AO 10
Rev. 3/2023

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2023

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Pratt, Tanya M.	United States District Court, Southern District of Indiana	10/27/2024
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III Judge	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2023 **to** 12/31/2023
7. Chambers or Office Address		
Birch Bayh Federal Courthouse 46 E. Ohio Street Suite 330 Indianapolis, IN 46204		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	UNCF
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	2009	Indiana Judges Pension Fund
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
1.	series EE savings bonds	A	Interest	J	T					
2.	series I savings bonds	A	Interest	J	T					
3.	Chase Bank	A	Interest	L	T					
4.	PNC (savings)	B	Interest	L	T					
5.	Huntington Bank Account	A	Interest	K	T					
6.	APPL (Apple) stock	A	Dividend	K	T					
7.	Bank of America BAC	B	Dividend	J	T					
8.	Old National Bank (Cash Account	A	Interest	L	T	Open	03/04/23	L		
9.	Blink Charging Co BLNK	B	Interest	J	T					
10.	Chargepoint Holdings Inc CHPT	A	Int./Div.	J	T					
11.	ERIC (Erricsson) stock	A	Dividend	J	T					
12.	MSTR (Microstrategy Inc.)	A	Interest	J	T					
13.	OFS Capital Corp OFS	B	Interest	J	T					
14.	Allianz Fixed Annuity	B	Interest	M	T					
15.	Indiana 529 Plan: Core Bond Index Profile	A	Interest	J	T					
16.	Vanguard Institutional Index Fund	A	Interest	J	T					
17.	Artio International Equity II Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
18.	Indiana 529 Plan: Large Cap Index Portfolio Class A	A	Interest	J	T					
19.	Indiana 529 Plan: International Index Portfolio Class	A	Interest	J	T					
20.	Bank of America (Savings acct)	A	Interest			Closed	02/10/23	J	A	
21.	Citigroup	A	Dividend	J	T					
22.	EMB-Ishares JP Morgan ETF	A	Dividend	J	T					
23.	VEA-Vanguard MSCI EAFE ETF	A	Dividend	J	T					
24.	PCRIX-PIMCO FDS PAC INVT	A	Interest			Sold	03/16/23	J	A	
25.	XLK-Technology Select Sector SPDR	A	Interest	J	T					
26.	XLV-Healthcare Sector SPDR	A	Interest	J	T					
27.	IWM-Ishares Russell 2000 ETF	A	Int./Div.	K	T					
28.	IEMG-Ishares Emerging Markets ETF	A	Int./Div.	K	T	Buy	10/02/23	J		\
29.	Ishares IBOXX$ ETF high yield	A	Dividend			Sold	03/16/23	J	A	
30.	IJH -Ishares Core S&P MID ETF.	A	Interest	K	T					
31.	IVV-Ishares Core S&P 500 ETF	A	Interest	L	T					
32.	LCID Lucid Group Inc	A	Dividend	J	T					
33.	VWO Vanguard Intl Equity ETF	A	Dividend	K	T					
34.	VO Vanguard Midcap	A	Dividend	J	T	Buy	09/01/23	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	
35.	IEFA Ishares COre MSCI	A	Dividend	L	T	Buy	09/01/23	L		
36.	VTABX VANGUARD CHARLOTTE FDS Total INT (X)	A	Dividend			Sold	04/13/23	J	A	
37.	VGTSX VANGUARD TOTAL INTERNATIONAL BOND X	A	Dividend	J	T					
38.	Select Sector SPDR ETF Communiccation SVCS XLX	A	Dividend	J	T					
39.	VANGUARD FTSE ETF Developed Markets ETF VEA	B	Dividend	K	T					
40.	MODERNA MRNA	A	Int./Div.	J	T					
41.	PFIZER PFE	A	Dividend	J	T					
42.	ISHARES CORE MSCI IEMG	A	Dividend	J	T					
43.	JP MORGAN EXCHANGE BBCA	A	Dividend	J	T					
44.	JP MORGAN EXCHANGE BBAX	A	Dividend	J	T					
45.	SIX CIRCLES TR INTL UNCONSTRAINED EQUITY CIUEX	A	Dividend	J	T					
46.	VANGUARD INDEX TR	A	Dividend	J	T					
47.	FTGC First TR Global ETF	A	Int./Div.	J	T					
48.	GSLC Goldmn Sachs ETF	A	Int./Div.	K	T					
49.	PDBC Invesco Optimim YLD ETF	A	Dividend	J	T					
50.	USHY ISHARES TR Broad USD ETF	A	Interest	K	T					
51.	VADDX INVESCO Equally-weighted	A	Dividend			Sold	08/02/23	J	A	

1. Income Gain Codes: (See Columns B1 and D4)
A =$1,000 or less B =$1,001 - $2,500 C =$2,501 - $5,000 D =$5,001 - $15,000 E =$15,001 - $50,000
F =$50,001 - $100,000 G =$100,001 - $1,000,000 H1 =$1,000,001 - $5,000,000 H2 =More than $5,000,000

2. Value Codes (See Columns C1 and D3)
J =$15,000 or less K =$15,001 - $50,000 L =$50,001 - $100,000 M =$100,001 - $250,000
N =$250,001 - $500,000 O =$500,001 - $1,000,000 P1 =$1,000,001 - $5,000,000 P2 =$5,000,001 - $25,000,000
P3 =$25,000,001 - $50,000,000 P4 =More than $50,000,000

3. Value Method Codes (See Column C2)
Q =Appraisal R =Cost (Real Estate Only) S =Assessment T =Cash Market
U =Book Value V =Other W =Estimated

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	
52. PRDSX T Rowe Price Diversified	A	Dividend			Sold	08/02/23	J	A	
53. VFIAX Vanguard Index 500	A	Dividend	K	T					
54. VTIAX VANGUARD FUND NTL	A	Dividend	K	T					
55. BLIPX Blackrock Event Driven Equity fund	A	Dividend	J	T	Buy	04/03/23	J		
56. FEPIX FIdelity Advisor	A	Dividend	J	T	Buy	04/03/23	J		
57. BSIIX Blackrock Event Driven Equity	A	Dividend	J	T	Buy	04/03/23	J		
58. BBEU JP Morgan Exchange	A	Dividend	J	T	Buy	06/29/23	J		
59. BBJP JP Morgan Exchange	A	Dividend	J	T	Buy	06/29/23	J		
60. CIUEX Six Circles International	A	Dividend	J	T	Buy	06/29/23	J		
61. BNMLX Blackrock Mun Bd	A	Dividend	J	T	Buy	06/29/23	J		
62. FIQZX Fidelity SCH STR	B	Dividend	K	T	Buy	06/29/23	K		
63. JITZX JP Morgan National Muni	A	Dividend	J	T	Buy	06/29/23	J		
64. PIMIX Pimco Income	A	Dividend	J	T	Buy	06/29/23	J		
65. CBTAX Six Circles 2.63%	A	Dividend	J	T	Buy	06/29/23	J		
66. CUTAX Six Circles Tax aware	A	Dividend	J	T	Buy	06/29/23	J		
67. CRDOX Six Circles Credit oppotunities	A	Dividend	J	T	Buy	06/29/23	J		

1. Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Amended in response to Letter of Inqury. Corrected Part VII, line 14 to refelct that Allianze is a fixed annuity, and there are no stock, bonds or other assets in this fund.

Name of Person Reporting	Date of Report
Pratt, Tanya M.	10/27/2024

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Tanya M. Pratt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite G-330
One Columbus Circle, N.E.
Washington, D.C. 20544