Diversified	A	Dividend	J	T	Buy	10/03/22	J		
73. VFIAX Vanguard Index 500	A	Dividend	K	T	Buy	10/03/22	J		
74. VTIAX VANGUARD FUND NTL	A	Dividend	K	T	Buy	10/03/22	J		

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3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None

Name of Person Reporting	Date of Report
Pratt, Tanya M.	08/12/2023

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya M. Pratt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544